

The ORCA option for KM3NeT

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PHYSICS

The plan for the next 20 minutes:

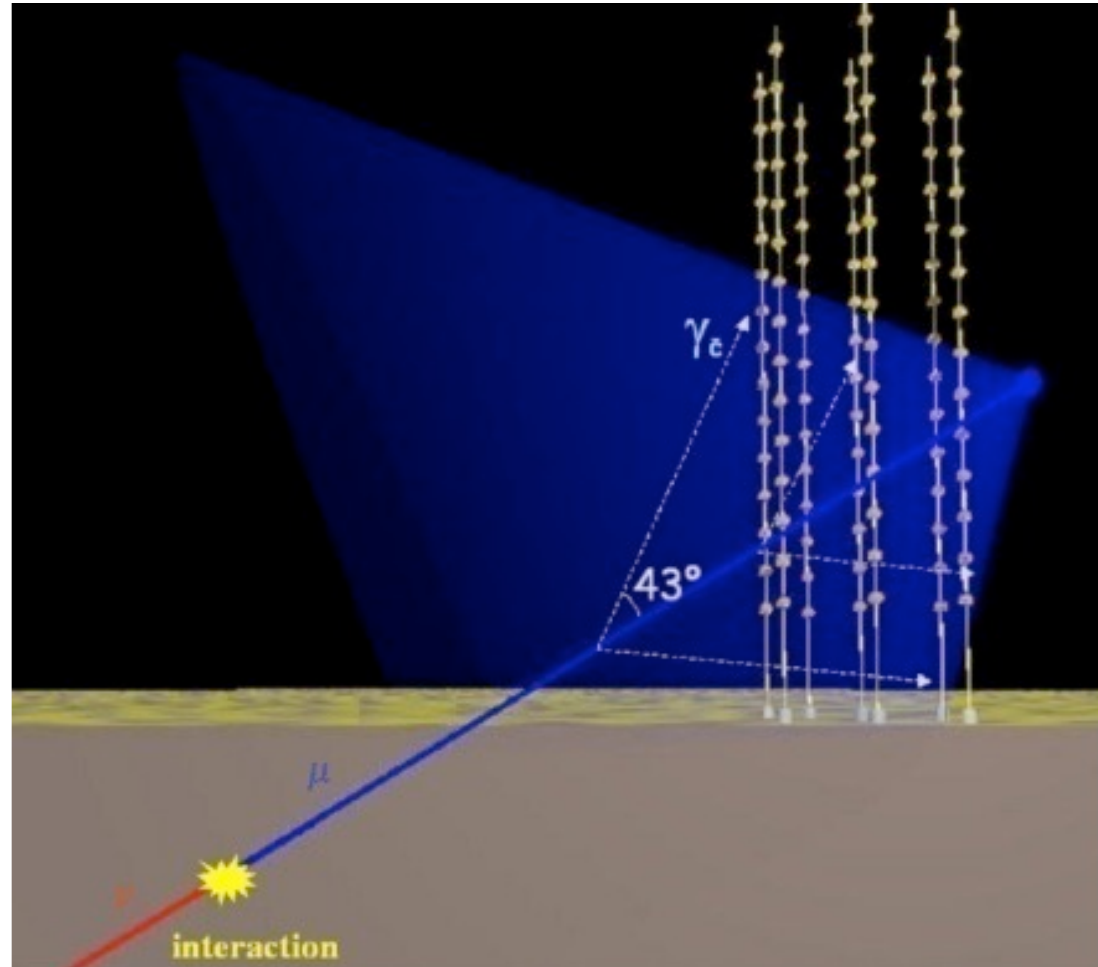
- Introduction
- KM3NeT and ORCA
- Some first performance figures for ORCA
- Towards a measurement of the neutrino mass hierarchy?
- Summary

Sincere thanks to all colleagues who provided advice and material for preparing this presentation

Introduction

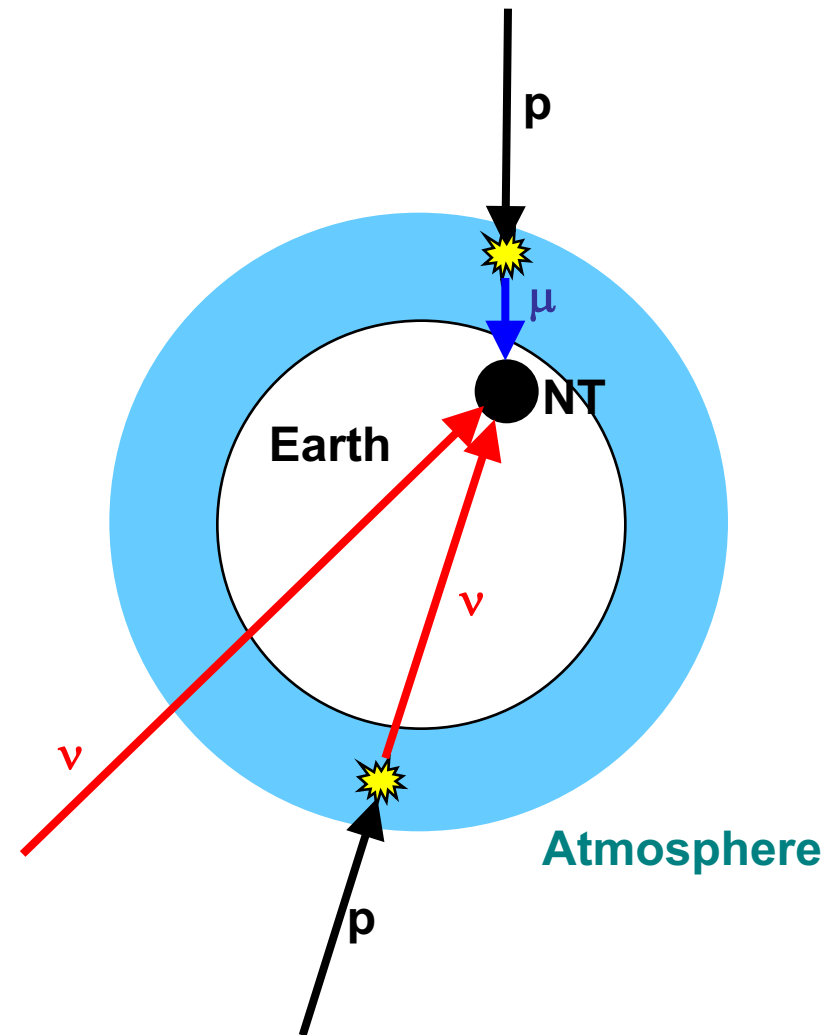
How does a neutrino telescope work?

- Neutrino interacts in the (vicinity of the) telescope
- Charged secondaries cross the detector volume (water or ice) and stimulate Cherenkov emission
- Recorded by a 3D-array of photo-sensors
- Most important channel:
 $\nu_\mu + N \rightarrow \mu + X$
- Typical energy range :
10(0) GeV – some PeV
- Angular resolution:
<1° for E>1 TeV
- $\Delta[\log(E)] \sim 0.3$



Backgrounds, or maybe not

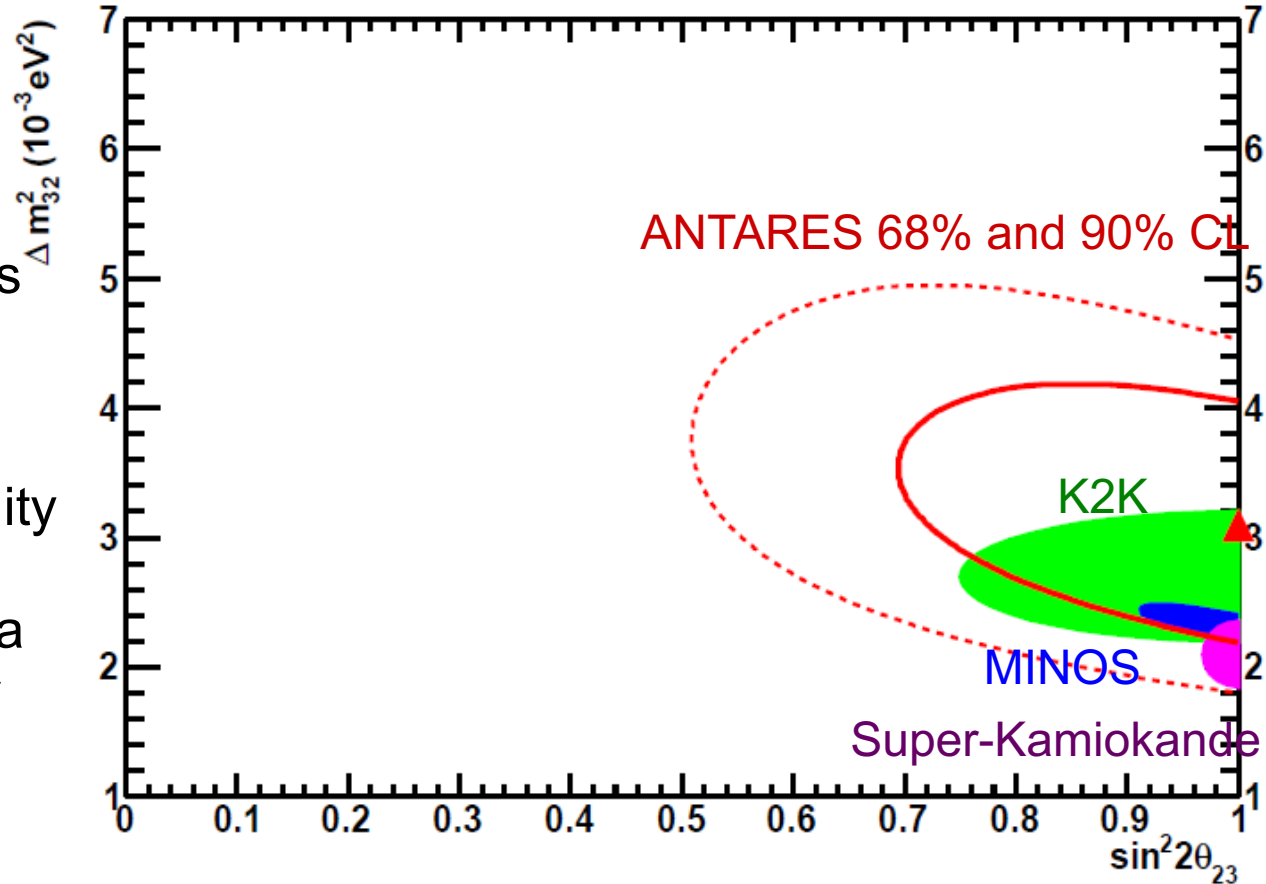
- Atmospheric neutrinos from cosmic-ray interactions in atmosphere
 - irreducible
 - important calibration source
 - allow for oscillation studies
- Atmospheric muons from cosmic-ray interactions in atmosphere above NT
 - penetrate to NT
 - exceed neutrino event rate by several orders of magnitude
- Sea water: light from K40 decays and bioluminescence



ANTARES: Neutrino oscillations

- Measure distribution of reconstructed $E / \cos \theta \propto E / L$
- Expected oscillation signal at lowest values
- Significant signal observed
- Demonstrates capability to reconstruct events down to 20 GeV with a detector optimised for the TeV range
- Results agree nicely with other experiments

Phys.Lett.B714(2012)224



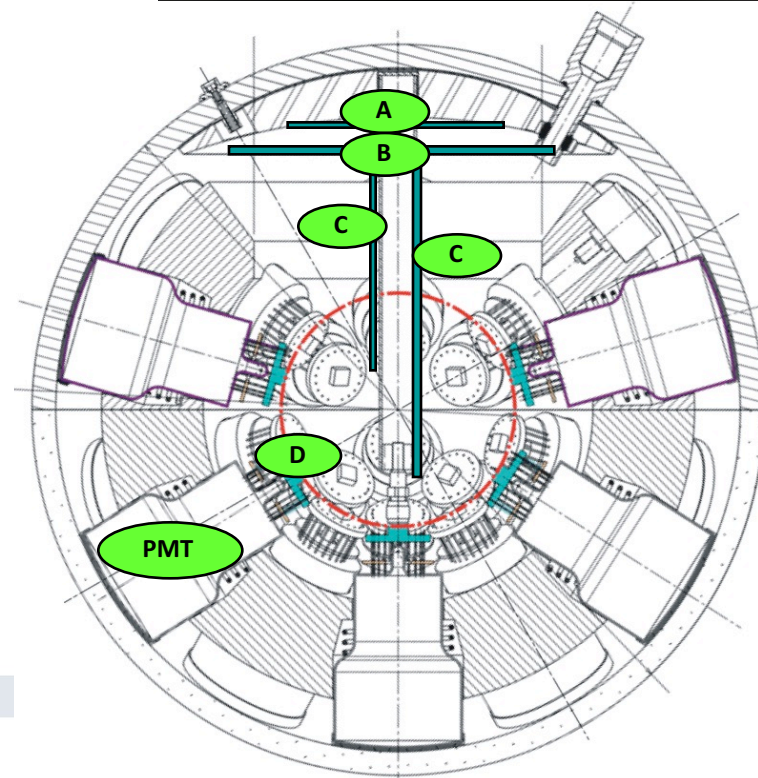
KM3NeT and ORCA

KM3NeT and ORCA in their strategic context

- KM3NeT:
 - Focus on neutrino astronomy (1-100 TeV)
 - Funding for first construction phase available (40 M€), must be spent by early 2015
- ORCA: Oscillation Research with Cosmics in the Abyss
 - Alternative plan for first KM3NeT installation
 - Could be a DeepCore-like part of the full KM3NeT neutrino telescope
 - Would imply a major change of paradigm in KM3NeT
 - Will not be pursued if mass hierarchy measurement is not possible
- ORCA: Currently a feasibility study using “typical” detector configurations – no concrete proposal yet

OM with many small PMTs

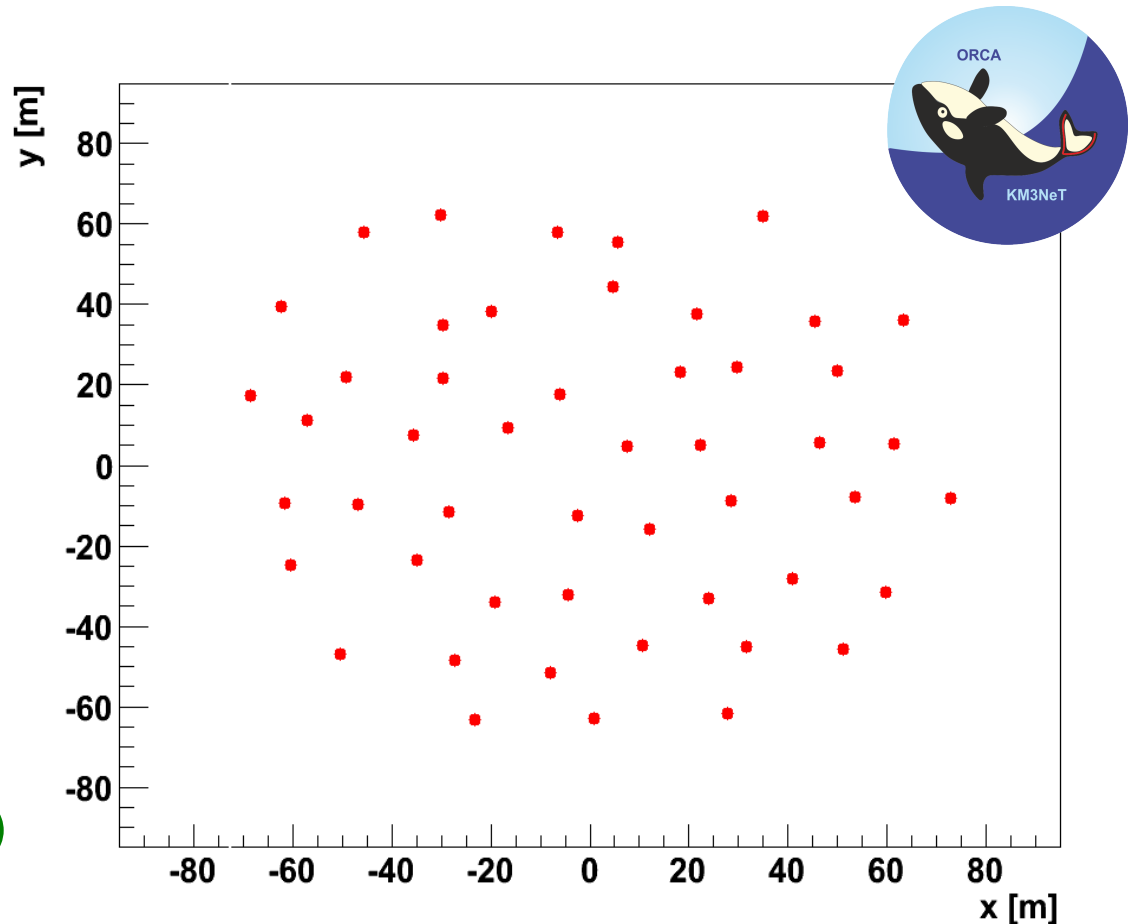
- 31 3-inch PMTs in 17-inch glass sphere (cathode area~ $3 \times 10''$ PMTs)
 - 19 in lower, 12 in upper hemisphere
 - Suspended by compressible foam core
- 31 PMT bases (total ~140 mW) (D)
- Front-end electronics (B,C)
- Al cooling shield and stem (A)
- Single penetrator
- 2mm optical gel
- Advantages:
 - increased photocathode area
 - 1-vs-2 photo-electron separation
→ better sensitivity to coincidences
 - directionality



ORCA: A case study for KM3NeT

- Investigated: 50 strings, 20 OMs each
- KM3NeT design: 31 3-inch PMTs / OM
- 20 m horizontal distance
- 6 m vertical distance
- Instrumented volume: 1.75 Mton water

Note; This is just a (scalable) example configuration



ORCA: Hardware and construction issues

- Use agreed KM3NeT technology; no major modifications required, but cable lengths etc. to be adapted
- String length restricted to avoid entanglement due to deep-sea currents
- Deployment requires care and studies (operation of deep-sea submersibles (ROVs) between deployed strings is impossible)
- New deployment scheme proposed (several strings in one sea operation)
- Very tight time constraints due to funding situation

ORCA Studies

The major experimental questions

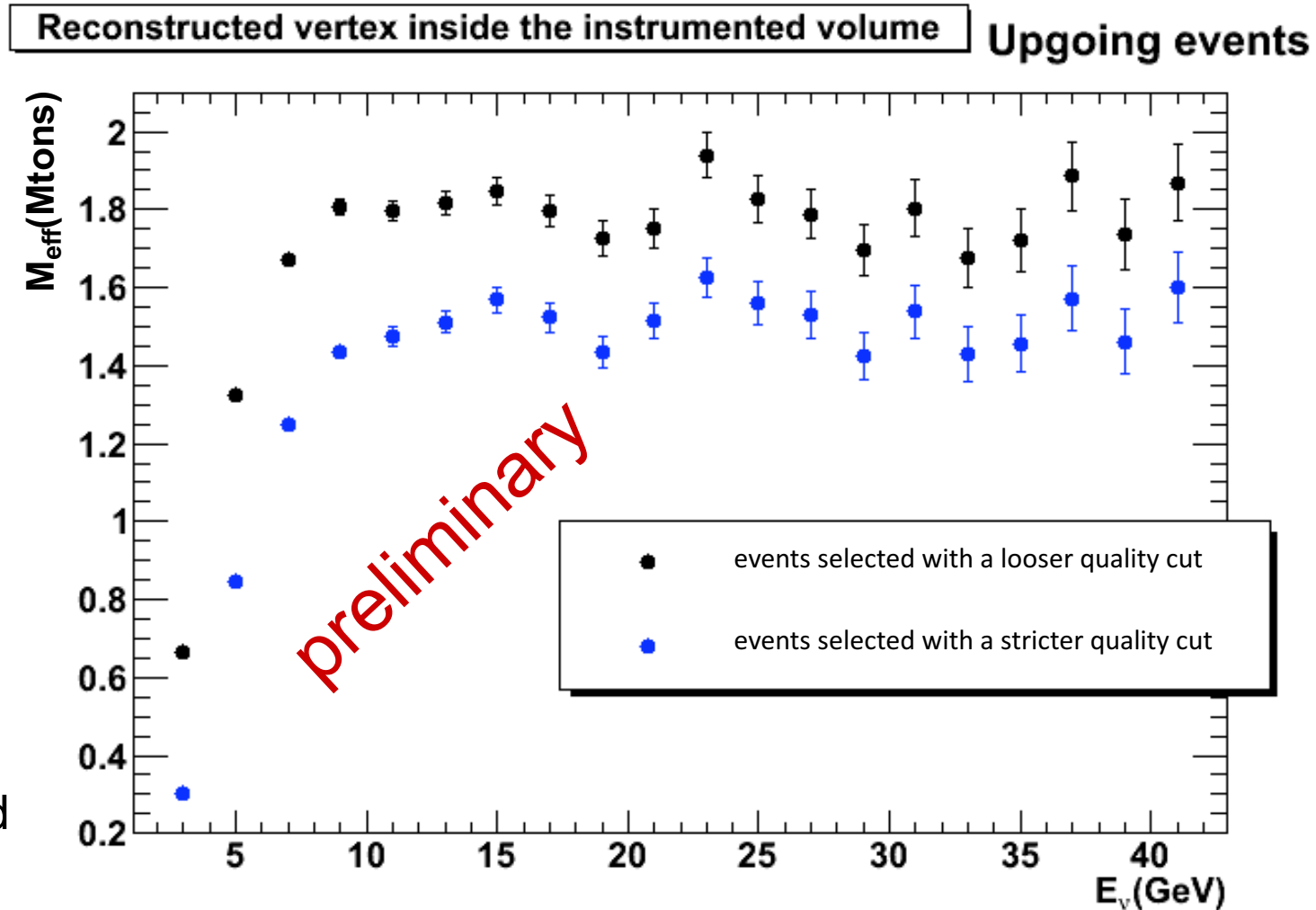
- What are the trigger/event selection efficiencies?
- How and how efficiently can we separate different event classes?
- How can we reconstruct the neutrino energy and what resolutions can we reach on E_ν and $\cos\theta_\nu$?
- How can we control backgrounds?
- What are the systematic effects and how can we control them?
- What precision of calibration is needed and how can it be achieved?

Questions under investigation,
no firm conclusions yet

A proposal requires knowing the answers!

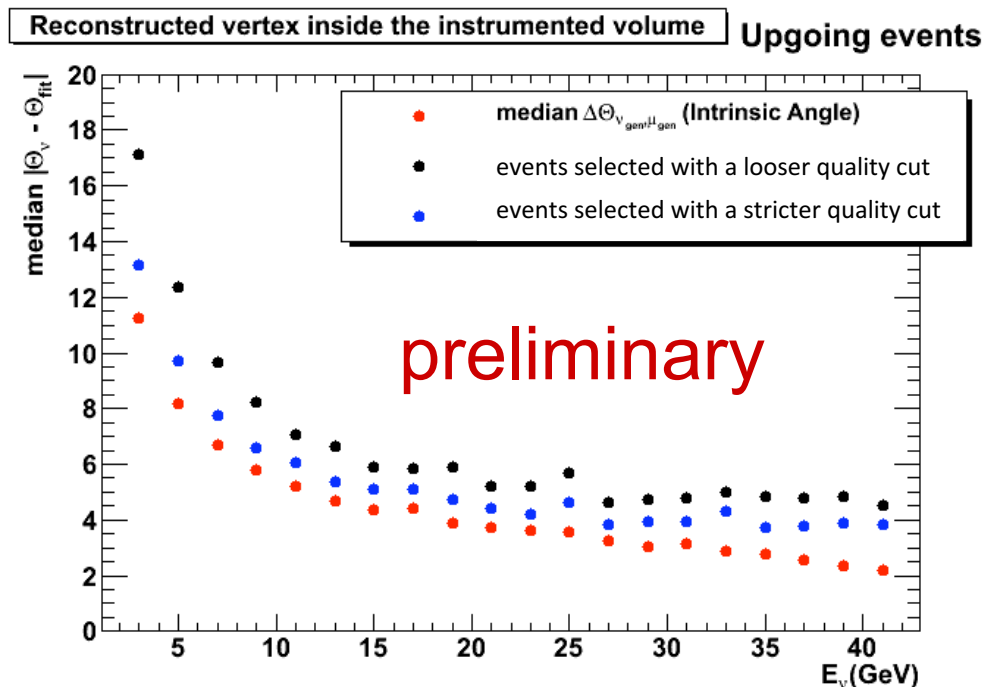
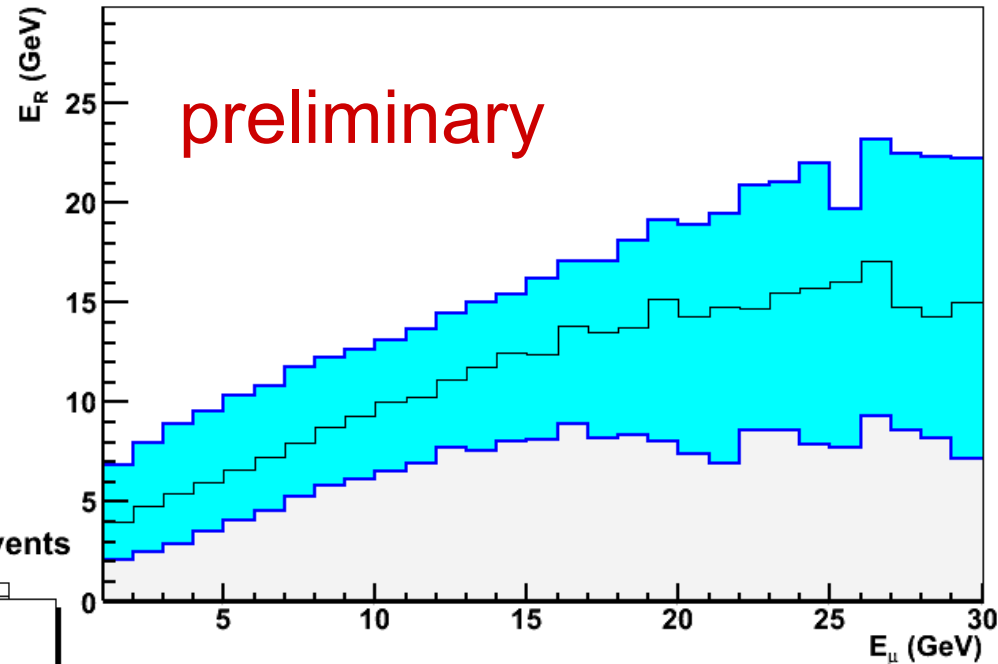
ORCA reconstruction efficiency

- Isotropic ν_μ CC events generated
- Event must be reconstructed as up-going with vertex in instrumented volume
- Efficiencies determined for two levels of quality cuts
- No background rejection



ORCA energy and zenith resolutions

- E_μ reconstructed from μ track length
- Shaded region: 16% and 84% quantiles as function of E_μ^{true}
- $\Delta E_\nu \stackrel{?}{\approx} 1 \text{ GeV}|_\mu + 0.2 E_{\text{shower}}$



- Median of zenith angle difference $\nu - \text{rec. } \mu$

ORCA and PINGU detector systematics

ORCA (water):

- optical background from K40 and bioluminescence
- missing veto detector
- temporal variations of data taking conditions

PINGU (ice):

- inhomogeneity of ice
- light scattering in ice
- missing segmentation of photocathode

Systematics are complementary –
it may be wise to pursue both experiments

Towards a mass hierarchy measurement

Mass hierarchy and atmospheric neutrinos

- Determining the sign of Δm_{23}^2 requires matter effect. Oscillation of ν_e and/or $\bar{\nu}_e$ must be involved.
- 3-flavour oscillations of $\nu_e \leftrightarrow \nu_\mu$ in matter:

$$P_{e \rightarrow \mu} \approx P_{\mu \rightarrow e} \approx \sin^2 \theta_{23} \sin^2(2\theta_{13}^{\text{eff}}) \sin^2 \left(\frac{\Delta_{13}^{\text{eff}} L}{2} \right)$$

$$\Delta_{13} = \frac{\Delta m_{13}^2}{2E_\nu} \quad \sin^2(2\theta_{13}^{\text{eff}}) = \frac{\Delta_{13}^2 \sin^2(2\theta_{13})}{\Delta_{13}^{\text{eff}} L}$$

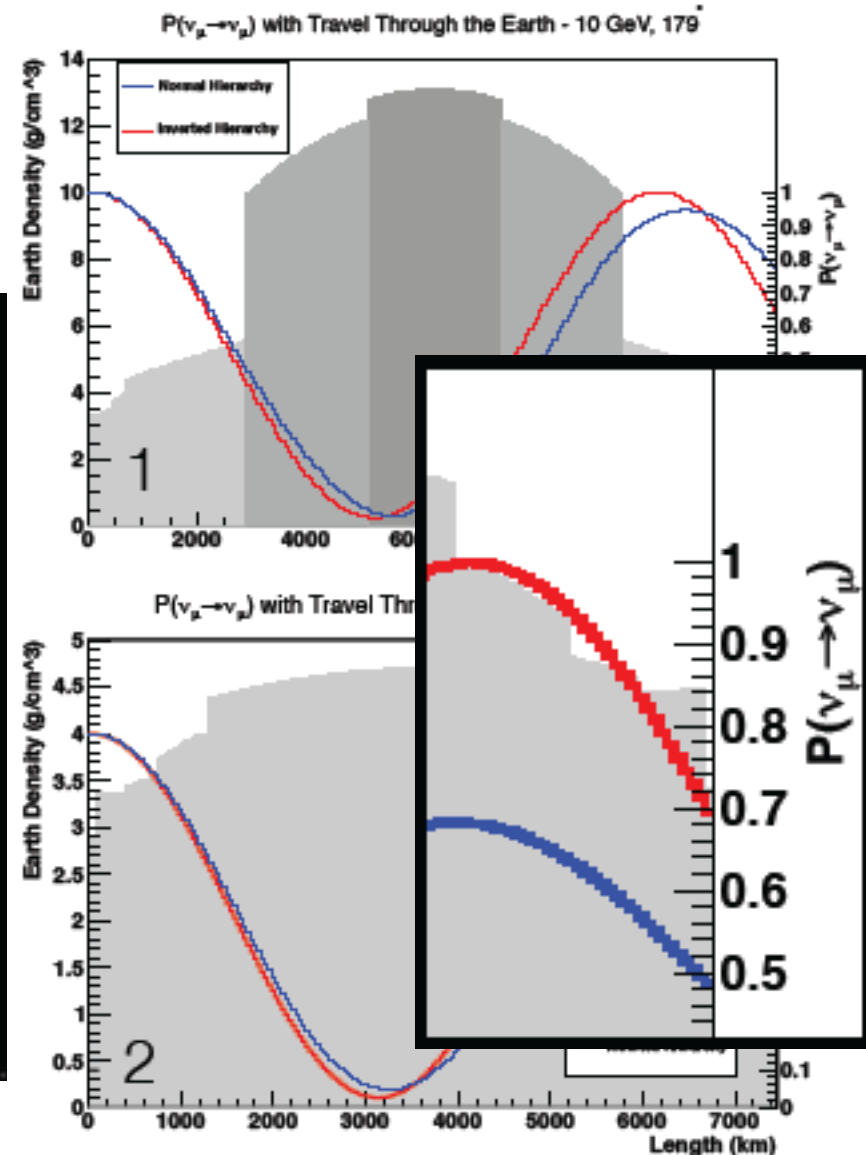
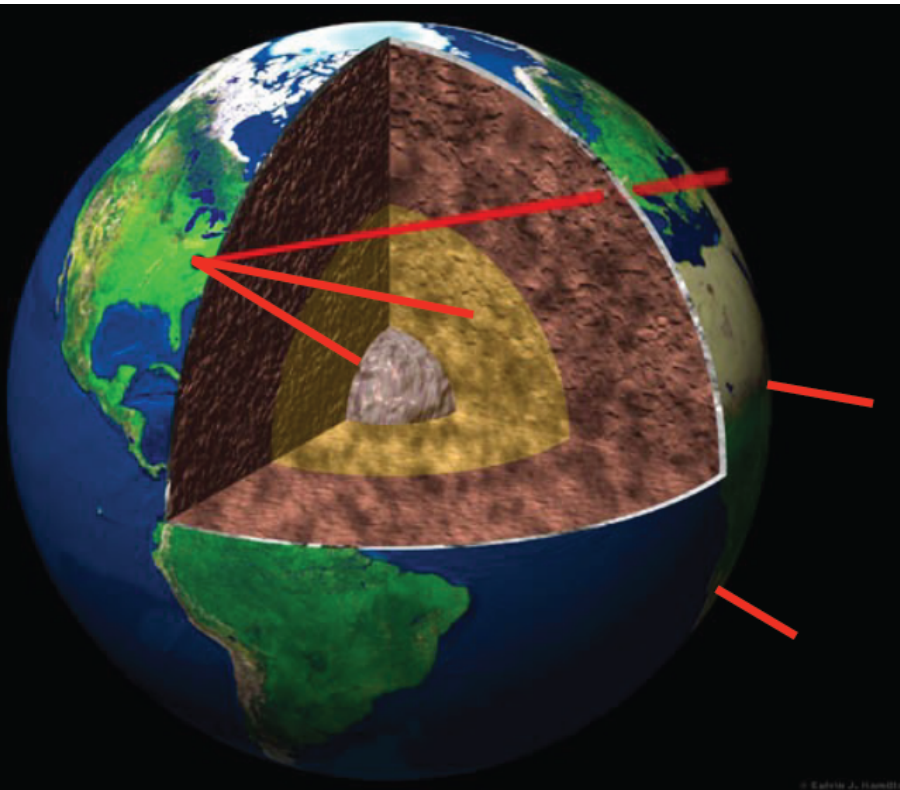
$$\Delta_{13}^{\text{eff}} = \sqrt{[\Delta_{13} \cos(2\theta_{13}) - A]^2 + \Delta_{13}^2 \sin^2(2\theta_{13})}$$

$$A = \sqrt{2} G_F N_e \text{ for } \nu \text{ and } A = -\sqrt{2} G_F N_e \text{ for } \bar{\nu}$$

- “Matter resonance” for $A = \Delta_{13} \cos(2\theta_{23})$ (maximal mixing, minimal oscillation frequency). This is the case for $E_\nu \approx 30 \text{ GeV} / \rho [\text{g cm}^{-3}]$

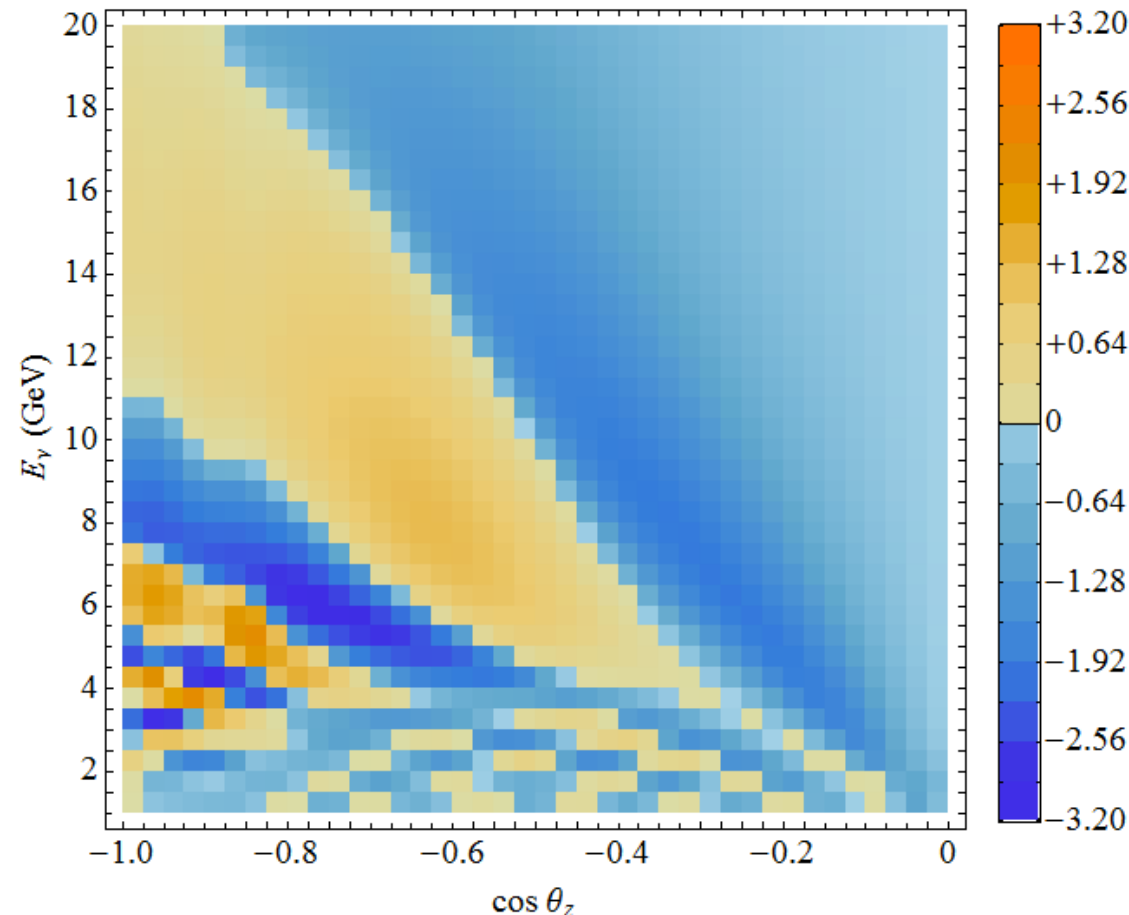
Neutrino oscillations in Earth

- Earth density 4-13 g/cm³
- Relevant: $E_\nu \sim 3\text{--}10$ GeV



The Akhmedov/Razzaque/Smirnov paper (1)

$(N_{\mu}^{\text{IH}} - N_{\mu}^{\text{NH}})/(N_{\mu}^{\text{NH}})^{1/2}$ [PINGU 1 yr]



JHEP 1302 (2013) 082; arXiv 1205.7071

- Significance for perfect resolution:

$$S_{\text{tot}} = \sqrt{\sum_{\text{bins}} \frac{(N_i^{\text{NH}} - N_i^{\text{IH}})^2}{\sigma_i}}$$

with $\sigma_i = N_i^{\text{NH}} + f(N_i^{\text{NH}})^2$

- Uncorrelated system. errors assumed (f)

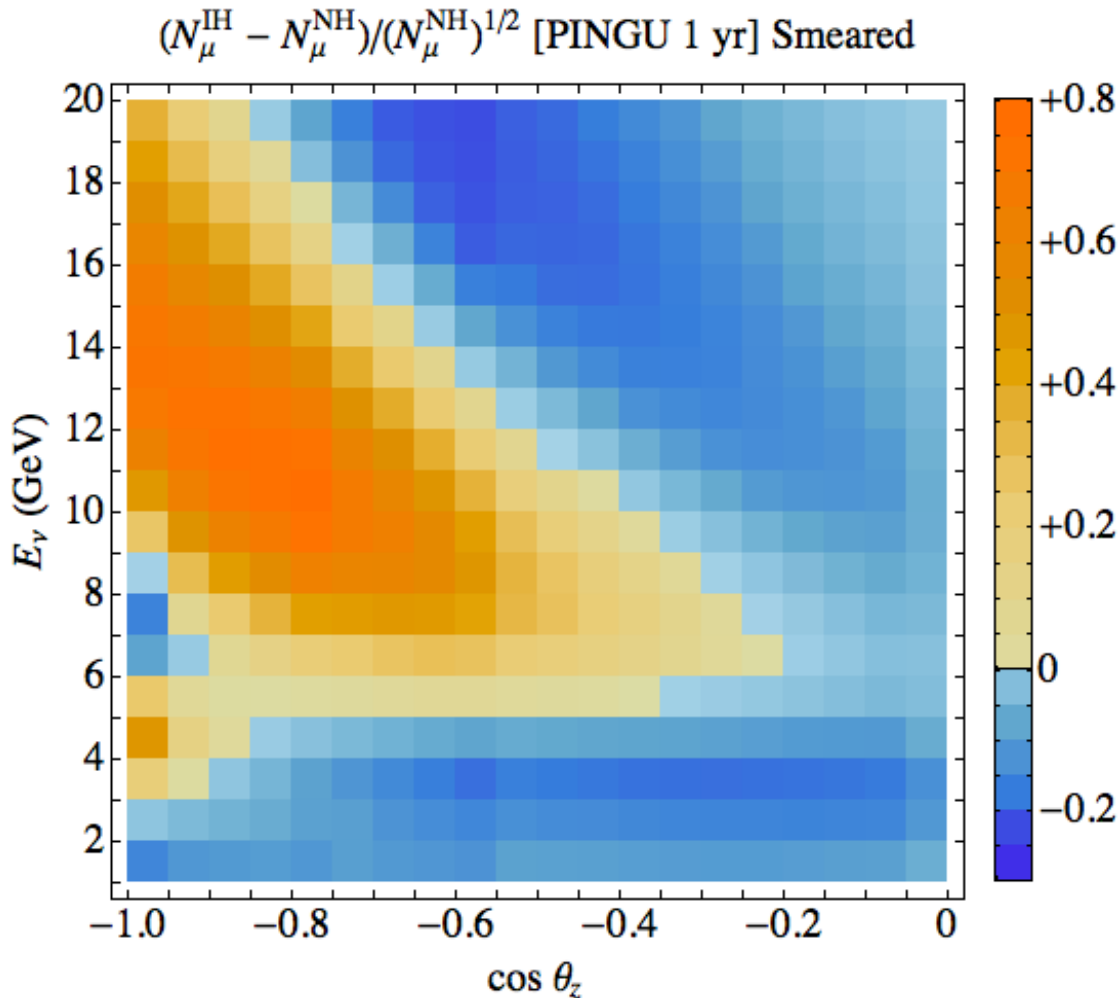
- Result (5 years):

$$f = 0.00 : S_{\text{tot}} = 45.5\sigma$$

$$f = 0.05 : S_{\text{tot}} = 28.9\sigma$$

$$f = 0.10 : S_{\text{tot}} = 18.8\sigma$$

The Akhmedov/Razzaque/Smirnov paper (2)

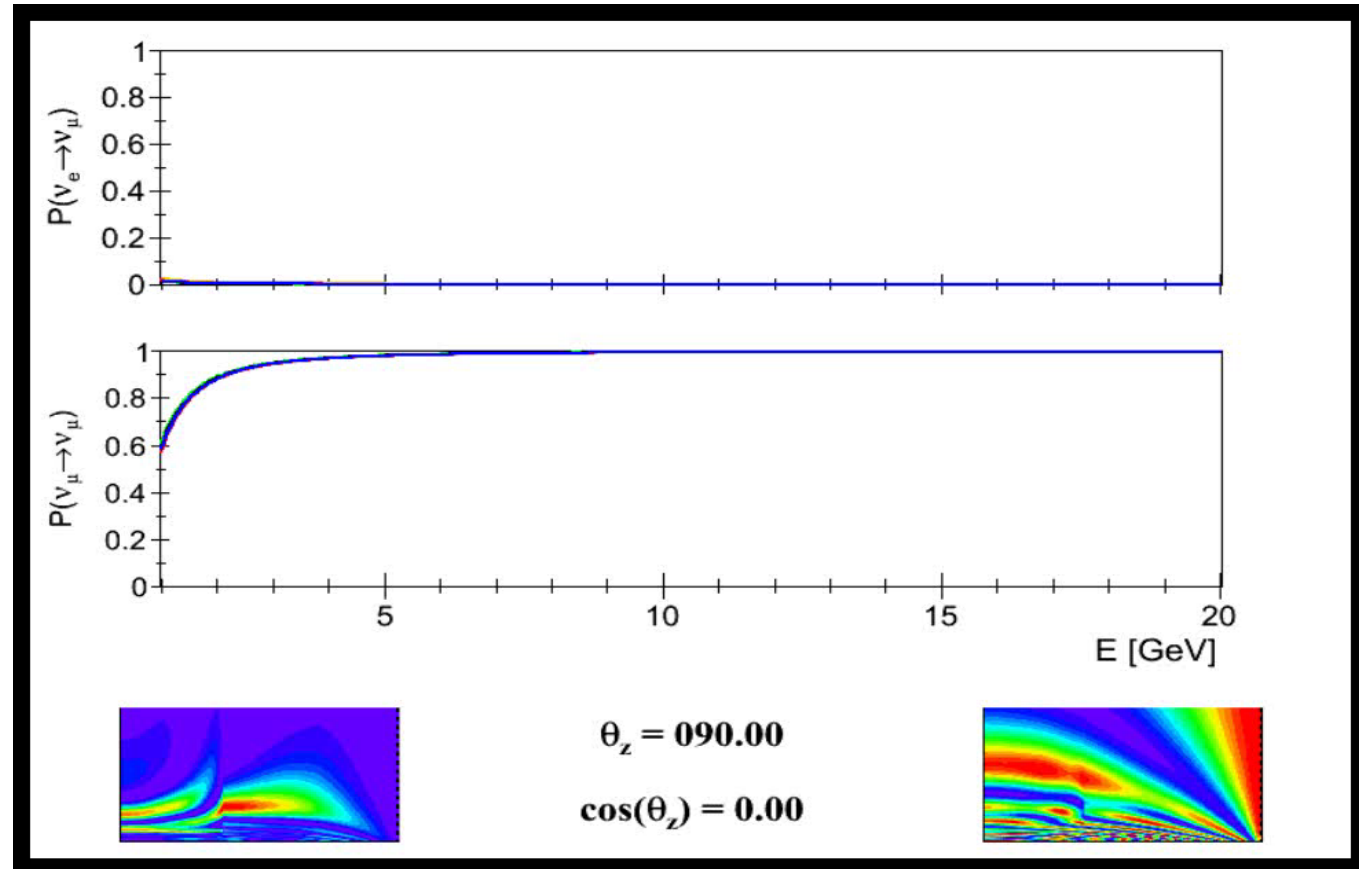


- Taking into account experimental resolutions
 $\sigma_E = 0.2E_{\nu}$; $\sigma_{\theta} = \sqrt{m_p/E_{\nu}}$
(just an example)
deteriorates result
- Remaining significances as low as 3σ
- Not yet included:
 - Non-Gaussian tails
 - Inefficiencies
 - Flavour separation
 - Backgrounds
 - ...

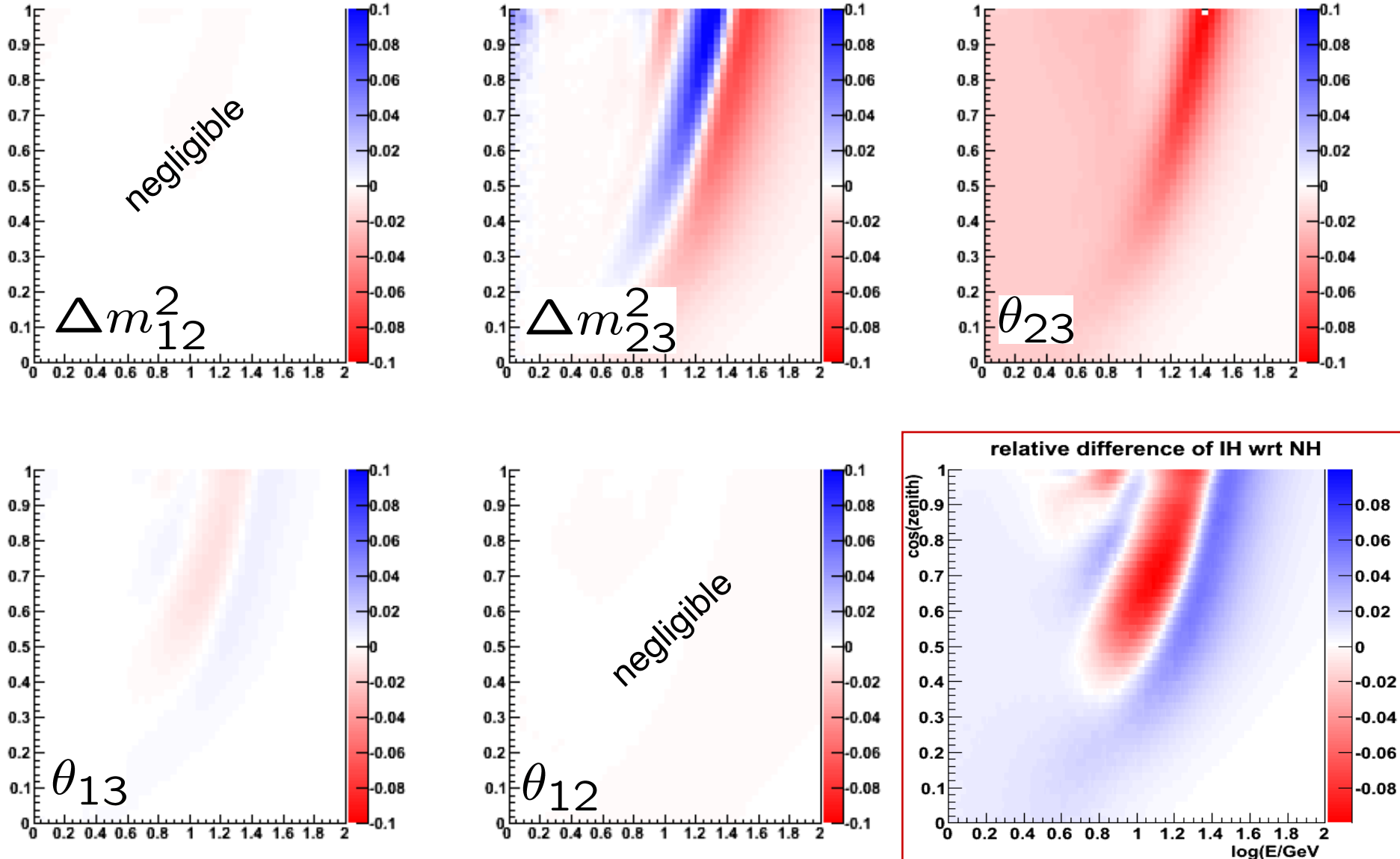
Impact of oscillation uncertainties (1)

$$P = \theta_{23}$$

- $P(+3\sigma, \text{NH})$
- $P(+1\sigma, \text{NH})$
- $P(0\sigma, \text{NH})$
- $P(-1\sigma, \text{NH})$
- $P(-3\sigma, \text{NH})$
- - - $P(+0\sigma, \text{IH})$

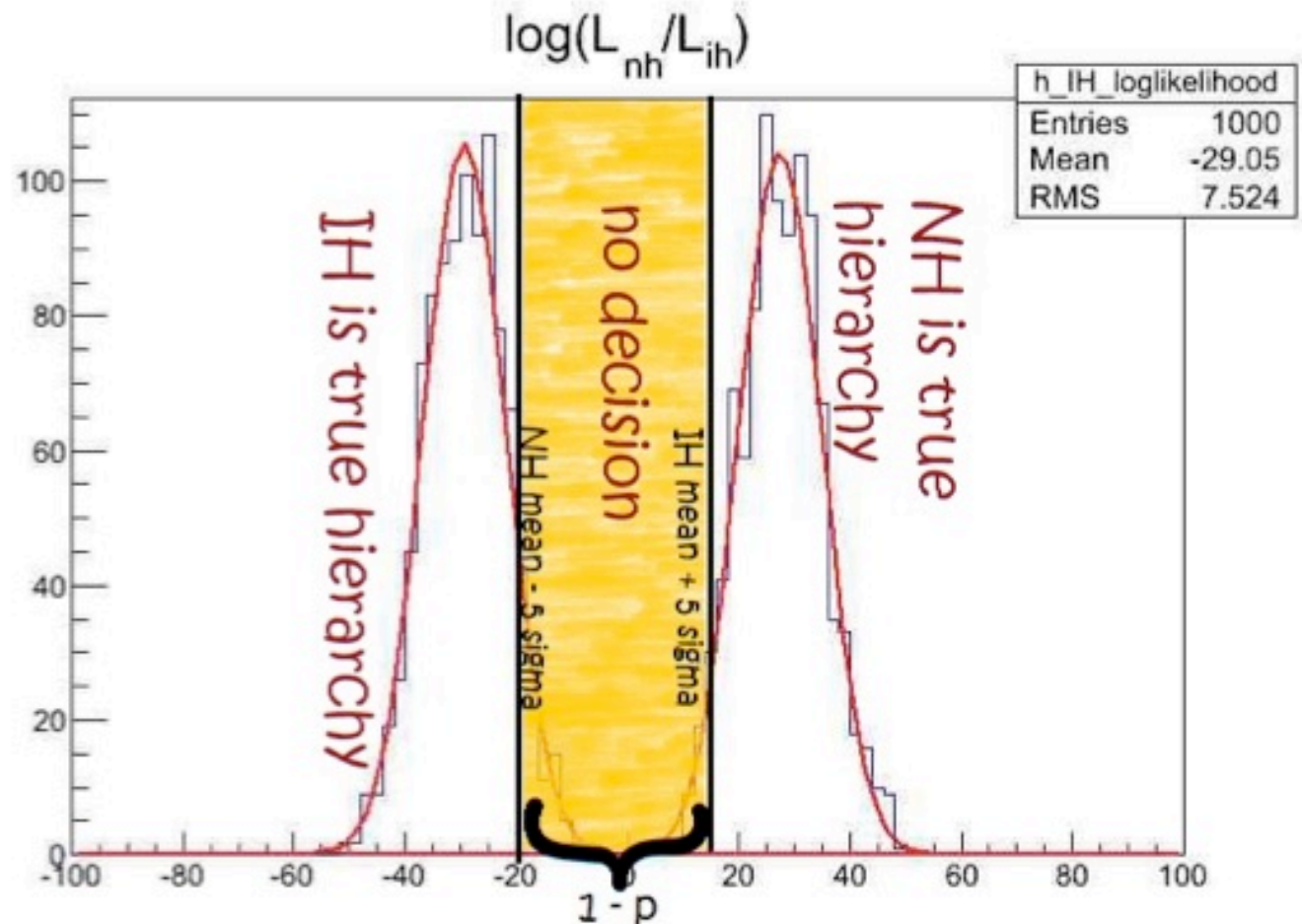


Impact of oscillation uncertainties (2)



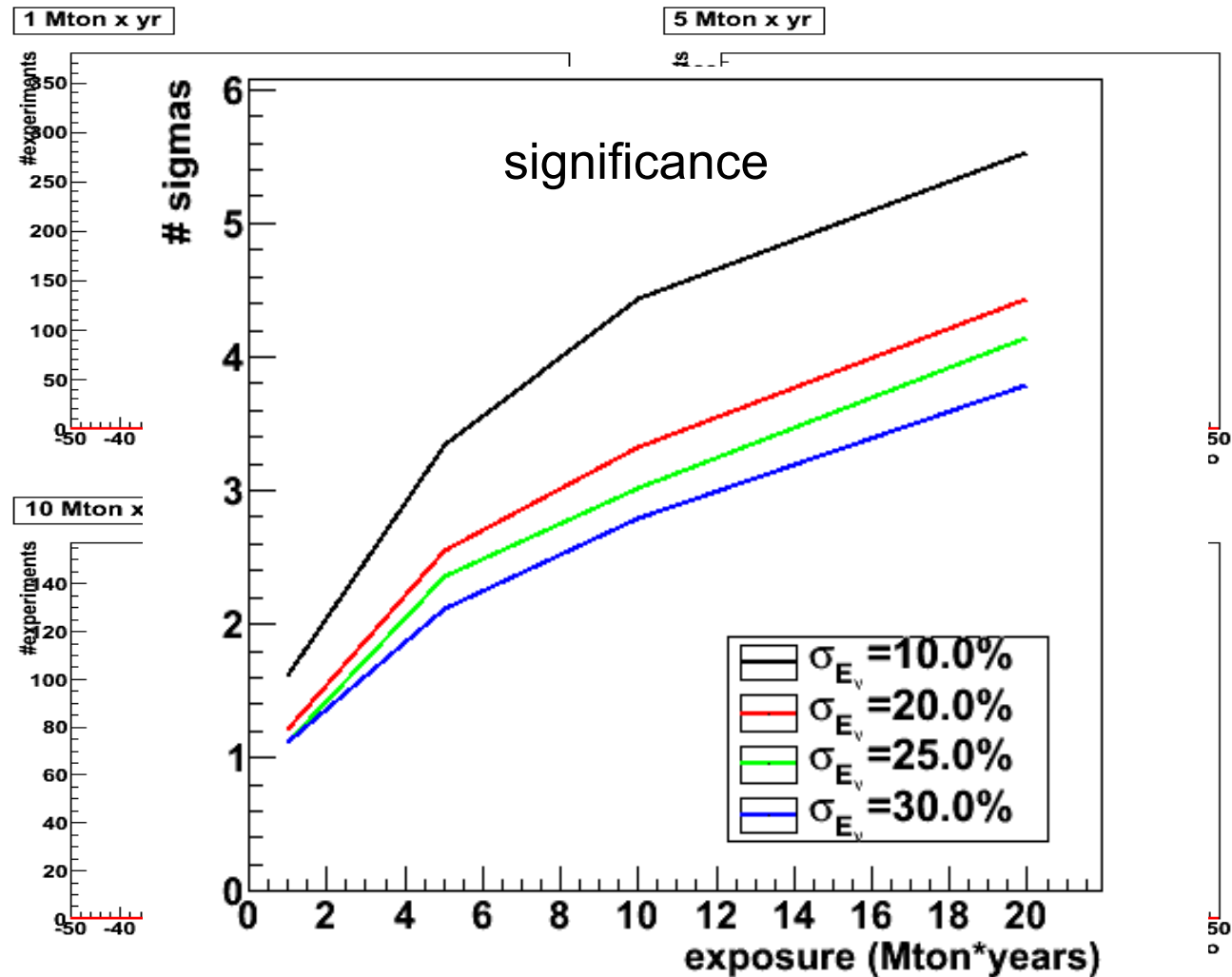
An optimistic toy analysis

- Neutrino inte
- Require at le
- Use true mu
- Assume 20%
- No backgrou
- Assume hier
- within experi
- Perform log-l
- assuming bo
- Investigate Ic



Results of toy analysis:

- Experimental determination of mass hierarchy at $4\text{-}5\sigma$ level requires ~ 20 Mton-years
- Improved determination of Δm_{23}^2 and θ_{23} seems possible



Summary

- Neutrino telescopes in deep water have demonstrated that low-energy measurements are possible (some 10 GeV).
- Even lower energies could be studied with densely instrumented configurations.
- A determination of the neutrino mass hierarchy with atmospheric neutrinos may be in reach but is experimentally difficult. Energy resolution is a key issue.
- If possible, this approach will be significantly faster and cheaper than alternative approaches.
- We will know more in a year – stay tuned.