

# **The KM3NeT Project**

**Design Study for a  
Deep Sea Facility in the Mediterranean for  
Neutrino Astronomy and Environmental Sciences**

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for the KM3NeT Project Group

ApPEC Workshop  
Munich, 25.11.2003

Physics Perspectives of KM3NeT  
Status of Current Deep-Sea Projects  
Objectives and Time Schedule for KM3NeT  
Associated Sciences  
Management and Status of Proposal

# Introduction (i)

- **Institutes participating in the Design Study:**

Cyprus: Univ. Cyprus

France: CEA/Saclay, CNRS/IN2P3 Marseille, CNRS/IN2P3 Strasbourg,  
Univ. Haute Alsace

Germany: Univ. Erlangen

Greece: Hellenic Open Univ., NCSR “Demokritos”, NOA/Nestor Inst.,  
Univ. Athens, Univ. Crete, Univ. Patras

Italy: INFN (Bari, Bologna, Catania, LNS Catania, LNF Frascati,  
Genova, Messina, Pisa, Roma-1)

Netherlands: NIKHEF (Univ. Amsterdam, Free Univ., Univ. Utrecht, Univ.  
Nijmegen)

Spain: IFIC (CSIC, Univ. Valencia), U.P. Valencia

United Kingdom: Univ. Leeds, Univ. Sheffield, Univ. Liverpool?  
Coordinator: Uli Katz, Erlangen

## Introduction (ii)

- **What is our aim:**  
a deep-sea km<sup>3</sup>-scale observatory for high energy neutrino astronomy and associated platform for deep-sea science
- **Why we need an FP6 Design Study:**  
to enable the European neutrino astronomy community to prepare for the timely and cost-effective construction of the next-generation neutrino telescope
- **Why we need it now:**  
“... both in view of the size of the enterprise and of a **timely** competition with IceCube, the Committee finds it **urgent** that a single coherent collaboration be formed, ...”  
Recommendation from ApPEC peer review meeting, Amsterdam, 3-4 July 2003

# Present and Future of Neutrino Telescopes

## Fresh water

- **Lake Baikal**  
demonstrated the concept of water Cherenkov neutrino telescopes

## Salt water

- **ANTARES, NESTOR**  
first data from prototype installations
- **NEMO**  
R&D towards km<sup>3</sup> neutrino telescope

## Ice

- **AMANDA**  
data taking
- **IceCube**  
km<sup>3</sup> project; under construction

## The Mediterranean Sea offers optimal conditions

- water quality, depth, temperature, ...
- existing infrastructure
- current expertise for sea water  $\nu$  telescopes concentrated in European countries
- a perfect stage for a large Europe-led science project

Common effort needed to realise a future km<sup>3</sup>  $\nu$  telescope in the Mediterranean Sea operated and constructed by an international collaboration

## Physics Perspectives of KM3NeT

HENAP Report to PaNAGIC, July 2002:

“The observation of cosmic neutrinos above 100 GeV is of great scientific importance. ...”

“... a km<sup>3</sup>-scale detector in the Northern hemisphere should be built to complement the IceCube detector being constructed at the South Pole.”

“The detectors should be of km<sup>3</sup>-scale, the construction of which is considered technically feasible.”

# Scientific Goals of KM3NeT

- **Astronomy via high-energy neutrino observation**
  - Production mechanisms of high-energy neutrinos in the universe (acceleration mechanisms, top-down scenarios, . . . )
  - Investigation of the nature of astrophysical objects
  - Origin of cosmic rays
- **Indirect search for dark matter**
- **Associated science**

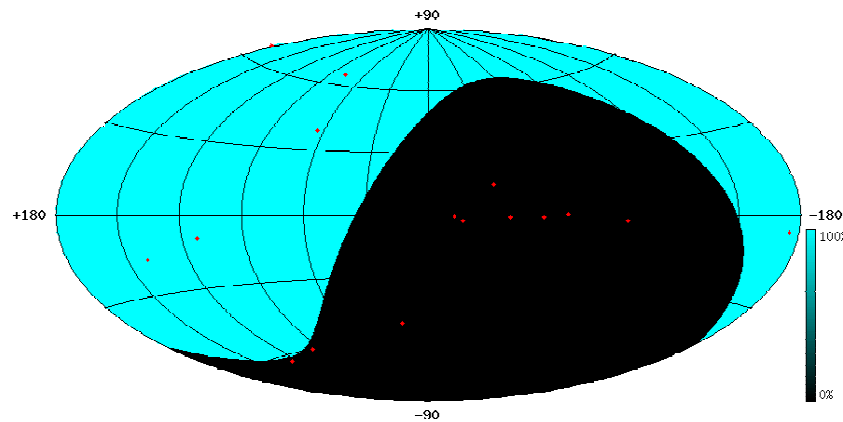
## Point Sources

- Allows for association of **neutrino flux to specific astrophysical objects**
- Energy spectrum, time structure and combination with multi-messenger observations provides **insight into physical processes inside source**
- Profits from **very good angular resolution of water Cherenkov telescopes**
- GRBs, if simultaneously observed by space-based experiments, allow for **lower thresholds** and **larger efficiency**

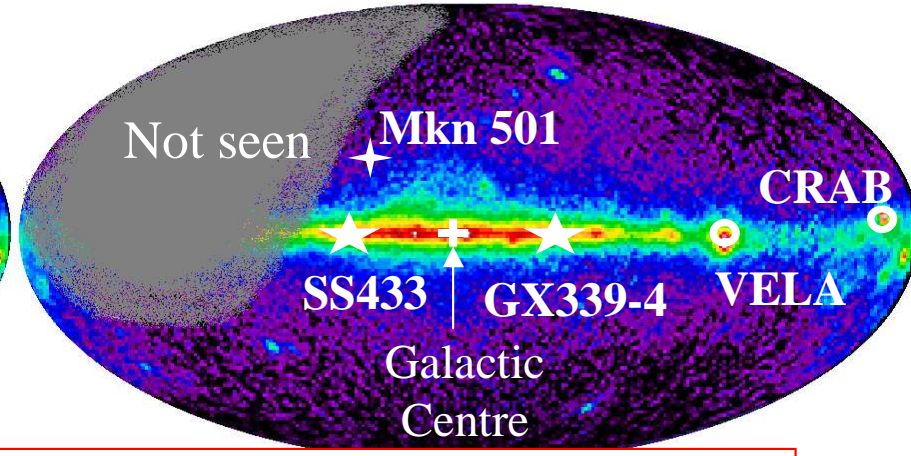
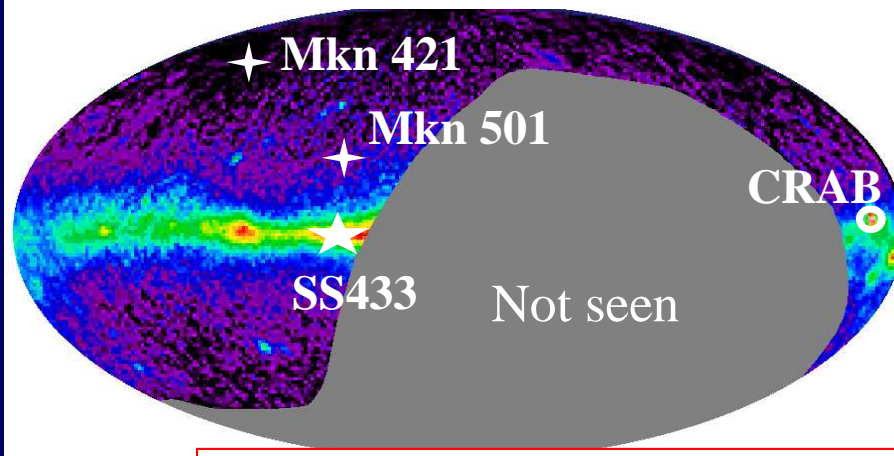
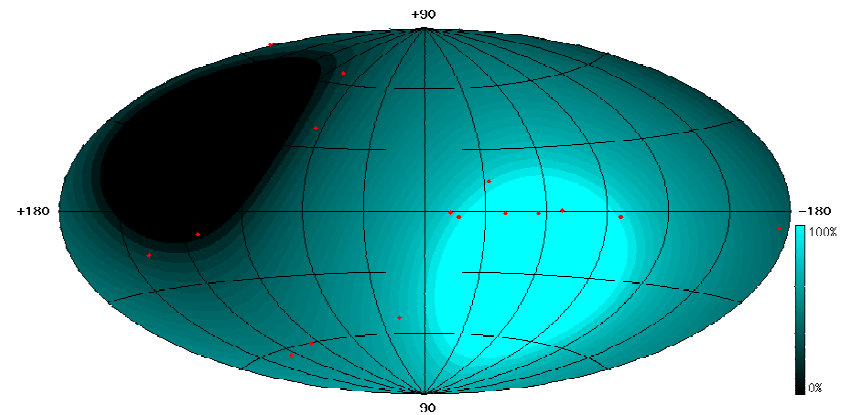
# Sky Observable by Neutrino Telescopes

(Region of sky seen in galactic coordinate assuming 100% efficiency for  $2\pi$  down)

## South Pole

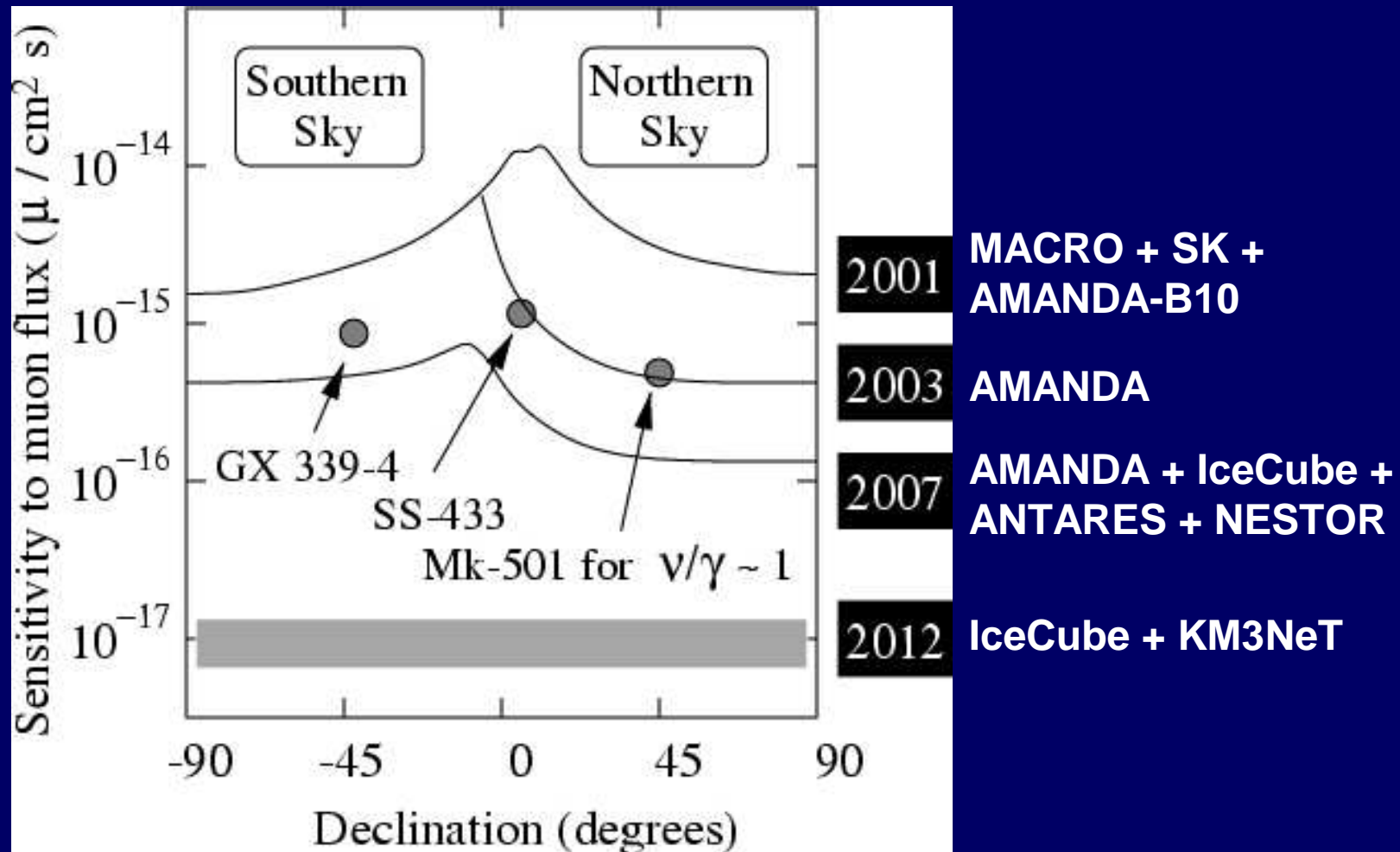


## Mediterranean



**Need Neutrino Telescopes in both hemispheres to see whole sky**

# Point Sources - Sensitivities



Ch. Spiering, astro-ph/0303068

## Diffuse $\nu$ Flux

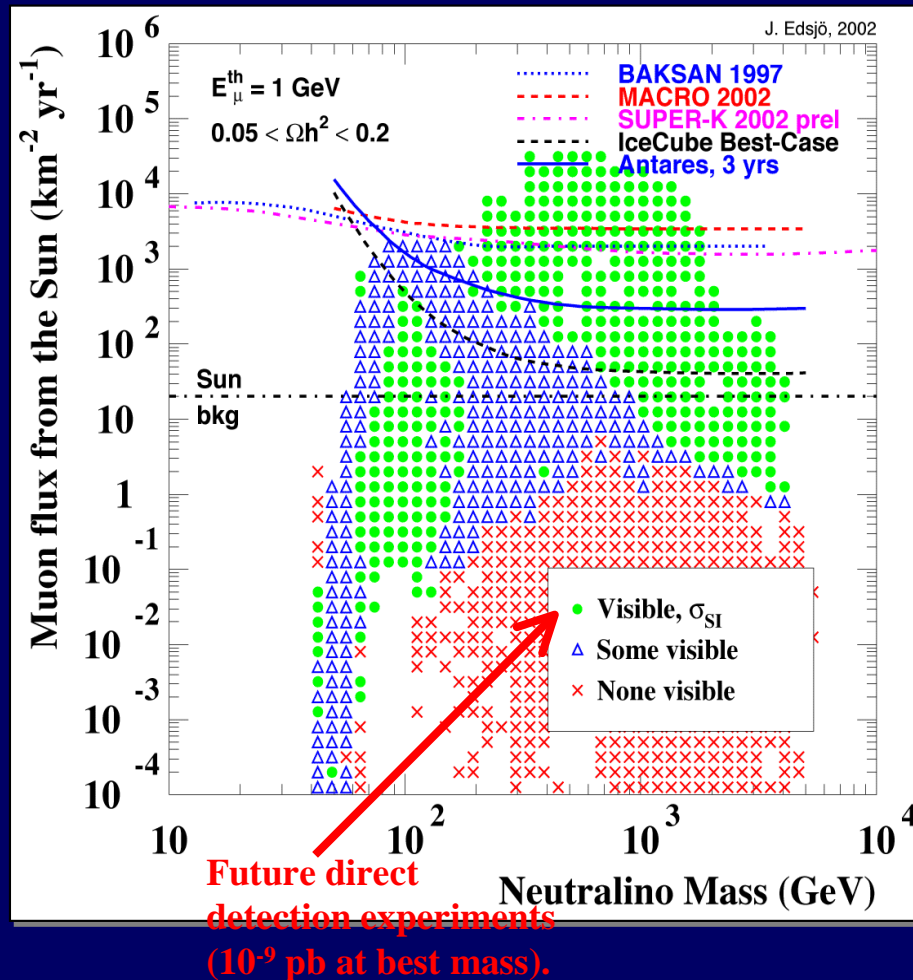
- Energy spectrum will provide important constraints on **models of particle acceleration** and **energy budget** at cosmological scales
- Present theoretical upper limits are at the edge of current experiments' sensitivities  
=> **Precise flux measurement needs km<sup>3</sup>-scale detector**
- Accessible energy range limited by atmospheric neutrino flux ( $\sim 10^5$  GeV) and detector size ( $\sim 10^8$  GeV)
- Measurements at these energies require **sensitivity for neutrinos from above** due to opacity of Earth
- Cosmic neutrinos arrive in democratic flavour mix  
**Sensitivity to  $\nu_e$ ,  $\nu_\tau$  and NC reactions** important

# Dark Matter

- Neutrinos produced in co-annihilation of WIMPs gravitationally trapped in Earth, Sun or Galactic Centre provide sensitivity of  $\nu$  telescopes to Dark Matter
- May solve long-standing questions of both particle- and astrophysics
- KM3NeT will observe Galactic Centre  
=> exciting prospects

# Dark Matter - Sensitivity

J. Edsjö, HENA workshop 2003 Paris



- WIMP mass = upper limit of Neutrino energy spectrum
- Detection requires sensitivity at low energies
- KM3NeT scenario: maximise efficiency in direction of potential signal sources
- Results complementary to direct searches

## Additional Topics

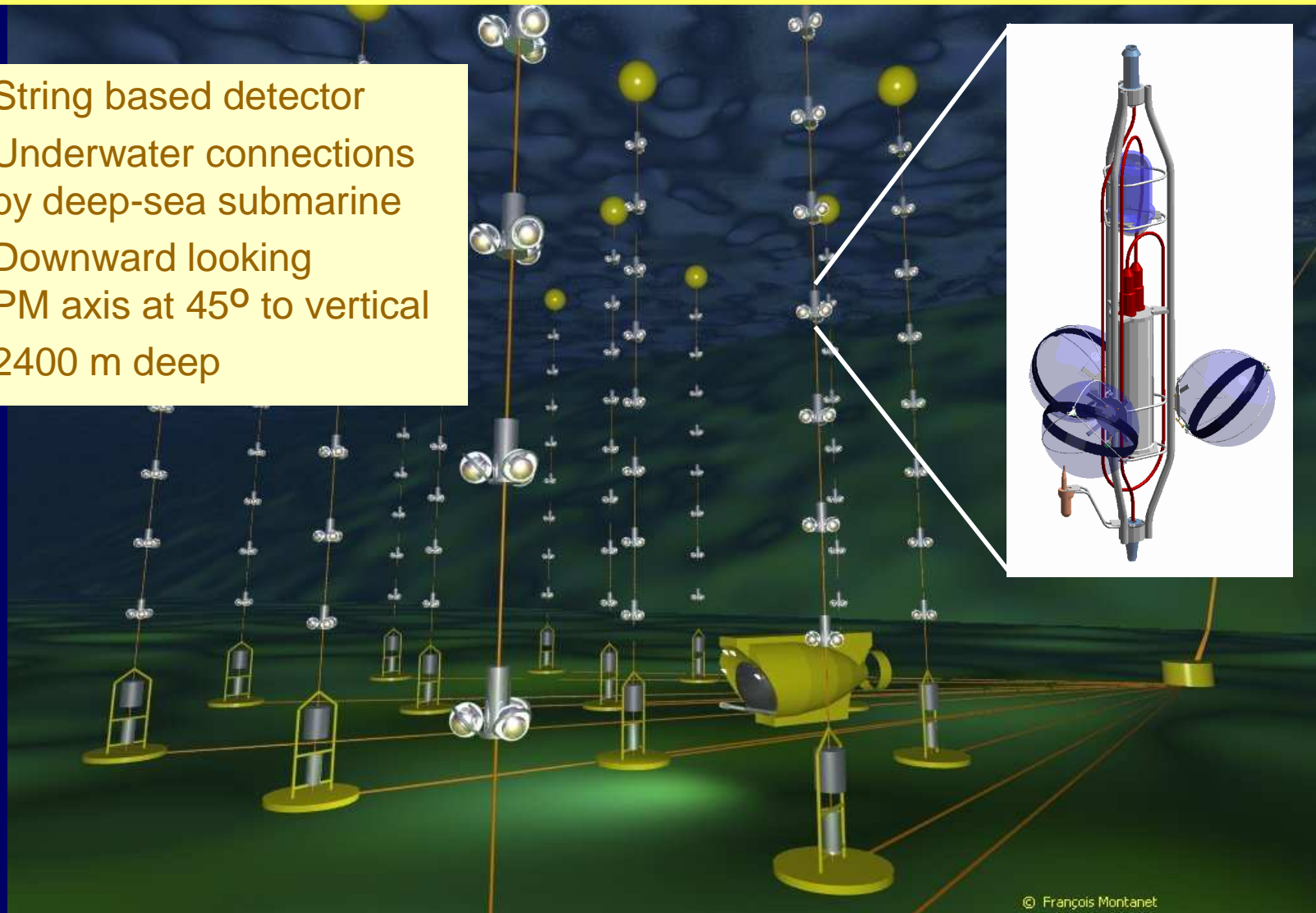
- Particle physics (flavour oscillations, cross sections)
- Top-down scenarios
- Magnetic monopoles
- **The Unexpected**

## Status of Current Deep-Sea Projects

- 3 ongoing projects
- 2 detectors (ANTARES, NESTOR) and 1 prototype (NEMO) under construction
  - different technologies
  - will provide feasibility proof
- 3 possible sites identified and being further explored
- Existing installations can provide test bed for future R&D activities

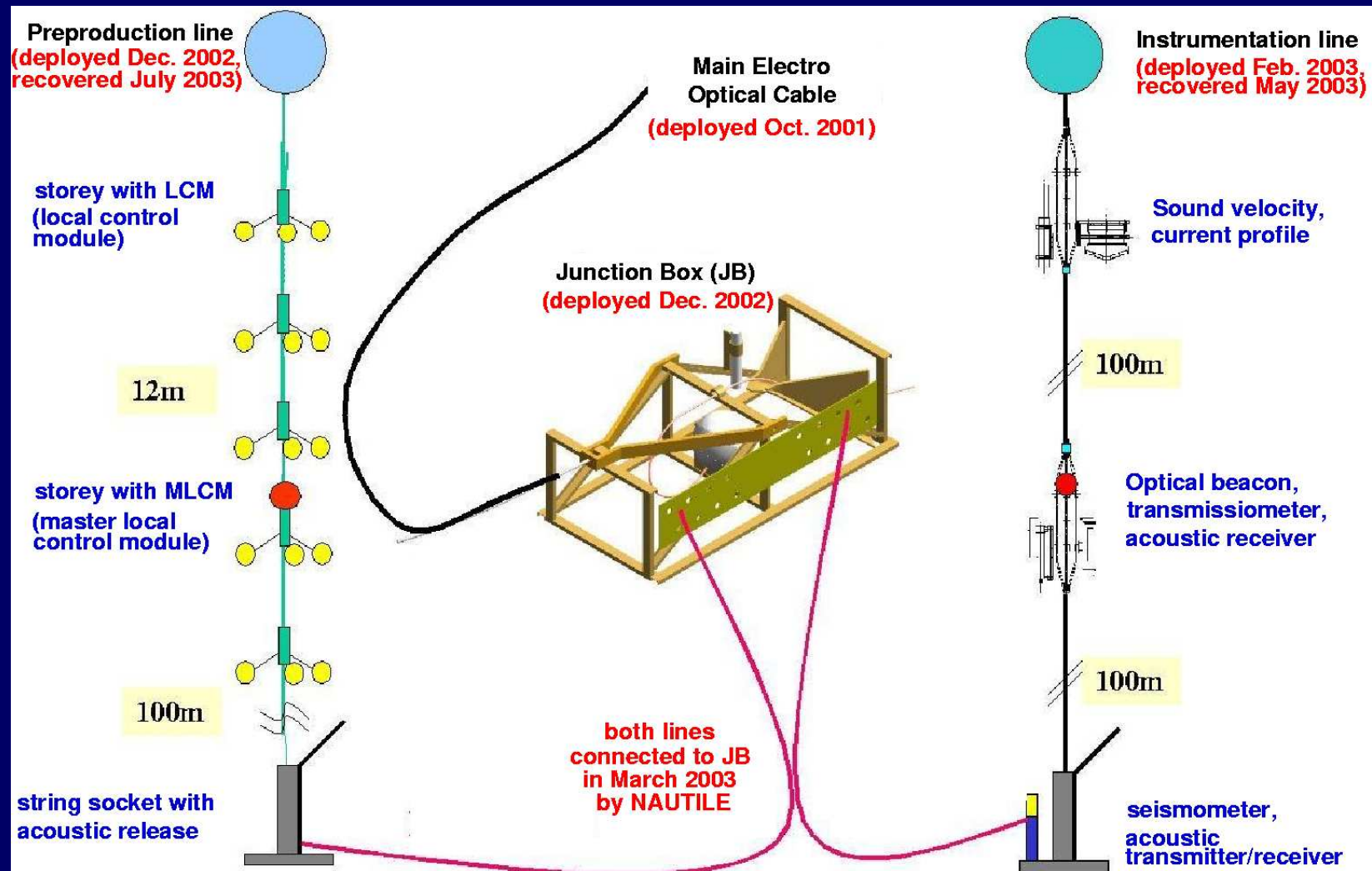
# ANTARES - Layout

- String based detector
- Underwater connections by deep-sea submarine
- Downward looking PM axis at  $45^\circ$  to vertical
- 2400 m deep



© François Montanet

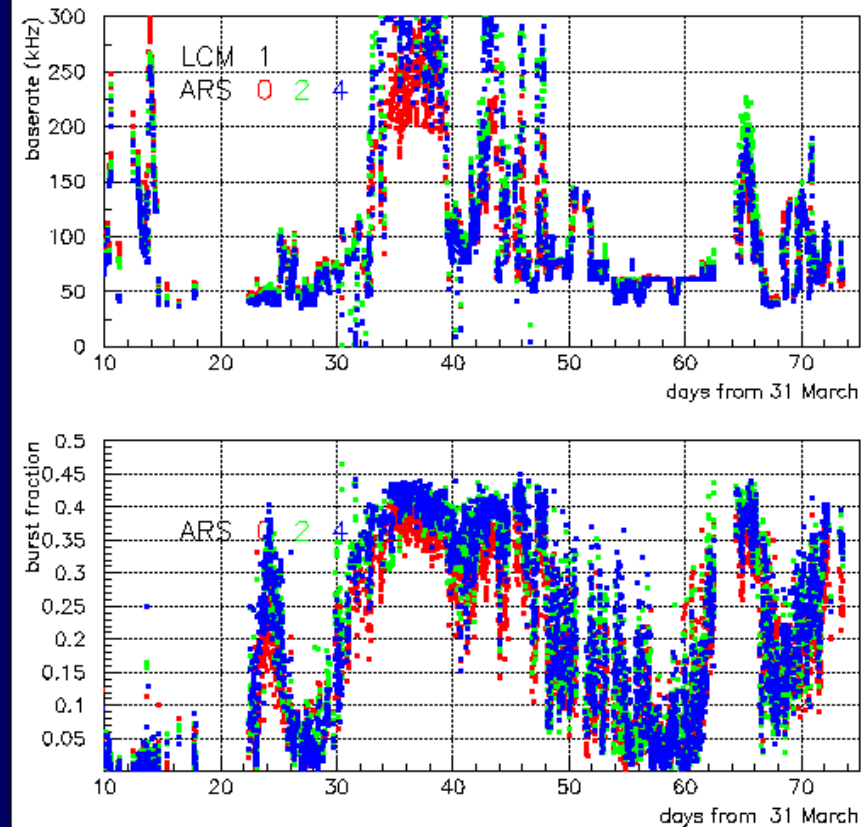
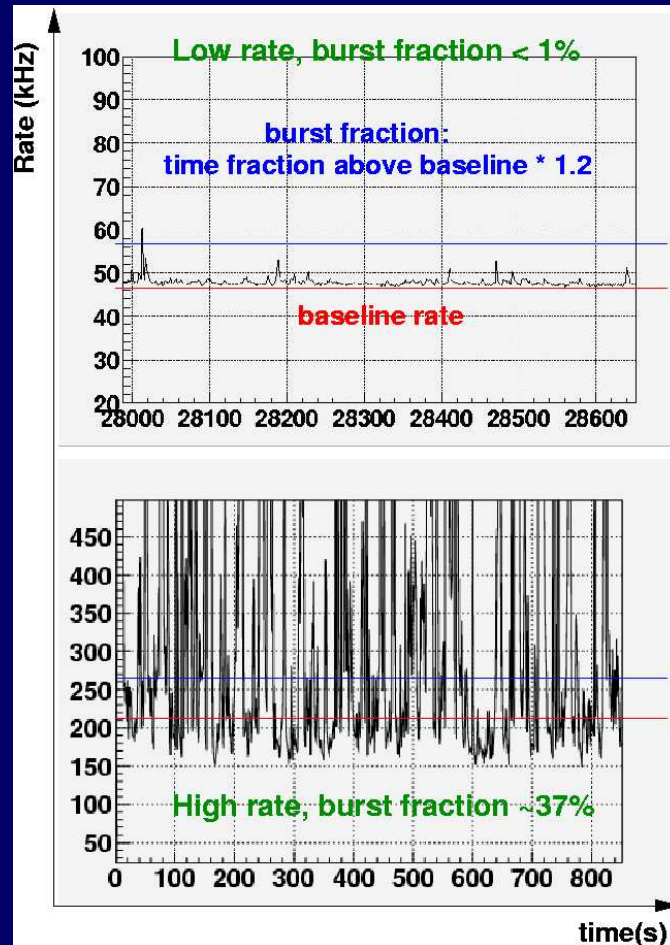
# ANTARES - Status



## ANTARES - Results

- **Junction box** successfully deployed and continuously operating for over 11 months in stable condition
- **Detector line** and **instrumentation line** successfully deployed, connected and recovered
- Data taking over 5 months  
(rate monitoring and environmental data)
- Important conclusions for future detector operation; analysis is ongoing
- Problems (timing signal, water leak) prohibited data taking at ns precision => no muons reconstructed  
(modest design modifications will avoid these failures)

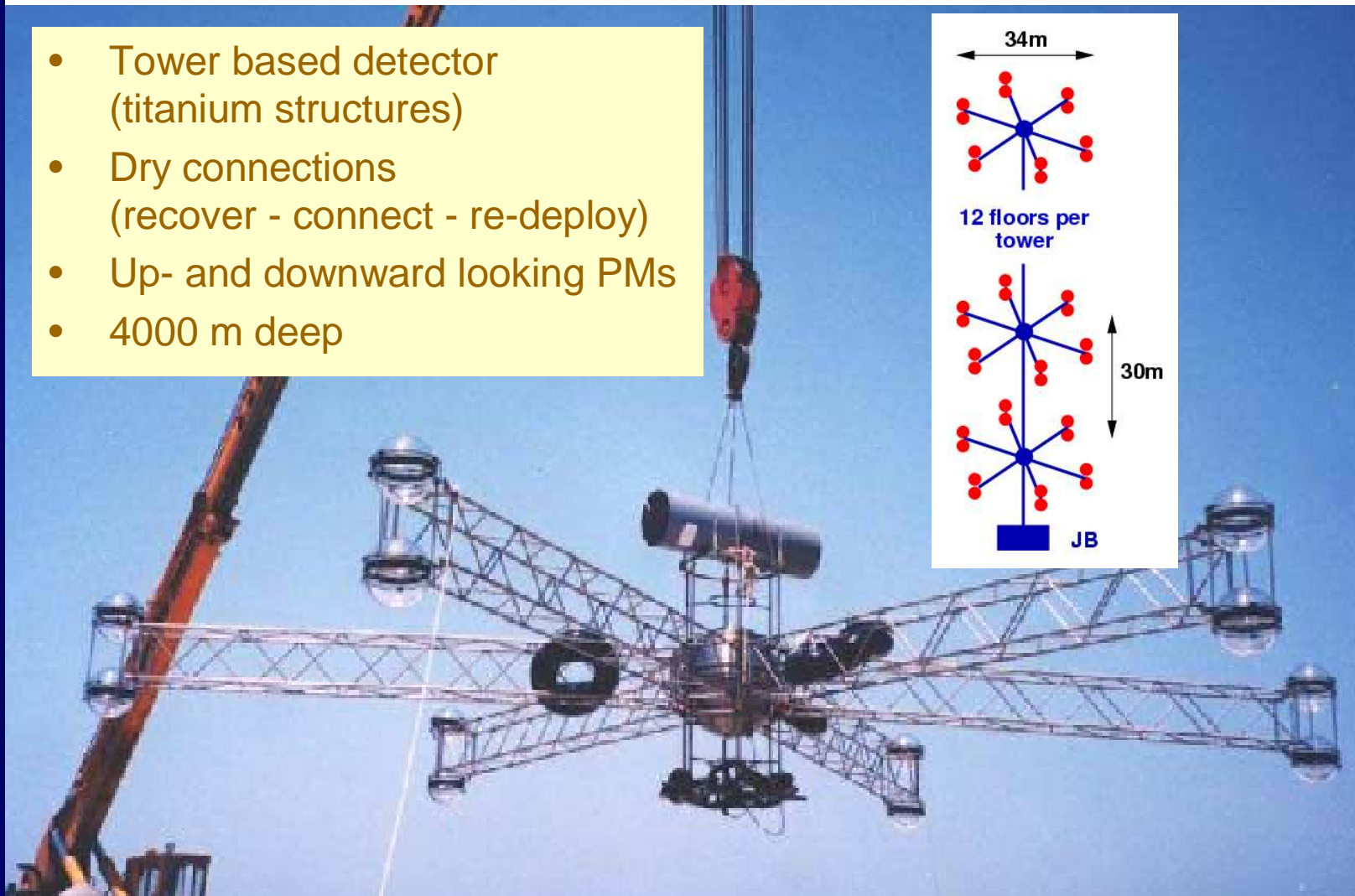
# ANTARES - Rates



Strong variability of bioluminescence rates

# NESTOR - Layout

- Tower based detector (titanium structures)
- Dry connections (recover - connect - re-deploy)
- Up- and downward looking PMs
- 4000 m deep



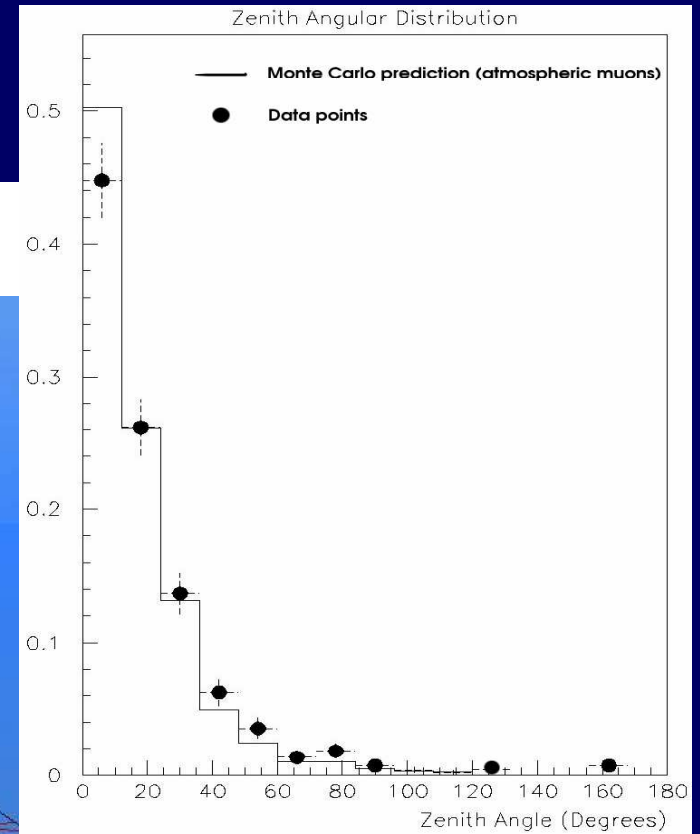
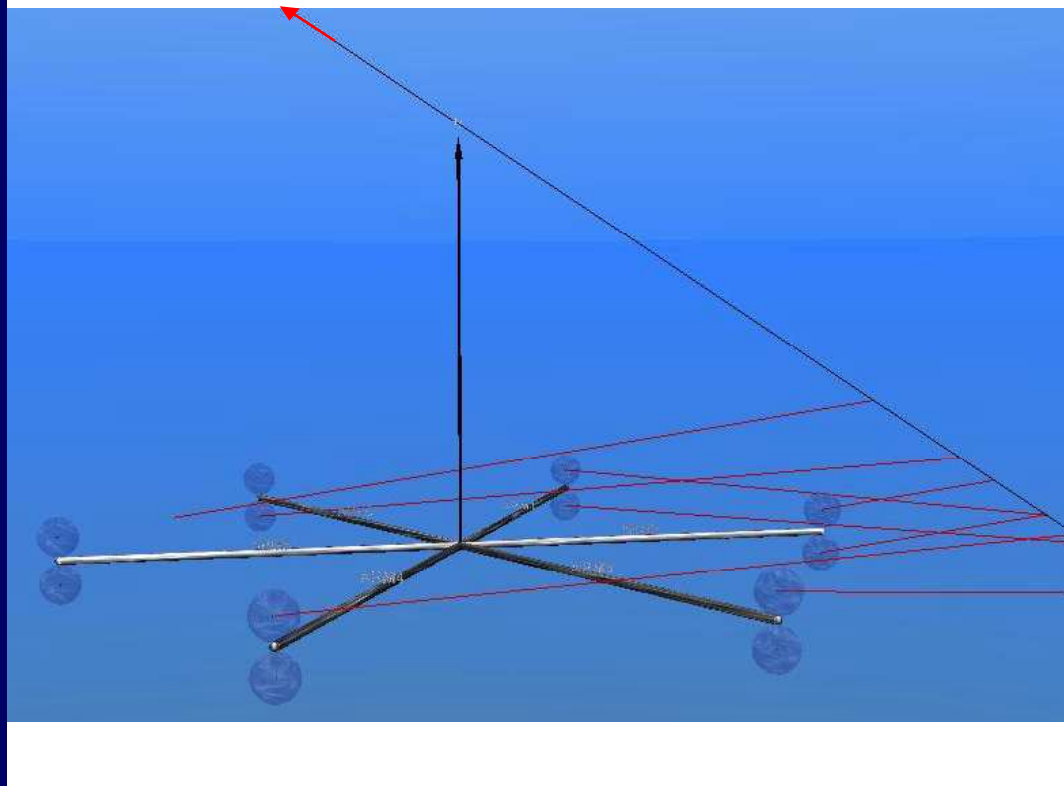
## NESTOR - Status

- **January 2002:** deployment of LAERTIS at 4200 m depth; successfully taking of environmental data
- **March 2003:** deployment of first prototype floor (reduced size)
- Acquisition of **> 5 million event triggers;** data taking suspended due to cable problems
- **Muon tracks identified and reconstructed**

# NESTOR - Results

Muons identified and reconstructed

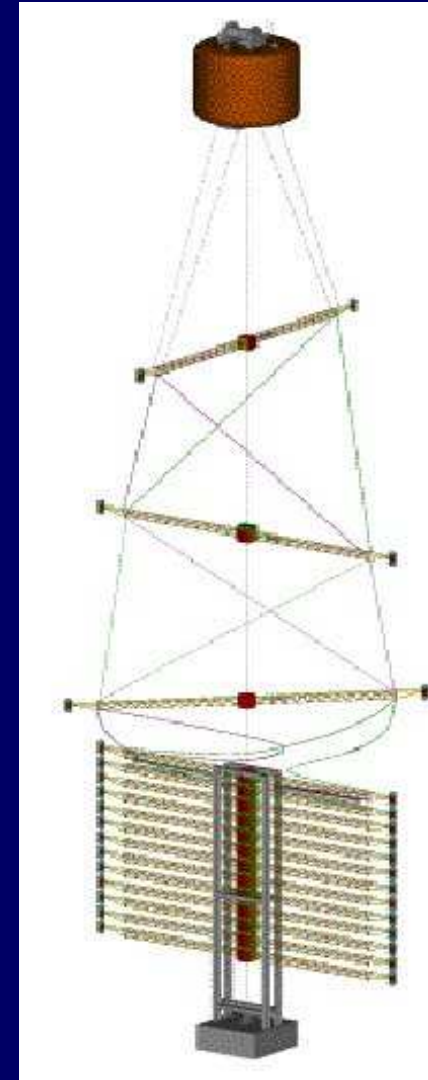
Event 1785 – Run 81 – BFile 3



Preview CERN Courier Nov. 2003

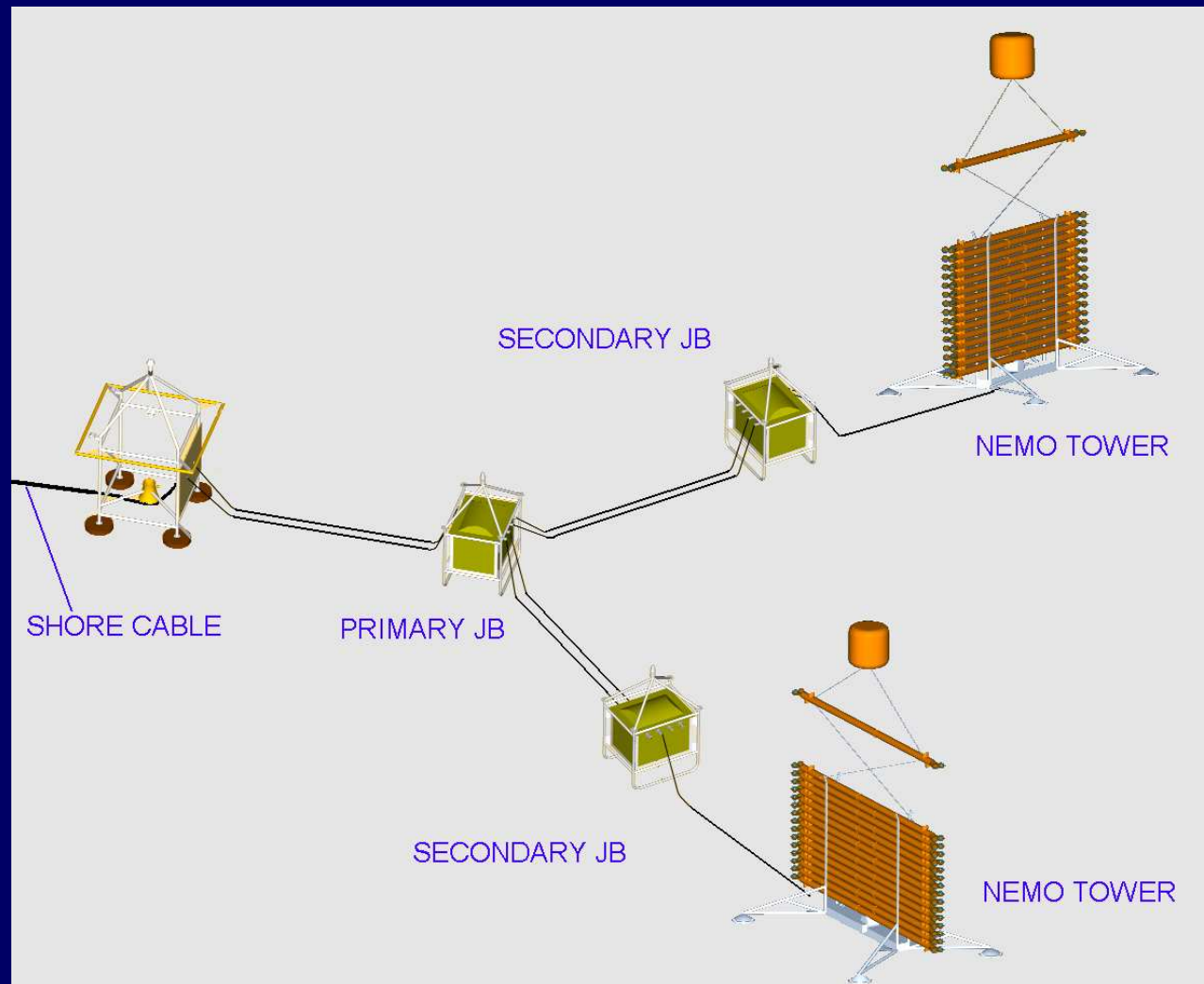
# The NEMO Project

- Extensive site exploration (Capo Passero near Catania, depth 3340 m)
- R&D towards km<sup>3</sup>: architecture, mechanical structures, readout, electronics, cables ...
  - 16 arms per tower, 20 m arm length, arms 40 m apart
  - 64 PMs per tower
  - Underwater connections
  - Up- and down-looking PMs

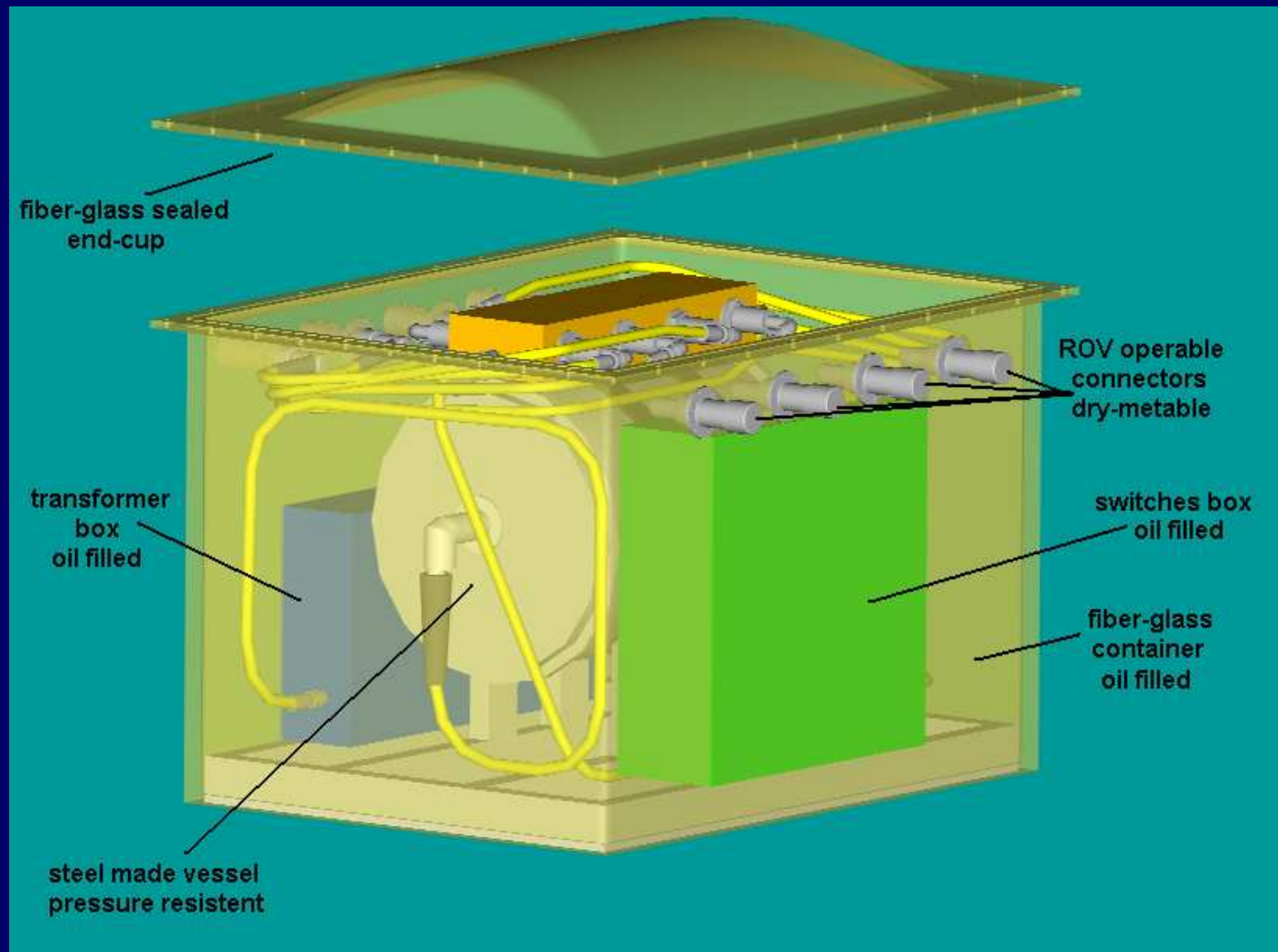


# The NEMO Project

NEMO test site approved and funded (depth 2000 m)



# NEMO - Composite Junction Box



# Towards a Collaboration

- **Cooperation ANTARES-NEMO:**
  - majority of NEMO institutes participating in ANTARES
  - common site-exploration campaigns
- **KM3NeT project group (ANTARES, NEMO, NESTOR):**
  - 4 meetings of KM3NeT coordination group (first meeting in January 2003, Munich)
  - agreement to proceed with the KM3NeT in a common coordinated effort
- **VLVvT Workshop Amsterdam, Oct. 2003**
- **Next steps:**
  - formalise collaboration
  - writing of the Design Study proposal

## Objectives and Scope of the KM3NeT Design Study

### **Establish path from current projects to KM3NeT**

- critical review of current technical solutions
- thorough tests of new developments
- assessment of quality control and assurance
- explore and establish possible cooperation with industry

envisaged time scale of design, construction  
and operation poses stringent conditions

## Design Study Target Values (i)

- **Detection principle:**  
water Cherenkov
- **Location in Europe:**  
in the Mediterranean Sea
- **Detection view:**  
maximal angular acceptance for all possible detectable neutrino signals including down-going neutrinos at VHE
- **Angular resolution:**  
close to the intrinsic resolution  
( $< \sim 0.1$  degrees for muons with  $E_\nu > \sim 10$  TeV)
- **Detection volume:**  
1 km<sup>3</sup>, expandable

## Design Study Target Values (ii)

- **Lower energy threshold:**  
a few 100 GeV for upward going neutrinos with possibility to go lower for  $\nu$  from known point sources
- **Energy reconstruction:**  
within factor of 2 for muon events
- **Reaction types:**  
all neutrino flavours
- **Duty cycle:** close to 100%
- **Operational lifetime:**  $\geq 10$  years

But these parameters need optimisation !

# Technical Design of the $\nu$ Telescope

- **Cost-effectiveness:**  $< \sim 200$  MEuro per  $\text{km}^3$
- **Architecture:** strings vs. rigid towers vs. flexible towers vs. new solutions
- **Photo detectors**
- **Mechanical solutions**
- **Readout:** electronics, data acquisition, data transport
- **Calibration and slow control**
- **Cables and connectors:** dry vs. wet
- **Simulations:** design optimisation and assessment; impact of environmental conditions

# Production and Assembly

## Construction of the telescope within 5 years after end of the Design Study

- Detailed assembly procedures  
Distributed production lines
- Evaluation of logistics needs
- Quality control and assurance model

## Installation and Maintenance

- **Deployment:** fast procedures; parallelisation of operations
- **Shore infrastructure:** supply units; on-shore computing; internet connection
- **Maintenance:** flexible, low-cost access to sea-operation equipment; rapid recovery procedures; cost-effective repair options

# Exploitation Model

**Goal: facility exploited in multi-user and interdisciplinary environment**

- Reconstructed data will be made available to the whole community
- Observation of specific objects with increased sensitivity will be offered (dedicated adjustment of filter algorithms)
- Close relation to space-based observatories will be established (alerts for GRBs, Supernovae etc.)
- “Plug-and-play” solutions for detectors of associated sciences

## Operation Model

**Goal: centralised services for tasks exceeding the capacity of single institutes**

- Maintenance centre for detector components  
(closely related to sea-operation base)
- Computer facilities allowing for external operation and control
- Data storage and distribution  
(relation to GRID?)
- Software development and maintenance,  
in particular for on-line filter

## Funding and Governance

### Goal: establish legal foundation for the project

- Invite and coordinate world-wide participation
- Explore national, European and regional funding sources
- Assess and study models for contractual structures
- Address legal questions related to the international structure and in particular to a possible detector deployment in international waters

## Work Packages

- WP1: **coordination and project management**
- WP2: **science**  
(physics, simulation, architecture and calibration)
- WP3: **industry**  
(materials, power, cables, connectors, photo detectors)
- WP4: **technology**  
(signal detection and transmission, digitization, data processing and distribution)
- WP5: **infrastructure**  
(deployment and recovery base, shore station, European data network, European science network)
- WP6: **associated sciences**
- WP7: **governance, legal and funding aspects**

## Why us, why now, why an FP6 Design Study?

- The KM3NeT group comprises the current expertise for design, construction and operation of sea-water Cherenkov neutrino telescopes
- The KM3NeT project aims at achieving the timely construction of a km<sup>3</sup>-scale  $\nu$  telescope in the Northern hemisphere
- Extensive preparatory studies required for KM3NeT with substantial need for manpower and investments. An FP6 Design Study offers the chance to pursue a common European effort.

## Requested Funding

- Detailed evaluation of financial needs still ongoing
- Estimated overall budget of Design Study of the order 15 MEuro.

Amount requested from EU:

**6 - 8 MEuro over 3 years**

## Time Schedule of KM3NeT

Time scale given by "community lifetime" and competition with ice detector

- § Experience from current first generation water neutrino telescopes is a solid basis for the design of the KM3NeT detector
- § interest fades away if KM3NeT comes much later than IceCube (ready by 2010)

**Initiative for km<sup>3</sup> water detector  
has to be consolidated now**

## KM3NeT Milestones

End 2004	Start design study
Mid 2006	Conceptual design ready
End 2007	Technical design ready
2008 – 2012	Construction
2009 – XXXX	Operation

## Associated Sciences

- Great interest in long term deep-sea measurements in many different scientific communities:
  - Biology
  - Oceanography
  - Environmental sciences
  - Geology and geophysics
  - ...
- Communication with ESONET established
- Plan: include the associated science communities in the design phase to understand and react to their needs

## **Management, Political Issues and Status of Proposal Preparation**

- Writing group for Design Study proposal established
- Assembly of institution representatives as major decision body
- Administrative and legal support by Erlangen University
- Target for complete application draft: Jan. 2004

## Summarising Remarks

- Exciting physics perspectives of neutrino telescopes
- A km<sup>3</sup>-scale telescope in the Northern hemisphere is needed to complement IceCube in sky-coverage and to exploit the full potential of neutrino astronomy
- The Mediterranean offers optimal conditions.  
The current expertise in water Cherenkov neutrino telescopes is united in Europe
- The European groups have agreed on a common coordinated effort towards KM3NeT
- This effort has to be consolidated now in order to achieve a timely construction of the detector.  
An FP6 Design Study offers optimal conditions to proceed

**Let's Go For It !**

## Discussion (i)

- **Comment:** The mentioned point sources are probably not good candidates for sources of high-energy neutrinos.

**Answer U.Katz:** These sources are examples representing models that were assumed to be promising at some point. However, there is a large variety of models predicting neutrino fluxes from different kinds of point sources that will be well in the sensitivity of KM3NeT.

- **Comment:** No sensitivity of KM3NeT to top-down scenarios due to high neutrino energy

**Answer U.Katz:** Shows plot with a possible top-down scenario (last transparency of this file: thick red curve) with expected sensitivity of IceCube and emphasises that KM3NeT may have even higher sensitivity.

## Discussion (ii)

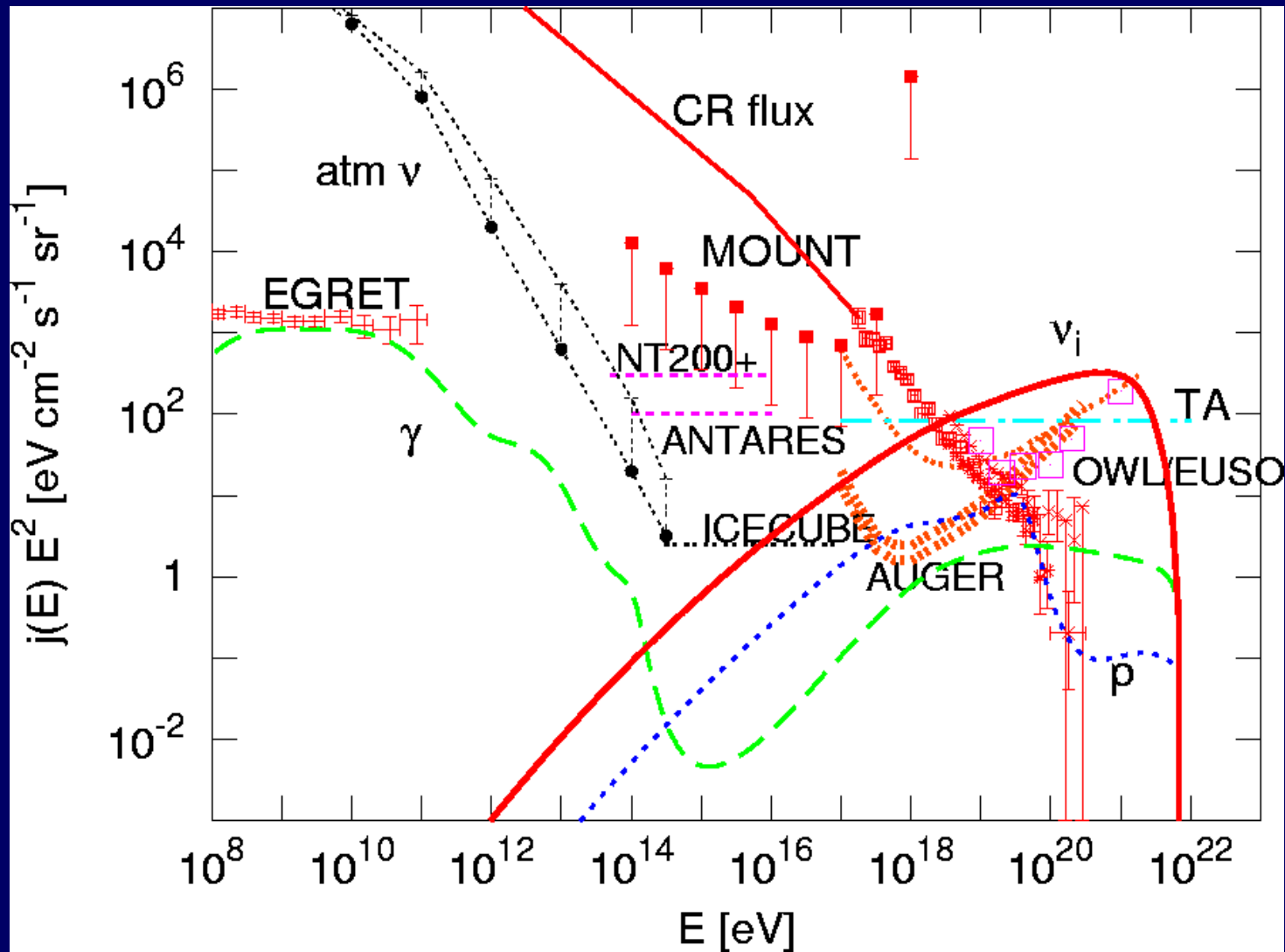
- **Question:** Is there enough manpower for the KM3NeT Design Study in view of the commitments for the ongoing construction of the current neutrino telescopes?

**Answer U.Katz:** Additional manpower is one of the main objectives of the requested funding.

**Comment J.Carr:** After the start of mass production of ANTARES components in 2004 manpower for development tasks becomes available.

**Comment I.Siotis:** The new project attracts a lot of young scientists. In case of the successful start of KM3NeT NESTOR could stop at 4 floors instead of heading for the full 12-floor tower, thus making additional manpower available.

# Top-Down Scenarios - Signal fluxes



G. Sigl, HENNA workshop 2003 Paris