

# Neutrino Telescopy in the Mediterranean Sea – Towards the km<sup>3</sup>-Scale Detector KM3NeT

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- Introduction
- Current Deep-Sea Projects
- Aiming at a km<sup>3</sup> Detector in the Mediterranean Sea
- The KM3NeT Design Study
- Conclusions and Outlook

# Why Neutrino Telescopes?

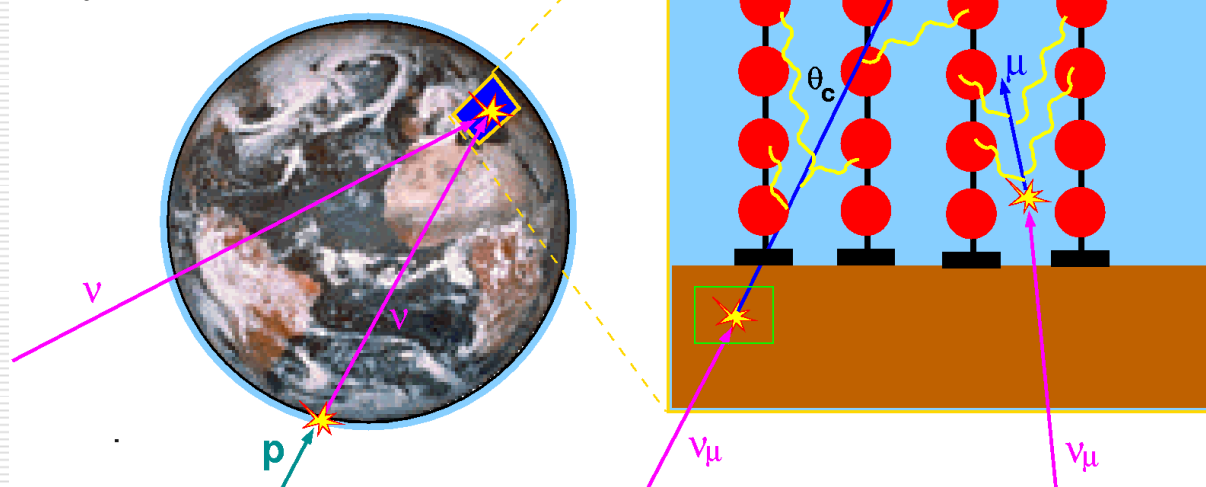
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- Neutrinos traverse space **without deflection or attenuation**
  - they point back to their **sources**;
  - they allow for a view into **dense environments**;
  - they allow us to investigate the universe over **cosmological distances**.
- Neutrinos are produced in high-energy **hadronic** processes  
→ distinction between electron and proton acceleration.
- Neutrinos could be produced in **Dark Matter** annihilation.
- Neutrino detection requires **huge target masses**  
→ use naturally abundant materials (water, ice).

# The Principle of Neutrino Telescopes

## Role of the Earth:

- Screening against all particles except neutrinos.
- Atmosphere = target for production of secondary neutrinos.



## Čerenkov light:

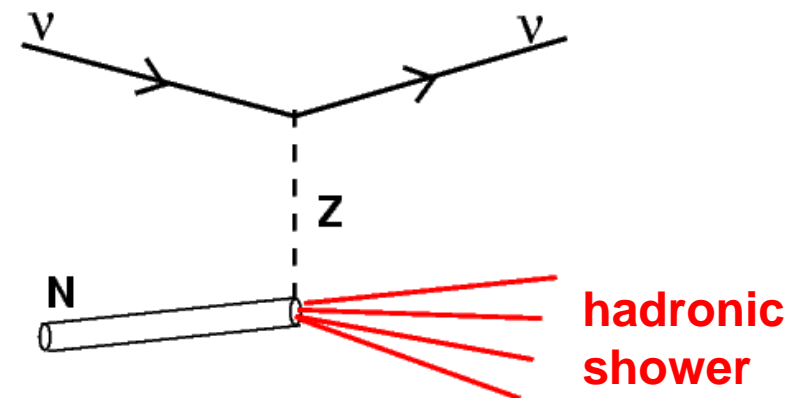
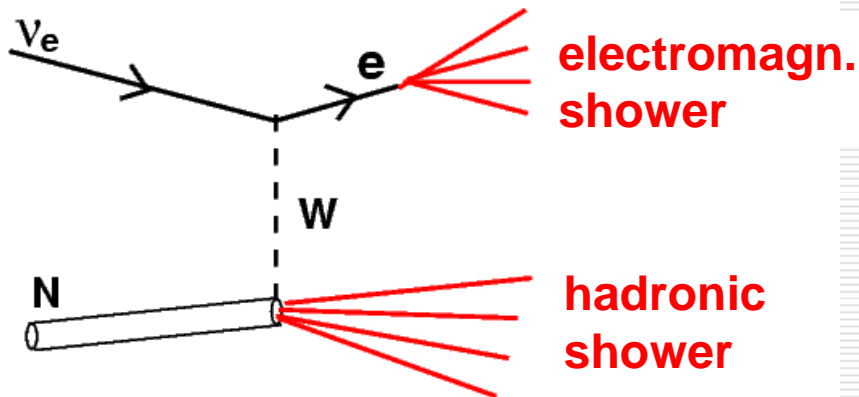
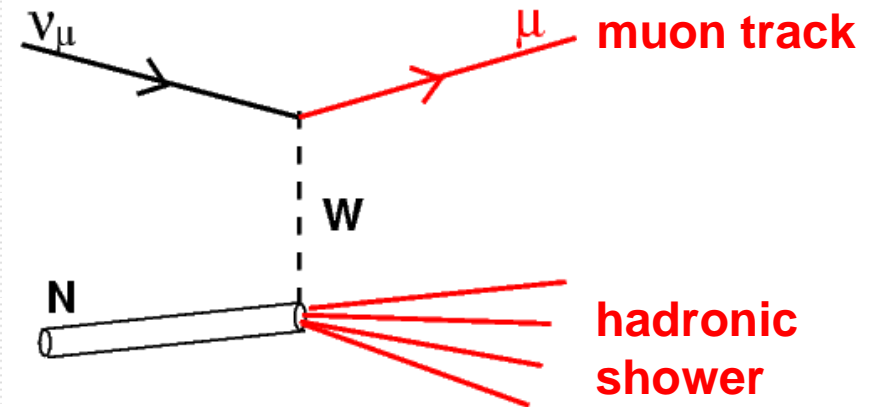
- In water:  $\theta_c \approx 43^\circ$
- Spectral range used:  $\sim 350\text{-}500\text{nm}$ .

## Neutrino reactions (key reaction is $\nu_\mu N \rightarrow \mu X$ ):

- Cross sections and reaction mechanisms known from accelerator experiments (in particular HERA).
- Extrapolation to highest energies ( $> 100\text{ TeV}$ ) uncertain.

# Neutrino Interaction Signatures

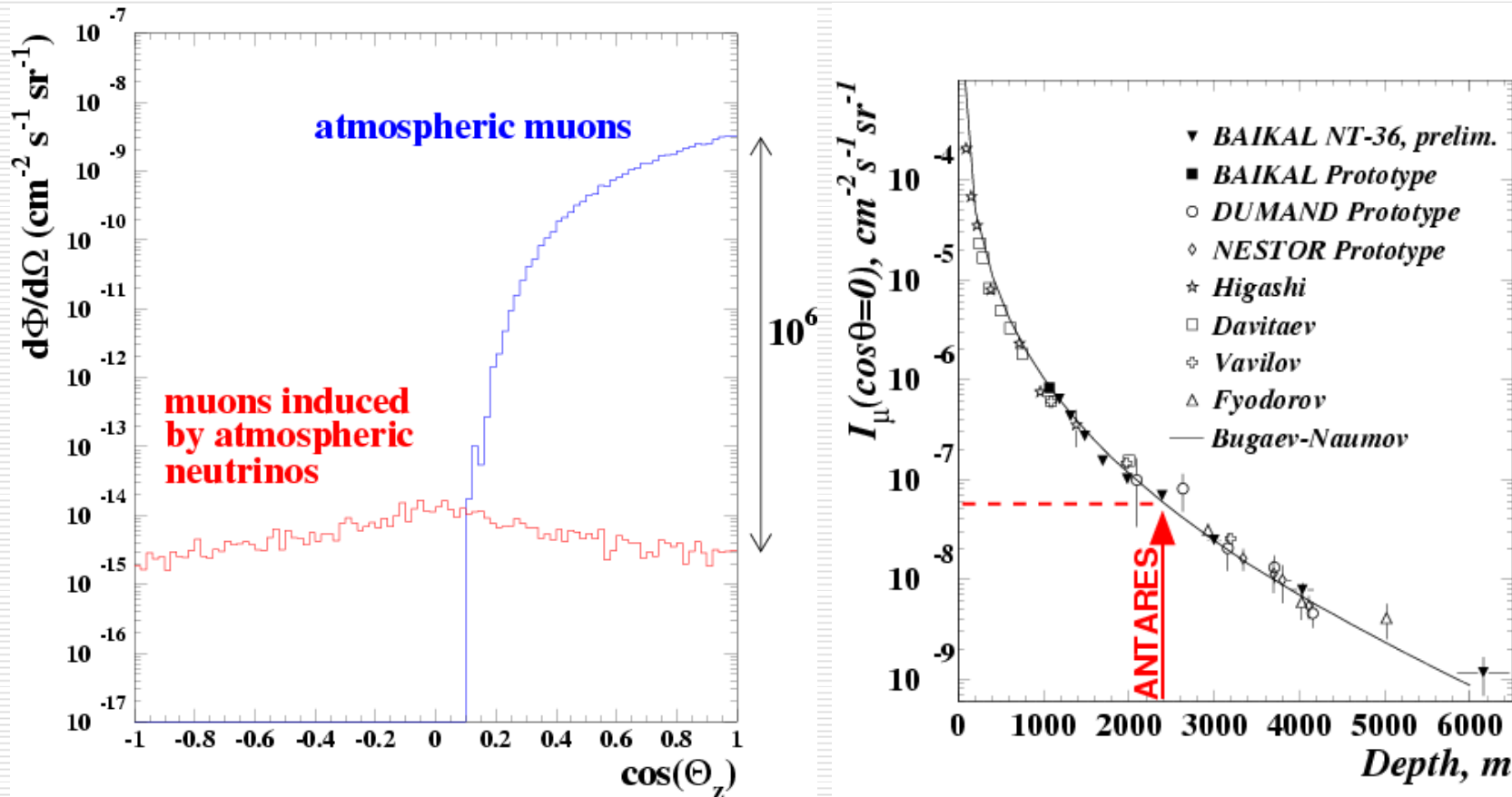
- Neutrinos mainly from  $\pi$ - $\mu$ -e decays, roughly  $\nu_e : \nu_\mu : \nu_\tau = 1 : 2 : 0$ ;
- Arrival at Earth after oscillations:  $\nu_e : \nu_\mu : \nu_\tau \approx 1 : 1 : 1$ ;
- Key signature: muon tracks from  $\nu_\mu$  charged current reactions (few 100m to several km long);
- Electromagnetic/hadronic showers: “point sources” of Čerenkov light.



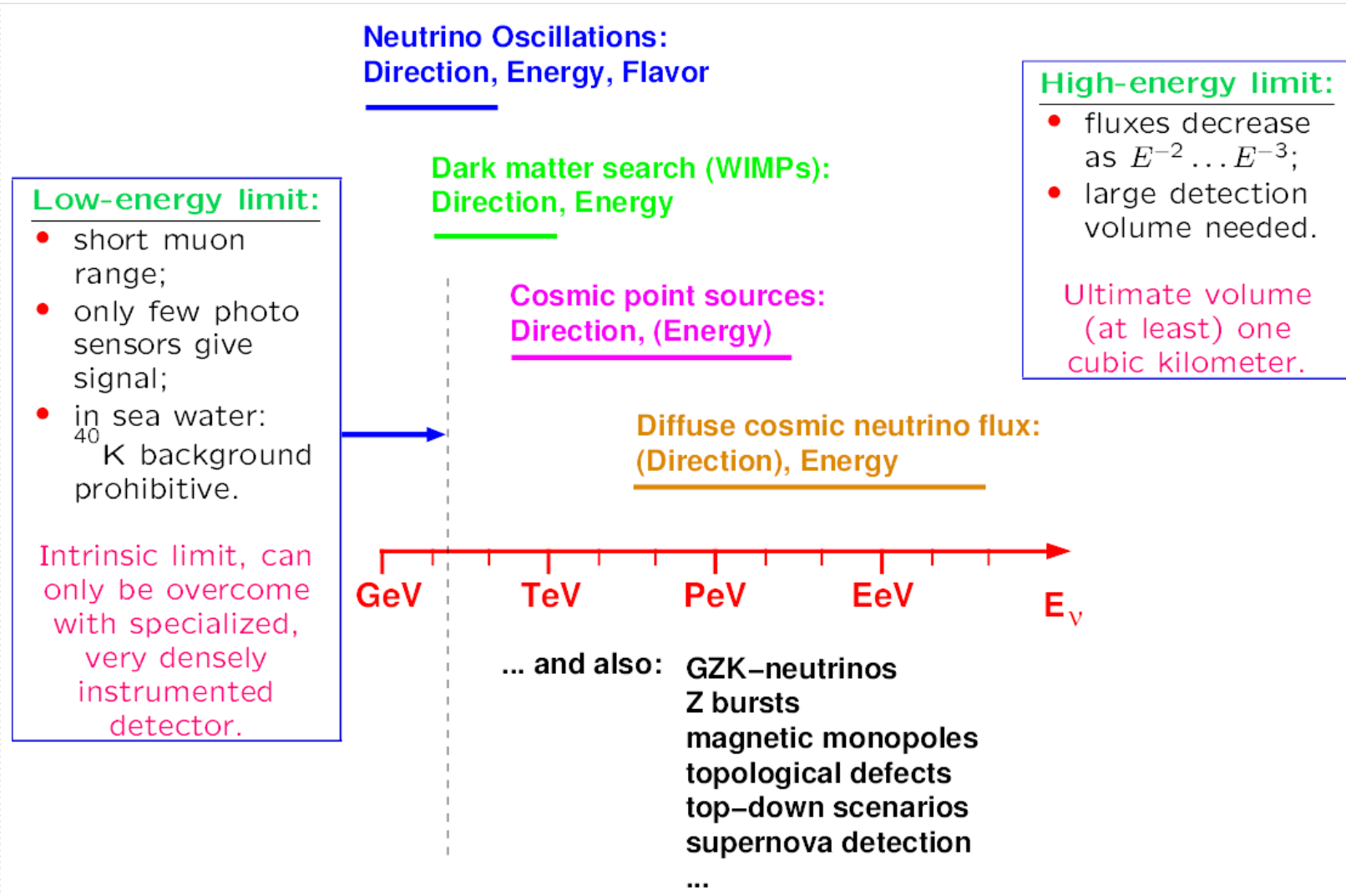
# Muons: The Background from Above

Muons can penetrate several km of water if  $E_\mu > 1\text{TeV}$ ;

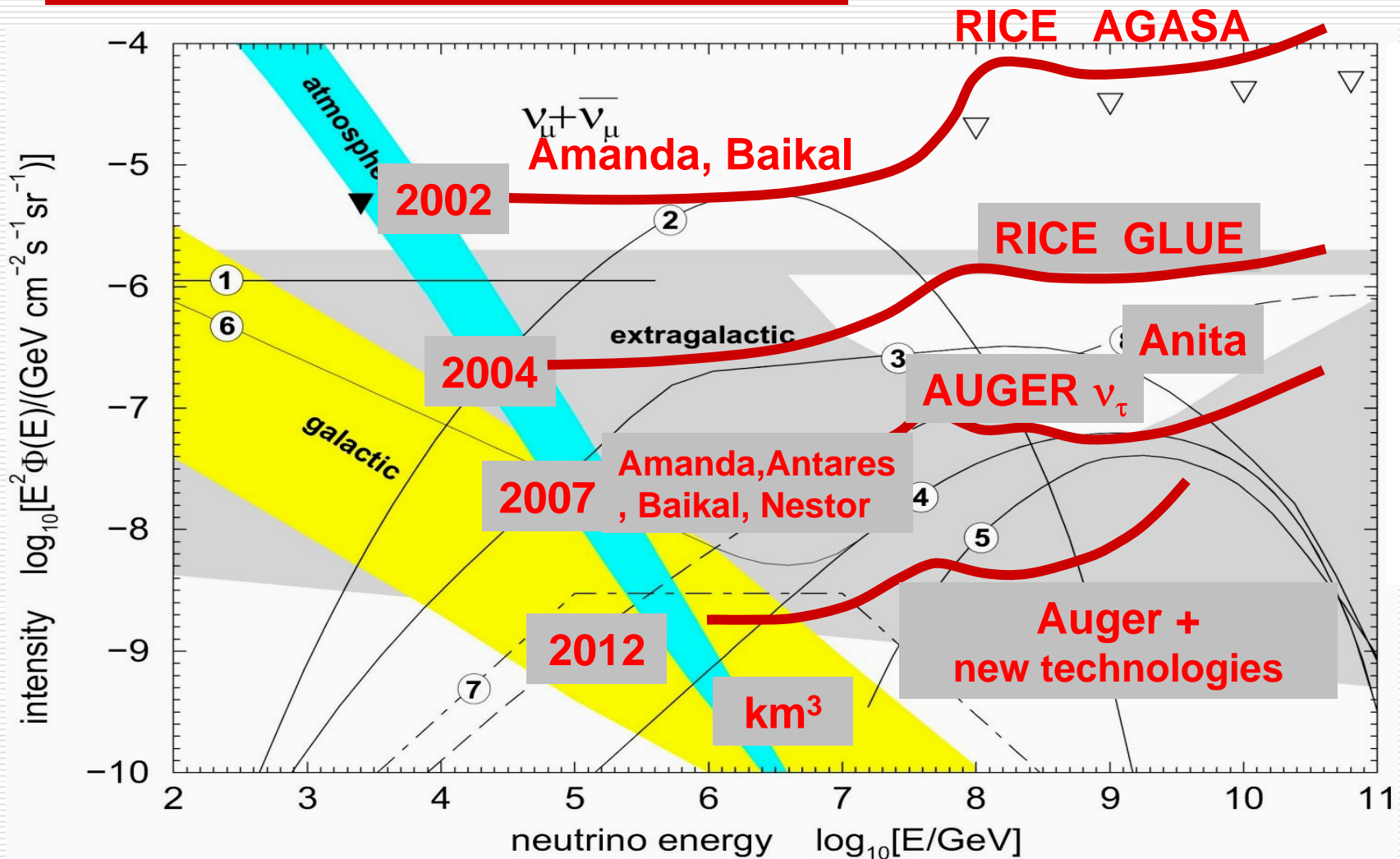
Identification of cosmic  $\nu$ 's from above: needs showers or very high energies.



# Particle and Astrophysics with $\nu$ Telescopes

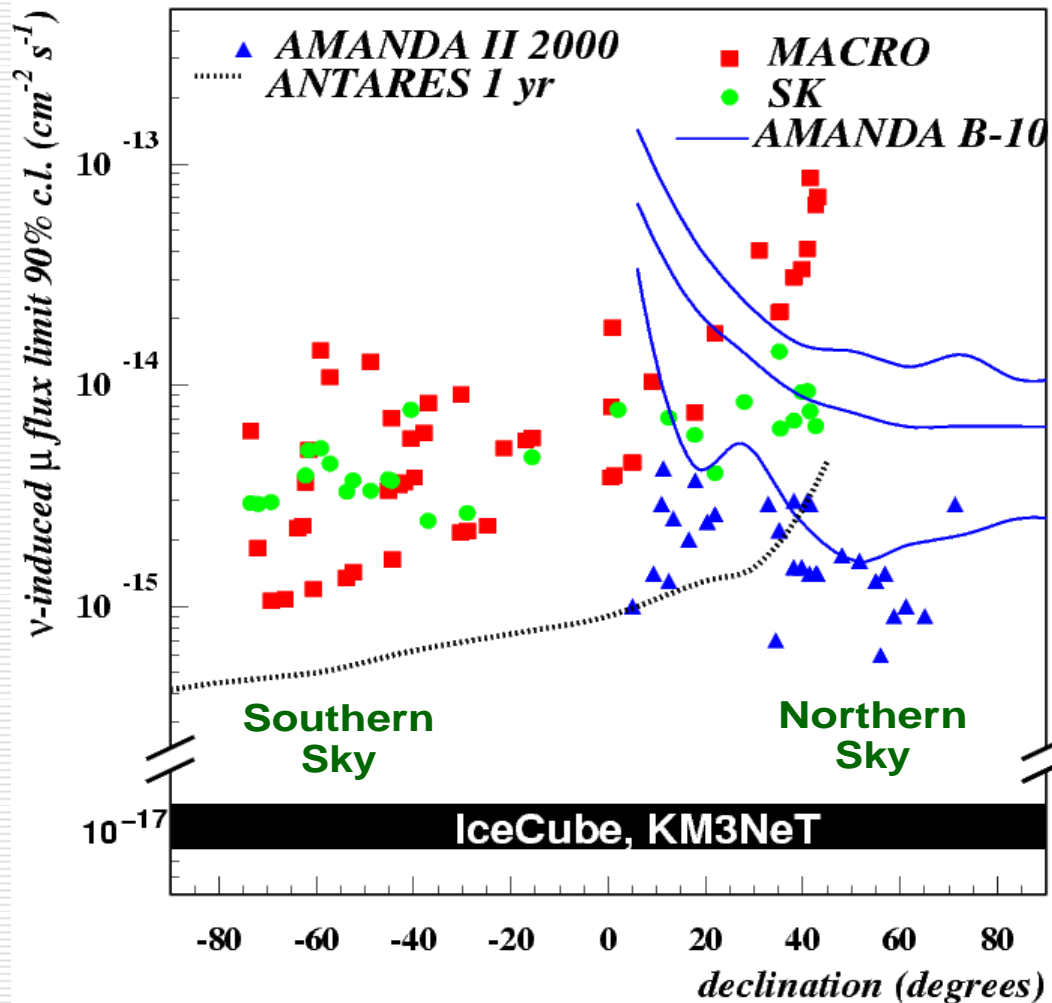


# Diffuse $\nu$ Flux: Limits and Sensitivities



C. Spiering, J. Phys. G 29 (2003) 843

# Neutrinos from Astrophysical Point Sources



- Association of neutrinos to **specific astrophysical objects**.
- Energy spectrum, time structure, multi-messenger observations provide **insight into physical processes inside source**.
- Searches profit from **very good angular resolution** of water Čerenkov telescopes.
- km<sup>3</sup> detectors needed to exploit **full potential of neutrino astronomy**.

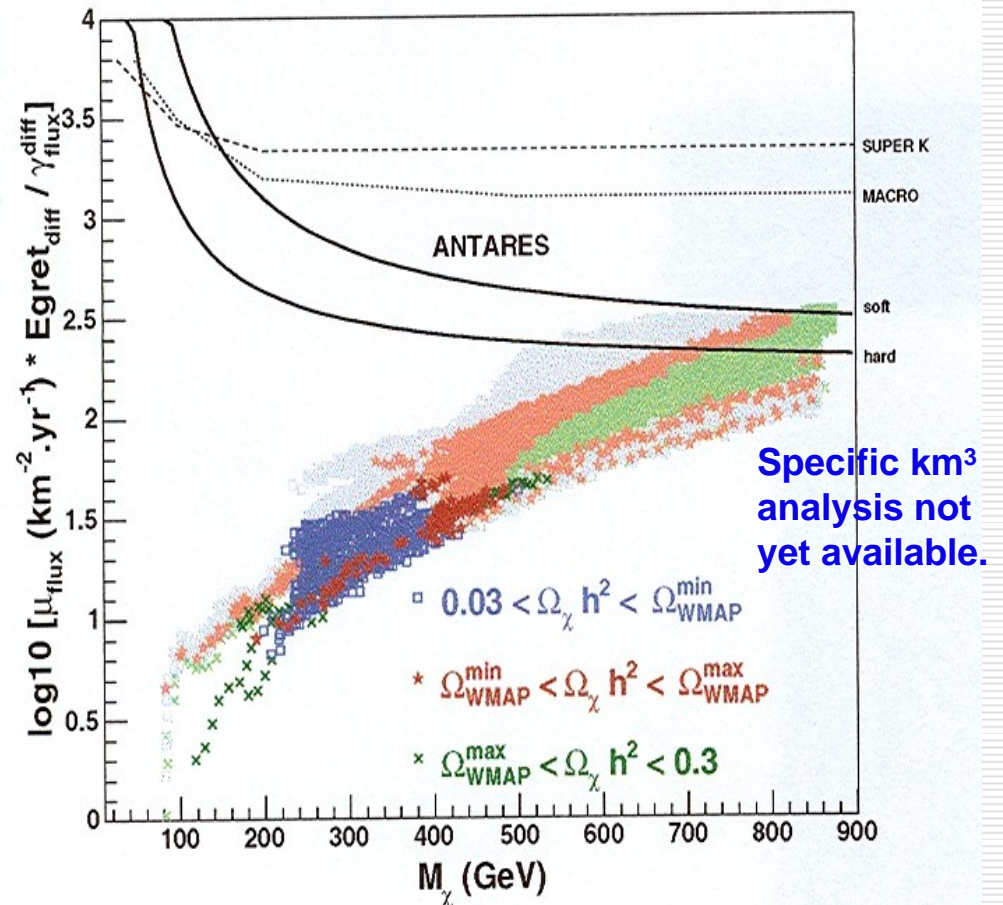


# Indirect Search for Dark Matter

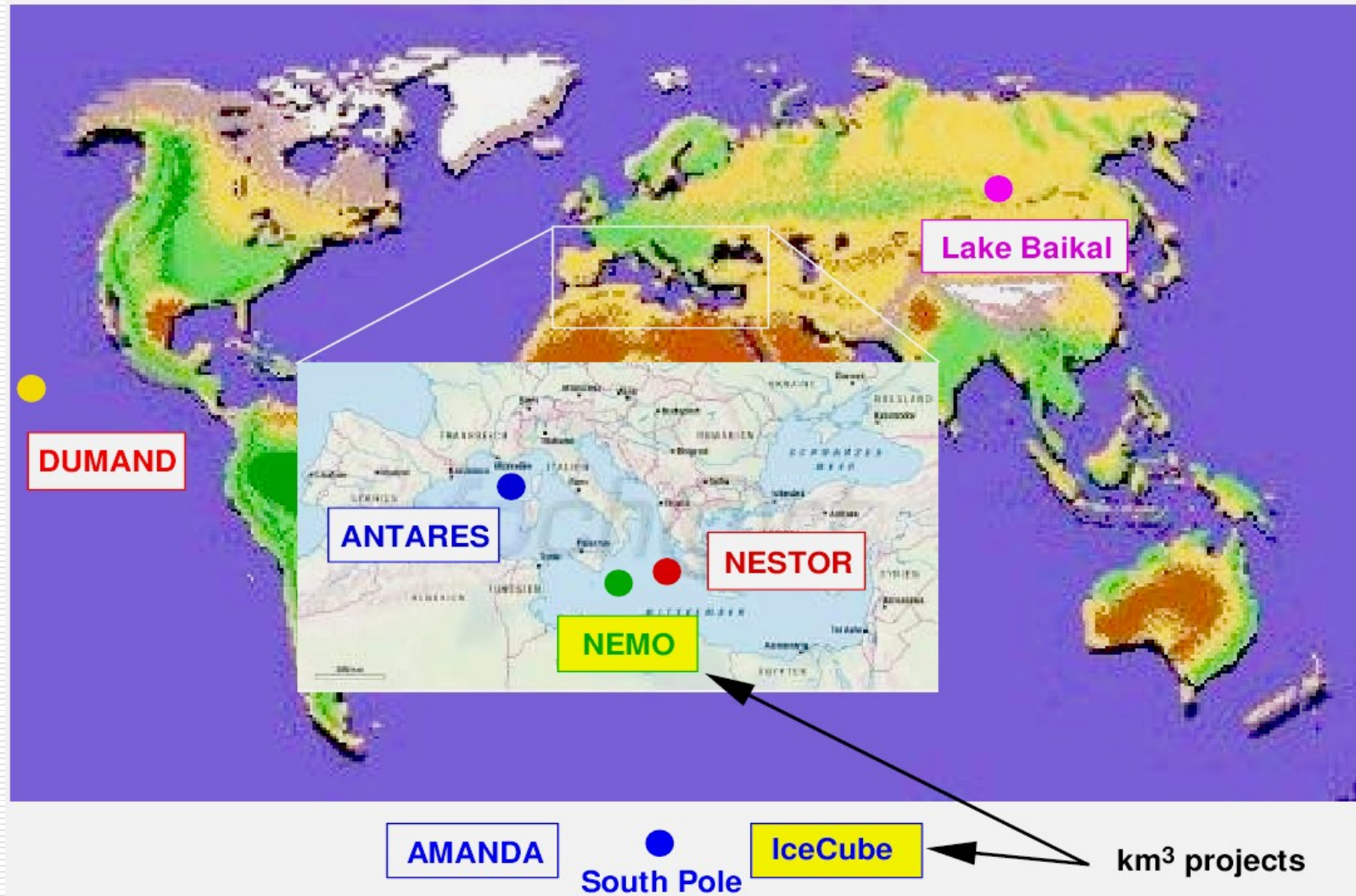
- WIMPs can be gravitationally trapped in Earth, Sun or Galactic Center;
- Neutrino production by  

$$XX \rightarrow \nu + X$$
- Detection requires low energy threshold (O(100GeV) or less).
- Flux from Galactic Center may be enhanced if a Black Hole is present → exciting prospects [see e.g. P. Gondolo and J. Silk, PRL 83(1999)1719].
- But: model uncertainties are orders of magnitude!

from G. Bertone et al., astro-ph/0403322



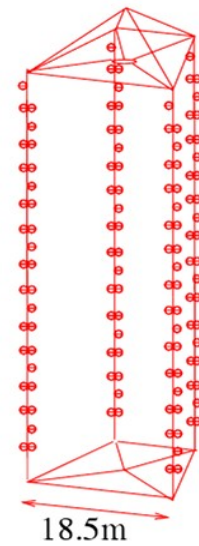
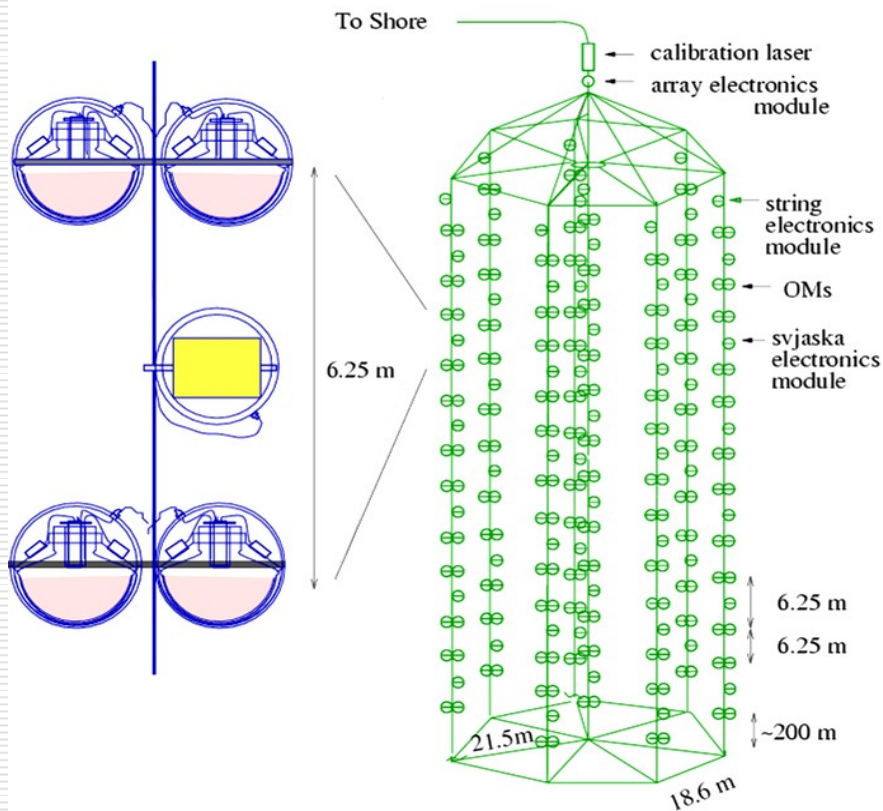
# The Neutrino Telescope World Map



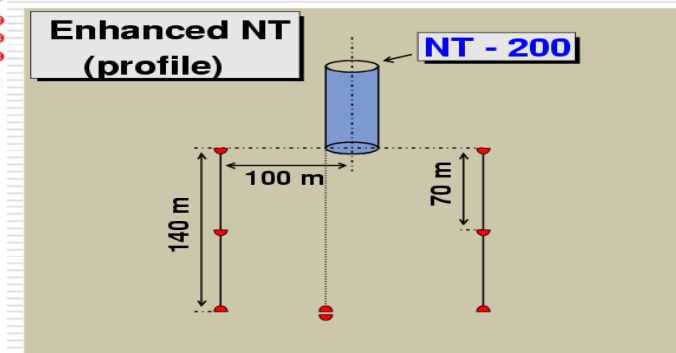
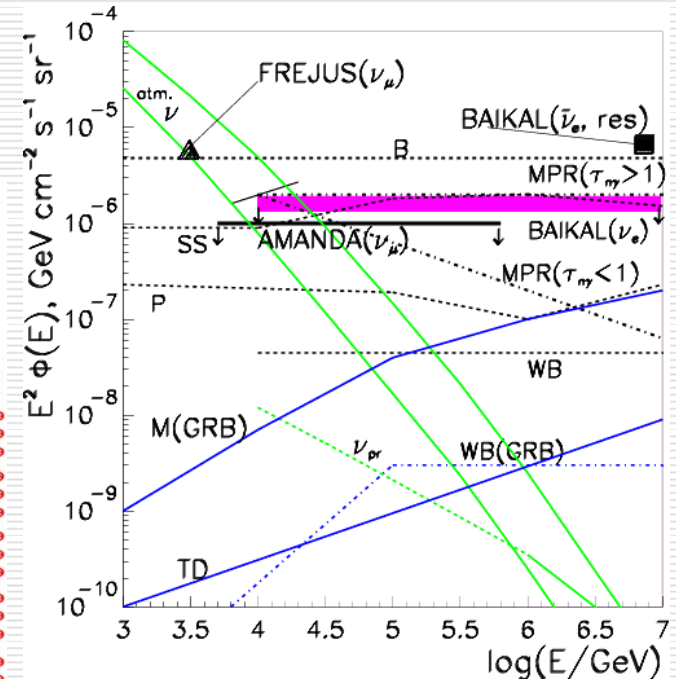
# Lake Baikal: A Sweet-Water $\nu$ Telescope

- **Pioneers in under-water technology for  $\nu$  telescopes.**
- **Many excellent physics results.**
- **Further upgrades planned, but  $\text{km}^3$  hardly reachable.**

## The BAIKAL NT-200 Neutrino Telescope



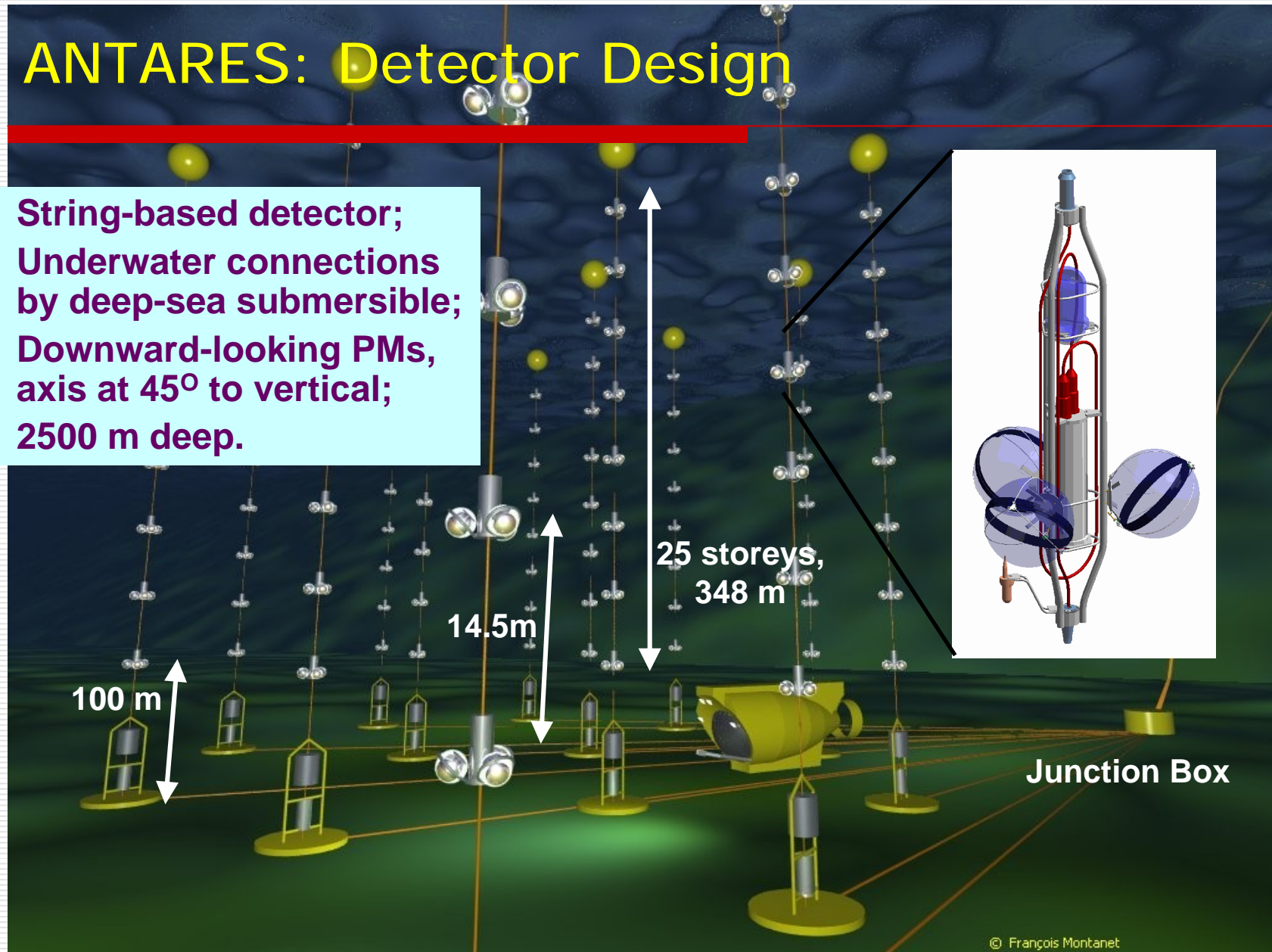
NT-96  
(1996)





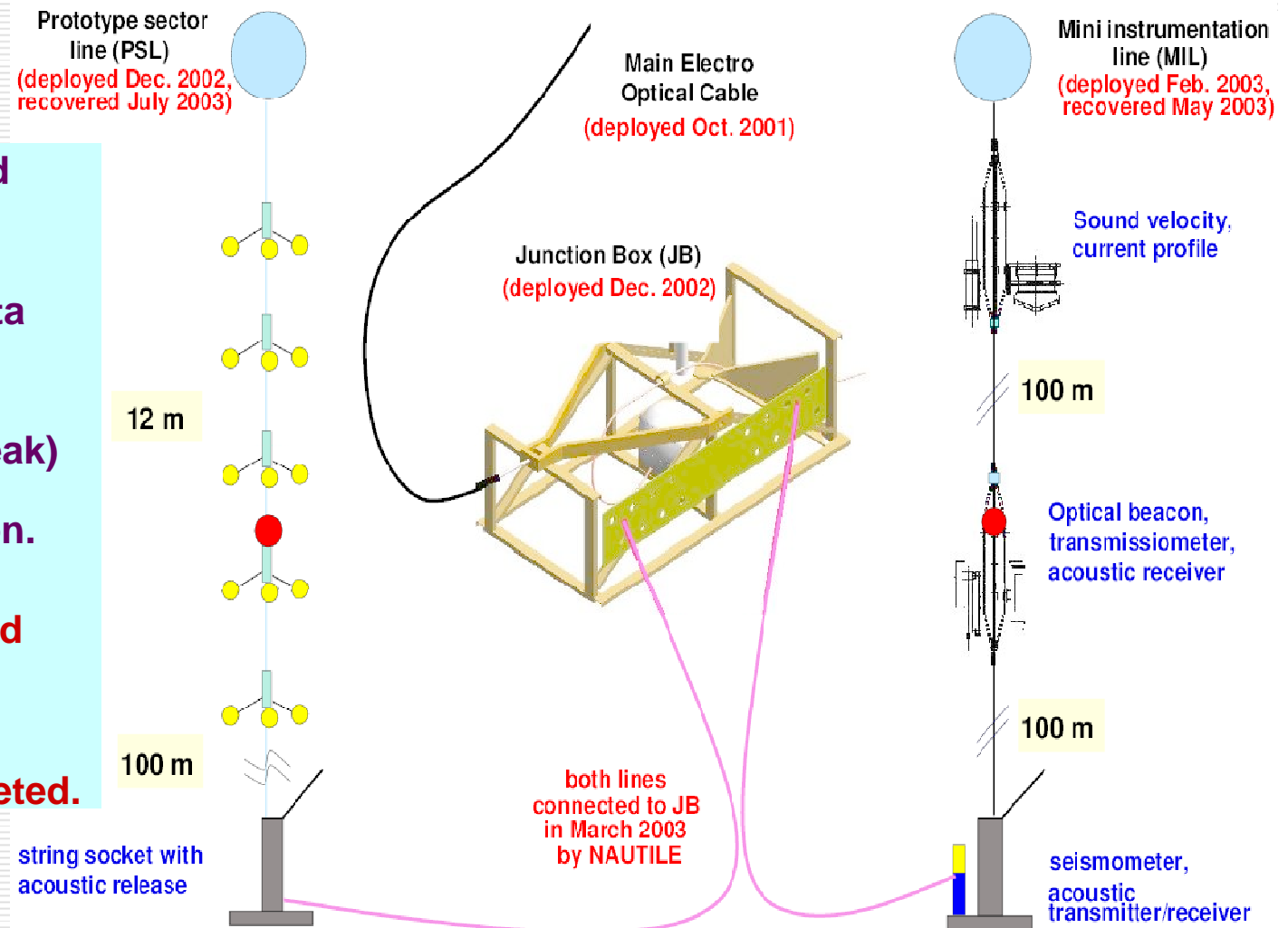
# ANTARES: Detector Design

- String-based detector;
- Underwater connections by deep-sea submersible;
- Downward-looking PMs, axis at  $45^\circ$  to vertical;
- 2500 m deep.



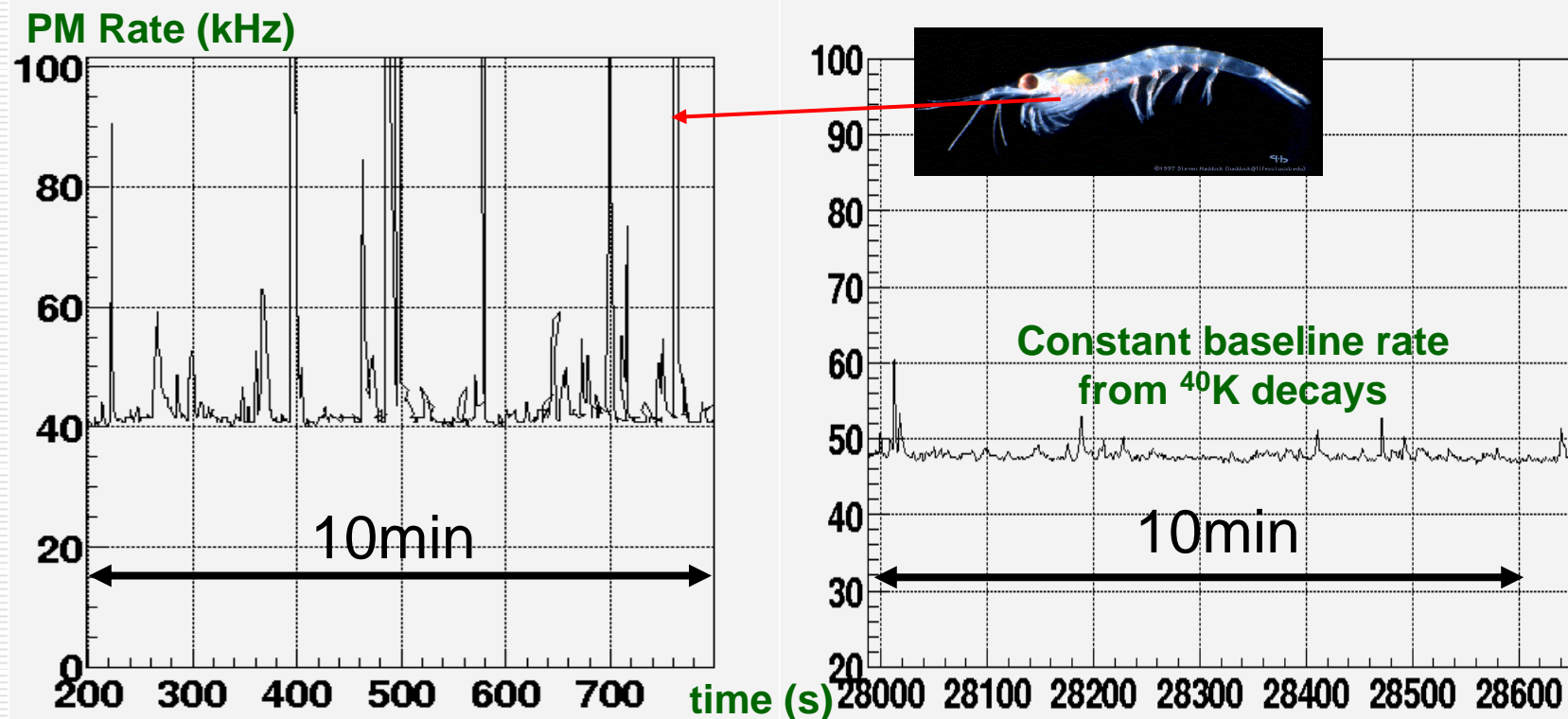
# ANTARES: Status and Way to Completion

- **2003: Deployment and operation of two prototype lines.**
- **Several months of data taking.**
- **Technical problems (broken fiber, water leak)**  
→ no precise timing, no  $\mu$  reconstruction.
- **Early 2005: 2 upgraded prototype lines;**
- **Mid-2005: Line 1;**
- **2007: Detector completed.**



# ANTARES: First Deep-Sea Data

- Rate measurements: Strong fluctuation of bioluminescence background observed



# NESTOR: Rigid Structures Forming Towers

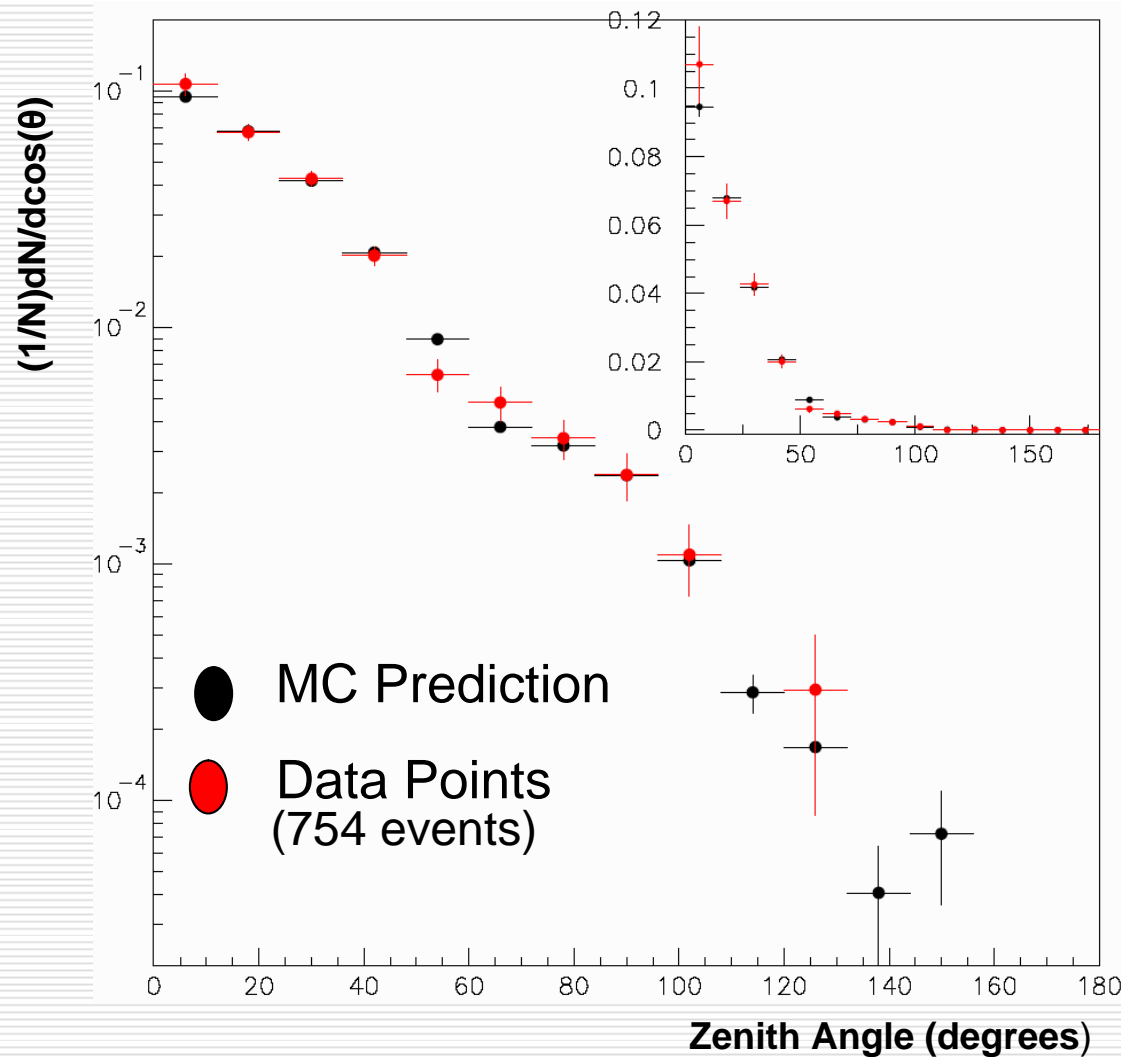
- Tower based detector (titanium structures).
- Dry connections (recover-connect-redeploy).
- Up- and downward looking PMs.
- 3800 m deep.
- First floor (reduced size) deployed & operated in 2003.

## Plan: Tower(s) with 12 floors

- 32 m diameter
- 30 m between floors
- 144 PMs per tower



# NESTOR: Measurement of the Muon Flux



Atmospheric muon flux  
determination by  
reweighting MC simulation  
to observed raw zenith  
distribution using

$$\frac{dN}{d\Omega \cdot dt \cdot ds} = I_0 \cdot \cos^\alpha \theta$$

Results agree nicely  
with previous measurements  
and with simulations.



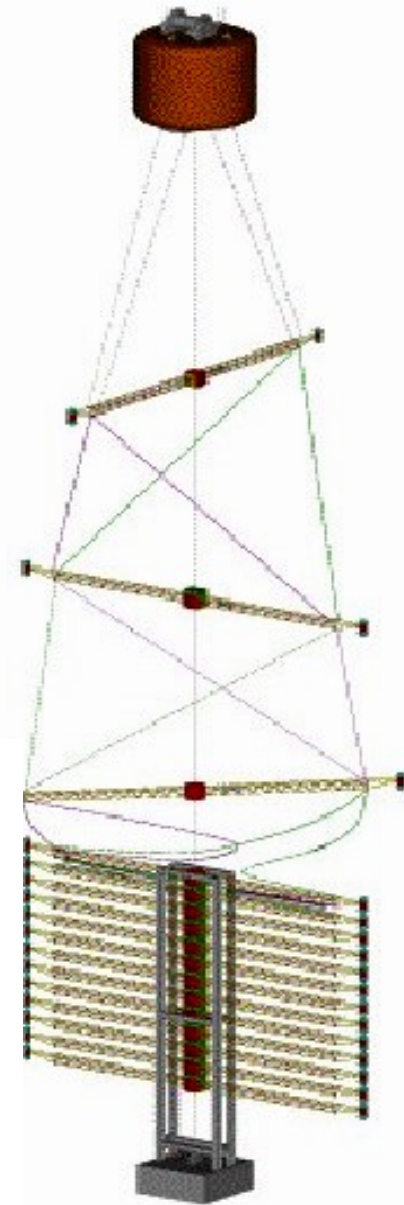
# The NEMO Project

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- Extensive site exploration (Capo Passero near Catania, depth 3340 m);
- R&D towards km<sup>3</sup>: architecture, mechanical structures, readout, electronics, cables ...;
- Simulation.

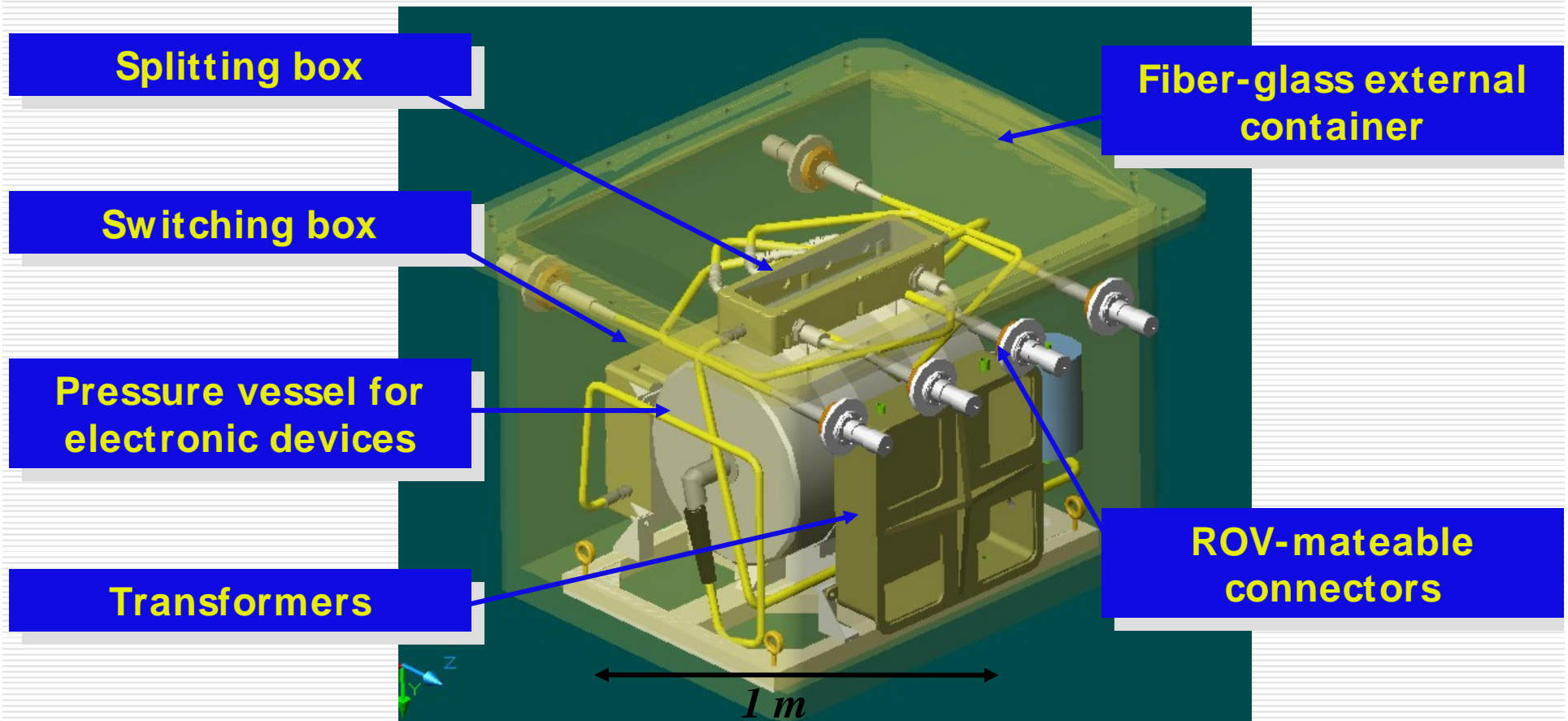
## Example: Flexible tower

- 16 arms per tower, 20 m arm length, arms 40 m apart;
- 64 PMs per tower;
- Underwater connections;
- Up- and downward-looking PMs.



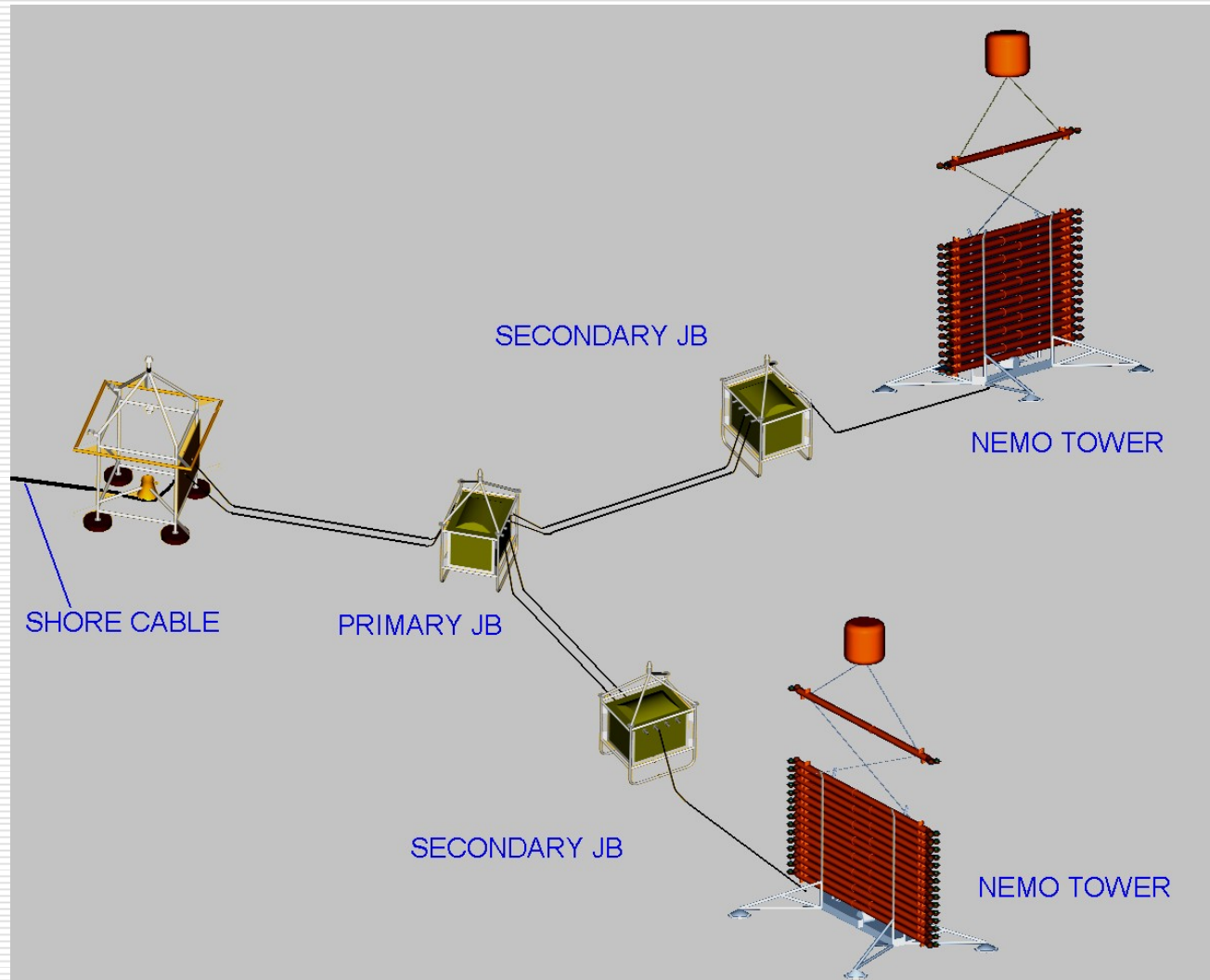
# NEMO: Junction Box R&D

**Aim:** Decouple the problems of pressure and corrosion resistance.



# NEMO: Phase-1 Test

- Test site at 2000 m depth identified.
- Test installation foreseen with all critical detector components.
- Funding ok.
- Completion expected by 2006.



# Current Projects: Summary

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- ANTARES + NESTOR: first installation steps successfully completed, prototype detector modules deployed and operated;
- ANTARES construction in preparation, detector expected to be complete by 2007;
- Discovery potential for cosmic neutrinos and Dark Matter;
- Feasibility proof for neutrino telescopy in sea water;
- NEMO: Ongoing R&D work for next-generation km<sup>3</sup>-scale detector.

# Aiming at a km<sup>3</sup>-Detector in the Mediterranean

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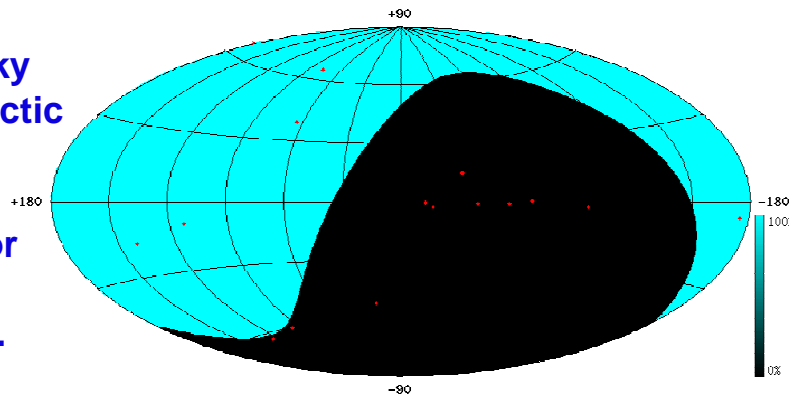
## HENAP Report to PaNAGIC, July 2002:

- “The observation of cosmic neutrinos above 100 GeV is of great scientific importance. ...”
- “... a km<sup>3</sup>-scale detector in the Northern hemisphere should be built to complement the IceCube detector being constructed at the South Pole.”
- “The detector should be of km<sup>3</sup>-scale, the construction of which is considered technically feasible.”

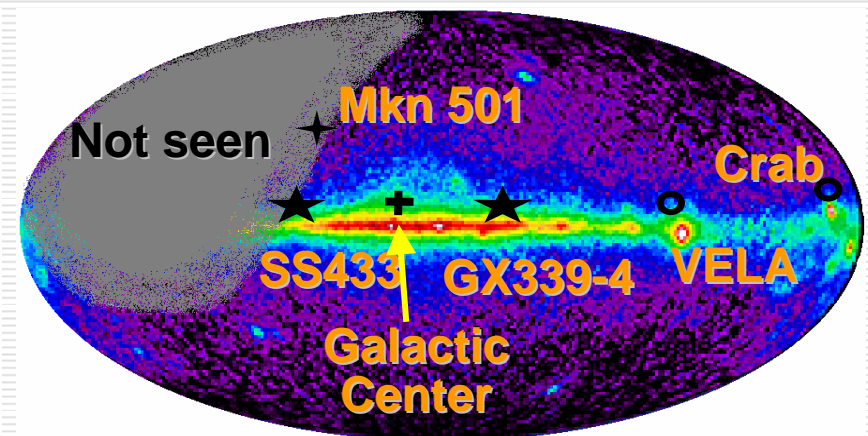
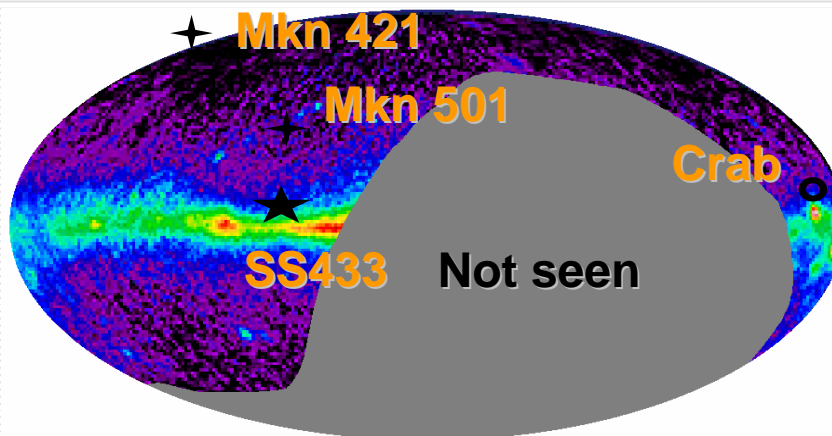
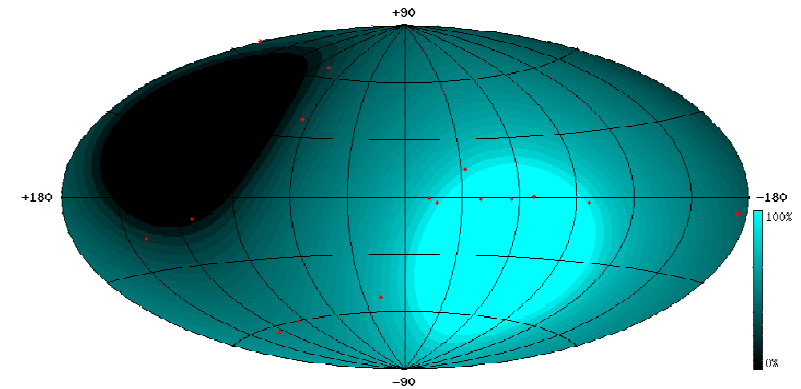
# Sky Coverage of Neutrino Telescopes

## South Pole

Region of sky seen in galactic coordinates assuming efficiency for downward hemisphere.

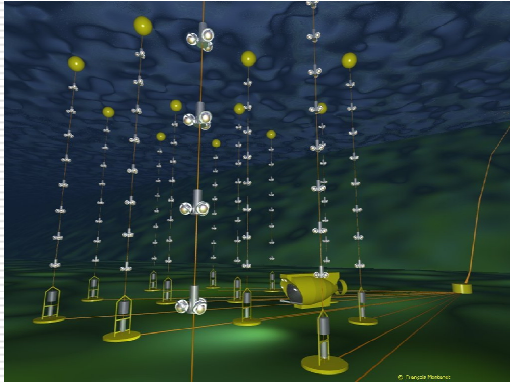


## Mediterranean



→ We need  $\nu$  telescopes in both hemispheres to see the whole sky

# How to Design a km<sup>3</sup> Deep-Sea $\nu$ Telescope



scale up

dilute

new design

## Existing telescopes “times 100” ?

- Too expensive
- Too complicated:  
production, deployment takes forever, maintenance impossible
- Not scalable  
(readout bandwidth, power, ...)

## R&D needed:

- Cost-effective solutions  
to reduce price/volume by factor 2-5
- Stability  
goal: maintenance-free detector
- Fast installation  
time for construction & deployment less than detector life time
- Improved components

## Large volume with same number of PMs?

- PM distance:  
given by absorption length in water (~60 m) and PM properties
- Efficiency loss for larger spacing



# The KM3NeT Design Study (EU FP6)

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## Design Study for a Deep-Sea Facility in the Mediterranean for Neutrino Astronomy and Associated Sciences

- Initial initiative **Sept 2002**.
- Intense discussions and coordination meetings from **beginning of 2003** on.
- VLVvT Workshop, Amsterdam, **Oct 2003**.
- ApPEC review, **Nov 2003**.
- Inclusion of sea science/technology institutes (**Jan 2004**).
- Proposal submission **04.03.2004**.
- Evaluation report received **June 2004** (overall mark: 88%).
- Unofficial but reliable message (**Sept. 2004**):  
**The KM3NeT Design Study will be funded !**
- Currently waiting for EU budget allocation.



# KM3NeT Design Study Participants

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- Cyprus: Univ. Cyprus
- France: CEA/Saclay, CNRS/IN2P3 (CPP Marseille, IreS Strasbourg), IFREMER
- Germany: Univ. Erlangen, Univ. Kiel
- Greece: HCMR, Hellenic Open Univ., NCSR Democritos, NOA/Nestor, Univ. Athens
- Italy: CNR/ISMAR, INFN (Univs. Bari, Bologna, Catania, Genova, Messina, Pisa, Roma-1, LNS Catania, LNF Frascati), INGV, Tecnomare SpA
- Netherlands: NIKHEF/FOM + Groningen?
- Spain: IFIC/CSIC Valencia, Univ. Valencia, UP Valencia
- UK: Univ. Aberdeen, Univ. Leeds, Univ. Liverpool, John Moores Univ. Liverpool, Univ. Sheffield

**Particle/Astroparticle institutes – Sea science/technology institutes – Coordinator**

# Objectives and Scope of the Design Study

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## **Establish path from current projects to KM3NeT:**

- Critical review of current technical solutions;
- New developments, thorough tests;
- Comparative study of sites and recommendation on site choice (figure of merit: physics sensitivity / €);
- Assessment of quality control and assurance;
- Exploration of possible cooperation with industry;
- Investigation of funding and governance models.

**Envisaged time scale of design, construction and operation poses stringent conditions.**

# Design Study Target Values

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- **Detection principle:** water Čerenkov.
- **Location in Europe:** in the Mediterranean Sea.
- **Detection view:**  
maximal angular acceptance for all possible detectable neutrino signals including down-going neutrinos at VHE.
- **Detection volume:** 1 km<sup>3</sup>, expandable.
- **Angular resolution:** close to the intrinsic resolution ( $< 0.1^\circ$  for muons with  $E_\mu > 10$  TeV).
- **Lower energy threshold:**  
a few 100 GeV for upward going neutrinos with the possibility to go lower for  $\nu$  from known point sources.
- **Energy reconstruction:** within a factor of 2 for muon events.
- **Reaction types:** all neutrino flavors.
- **Duty cycle:** close to 100%.
- **Operational lifetime:**  $\geq 10$  years.
- **Cost-effectiveness:**  $< 200$  M€ per km<sup>3</sup>.

**Most of these  
parameters need  
optimisation !**

# Some Key Questions

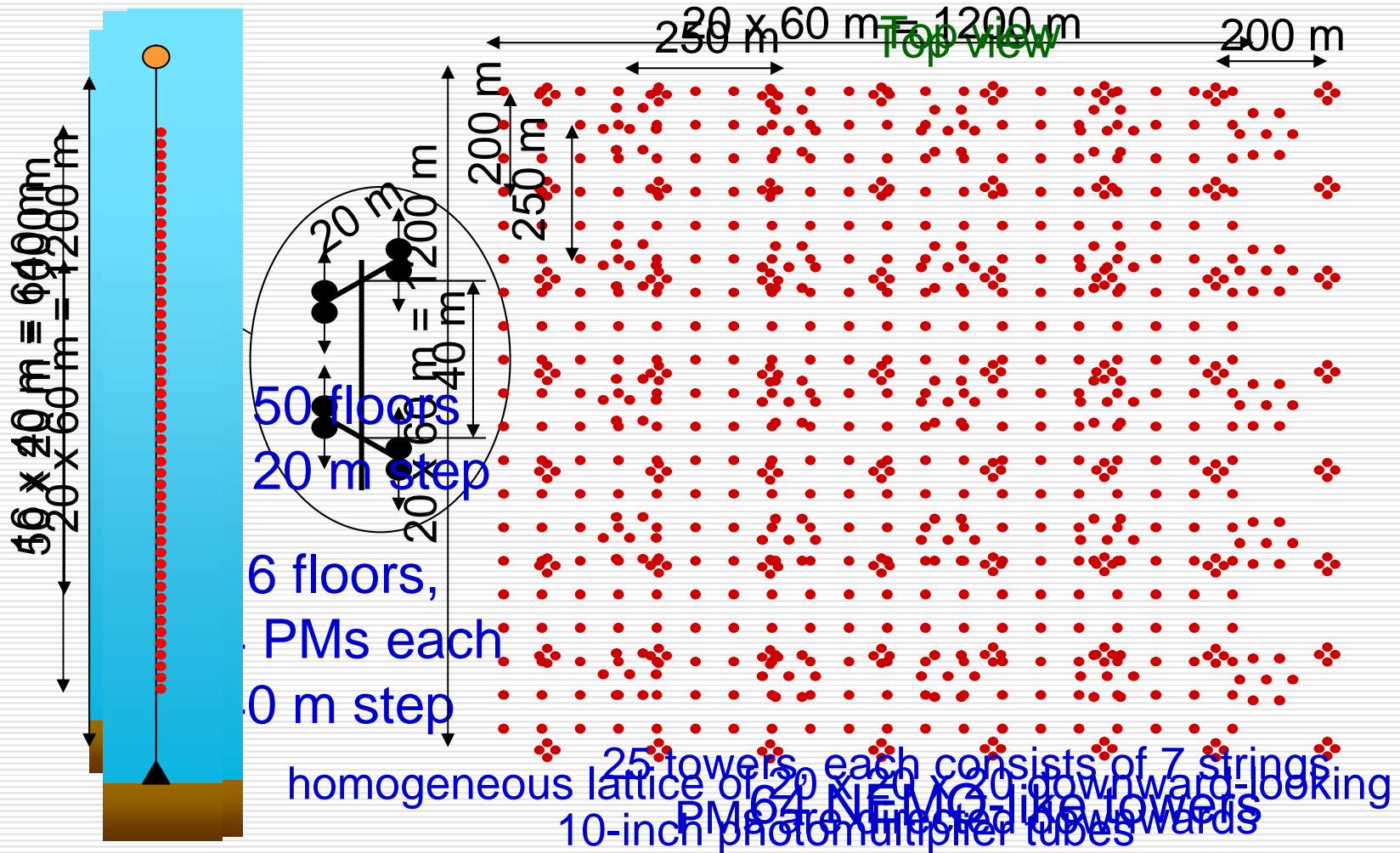
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**All these questions  
are highly  
interconnected !**

- Which architecture to use?  
(strings vs. towers vs. new design)
- How to get the data to shore?  
(optical vs. electric, electronics off-shore or on-shore)
- How to calibrate the detector?  
(separate calibration and detection units?)
- Design of photo-detection units?  
(large vs. several small PMs, directionality, ...)
- Deployment technology?  
(dry vs. wet by ROV/AUV vs. wet from surface)
- And finally: The site choice/recommendation!

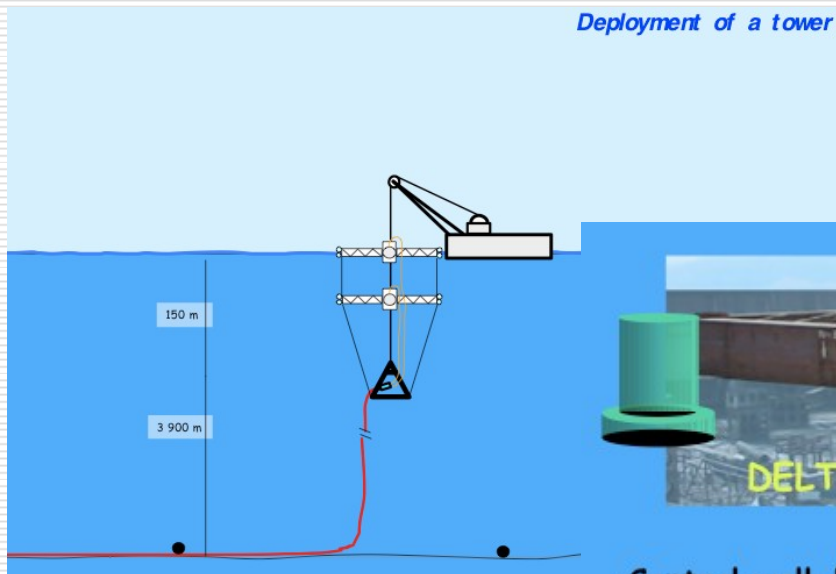
# Detector Architecture

(D. Zaborov at VLVvT)

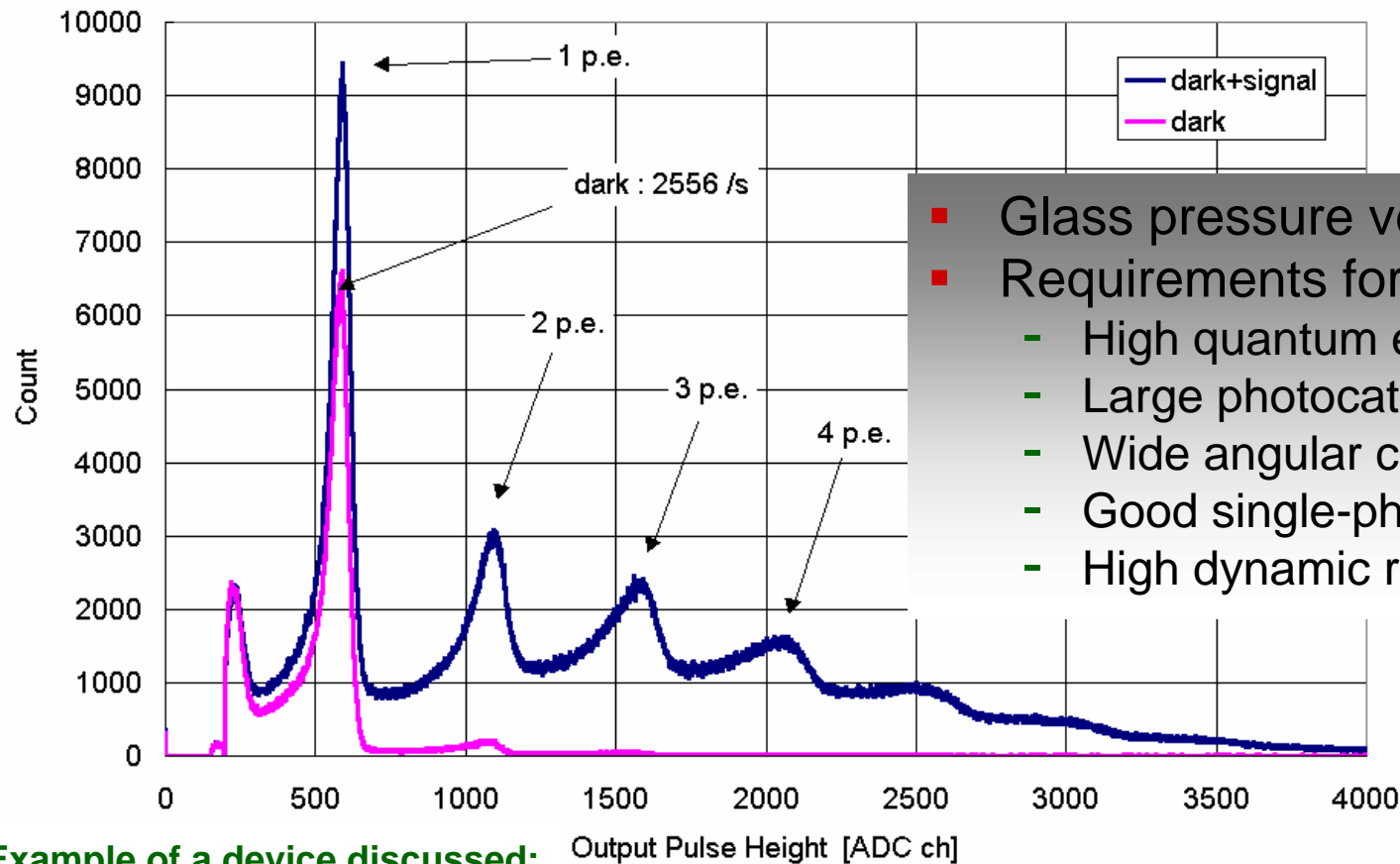


# Sea Operations

- Rigid towers or flexible strings?
- Connection in air (no ROVs) or wet mateable connectors?
- Deployment from platform or boat?



# Photo Detection: Requirements



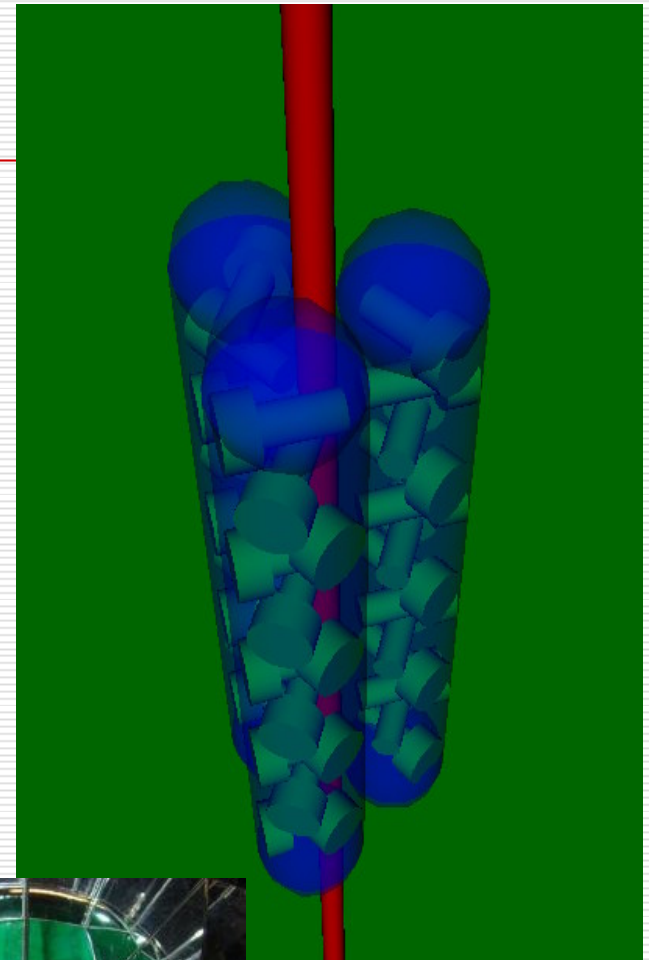
**Example of a device discussed:**  
**Hamamatsu HY0010 HPD**  
**Excellent p.e. resolution**

- Glass pressure vessel  $\leq 17$  inch
- Requirements for  $\nu$  telescopes:
  - High quantum efficiency
  - Large photocathode areas
  - Wide angular coverage
  - Good single-photon resolution
  - High dynamic range



# Photo Detection: Options

- Large photocathode area with arrays of small PMs packed into pressure housings - low cost!
- Determination of photon direction, e.g. via multi-anodic PMs plus a matrix of Winston cones.
- But: phase space for developments from scratch is too tight.

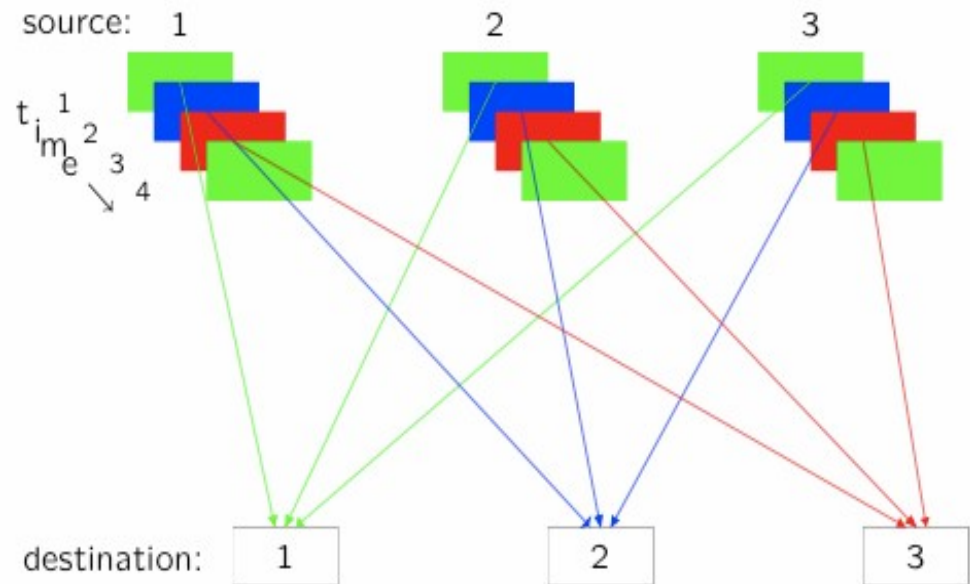




# Readout and Data Transfer

- **Data rate** from a km<sup>3</sup> detector will be ~2.5-10 Gb/s
- **Questions to be addressed:**
  - Optimal data transfer to shore (many fibers + few colors, few fibers + many colors, etc.);
  - How much processing to be done at the optical module?
  - Analogue vs. digital OMs: differing approaches for front-end electronics
  - Data filtering
  - Distribution of (raw) data to data analysis centers

- **One possible data distribution concept;**
- **Application of current PP GRID technologies to some of these open questions?**



# Exploitation Model

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**Reminder: KM3NeT is an *infrastructure*;  
Goal: facility exploited in multi-user and interdisciplinary environment.**

- Reconstructed data will be made available to the whole community.
- Observation of specific objects with increased sensitivity will be offered (by dedicated adjustment of filter algorithms).
- Close relation to space-based observatories will be established (alerts for GRBs, Supernovae etc.).
- “Plug-and-play” solutions for detectors of associated sciences.

# Associated Sciences

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- Great interest in long term deep-sea measurements in many **different scientific communities**:
  - Biology
  - Oceanography
  - Environmental sciences
  - Geology and geophysics
  - . . .
- Substantial cross-links to **ESONET** (The European Sea Floor Observatory Network).
- Plan: include the associated science communities in the design phase to understand and react to their needs and make use of their expertise (e.g. site exploration, bioluminescence).

# KM3NeT Design Study: Resources

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- Suggested overall budget of the Design Study: **24 M€**  
(mainly personnel, but also equipment, consumables, travel etc.).
- Amount requested from EU: **10 M€**;
- Estimated overall labor power: **~3500 FTEMs**  
(FTEM = full-time equivalent person month)  
→ 100 persons working full-time over 3 years!

**Substantial resources (labor power)  
additional to those available in the current  
pilot projects will be required !**

# KM3NeT: Time Schedule

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**Time scale given by "community lifetime" and competition with ice detector**

- Experience from current first generation water neutrino telescopes is a solid basis for the design of the KM3NeT detector.
- Interest fades away if KM3NeT comes much later than IceCube (ready by 2010).

Time schedule (optimistic):

01.01.2006	Start of Design Study
Mid-2007	Conceptual Design Report
End of 2008	Technical Design Report
2009-2013	Construction
2010-20XX	Operation

# Conclusions and Outlook

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- Compelling **scientific arguments** for complementing IceCube with a km<sup>3</sup>-scale detector in the Northern Hemisphere.
- The Mediterranean-Sea neutrino telescope groups NESTOR, ANTARES and NEMO comprise the leading expertise in this field. They have **united their efforts** to prepare together the future, km<sup>3</sup>-scale deep-sea detector.
- An EU-funded **Design Study (KM3NeT)** will provide substantial resources for an intense 3-year R&D phase; expected to start by beginning of 2006.
- Major objective: **Technical Design Report** by end of 2008.

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