

# HERA Searches for Leptoquarks and Excited Fermions

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**Excited Fermions**  
Hunting for substructure

**Leptoquarks**  
The classic resonance  
and beyond

**$R_P$  Violation**  
Squarks may be  
Leptoquarks!

**Lepton Flavor  
Violation**  
induced by Leptoquarks

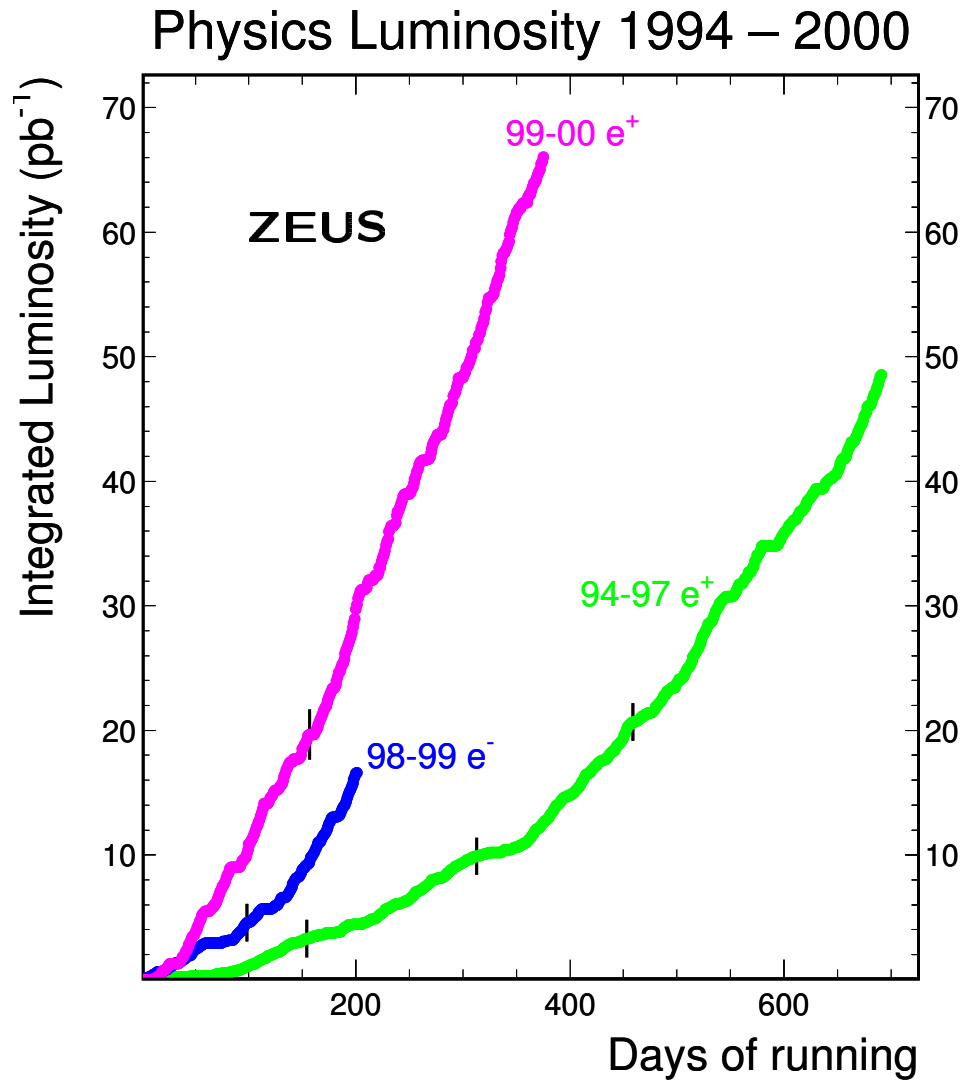
**Summary  
and Outlook**

Results of the  
 and   
Collaborations



# Luminosity and Data Samples

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## Data samples:

| beam   | $\sqrt{s}$<br>[GeV] | years | luminosity<br>[ $\text{pb}^{-1}$ ] |
|--------|---------------------|-------|------------------------------------|
| $e^+p$ | 300                 | 94-97 | $\sim 40$                          |
| $e^+p$ | 318                 | 99-00 | $\sim 66$                          |
| $e^-p$ | 318                 | 98-99 | $\sim 16$                          |

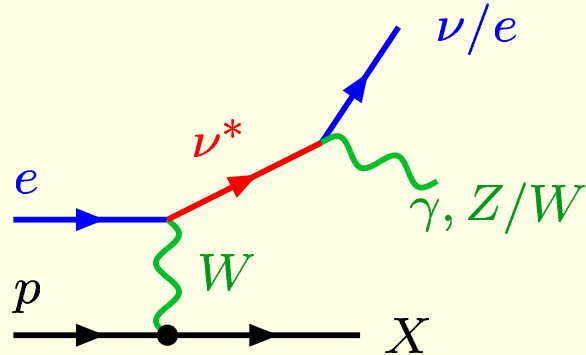
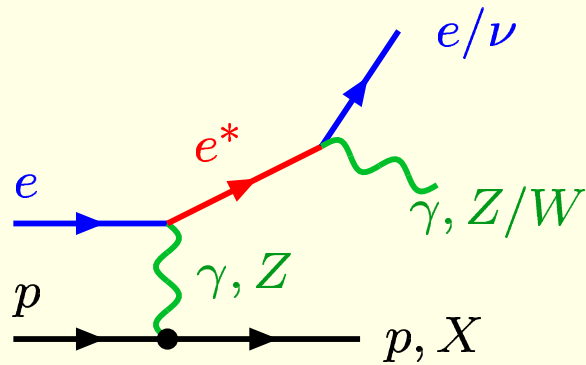
## Sensitivities:

- Cross sections below  $0.1 \text{ pb}$ ;
- Direct production of new particles up to  $\sim 300 \text{ GeV}$ ;
- High statistical precision for indirect searches (deviations from SM predictions).

# Excited Fermions: Phenomenology

## Production and decay:

High-mass excitations of fermions could exist and might be produced at HERA if fermions are composite:



This talk: concentrate on  $e^*$  and  $\nu^*$ .

## The Hagiwara model:

- $f$ - $f^*$ -(gauge boson) couplings described by mass scale  $\Lambda$  and 3 coupling parameters:

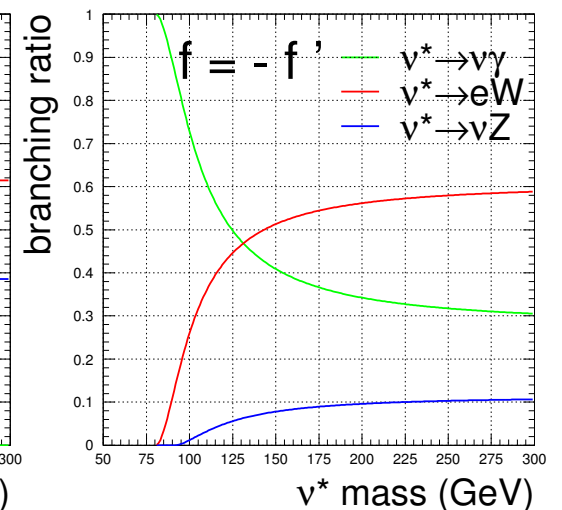
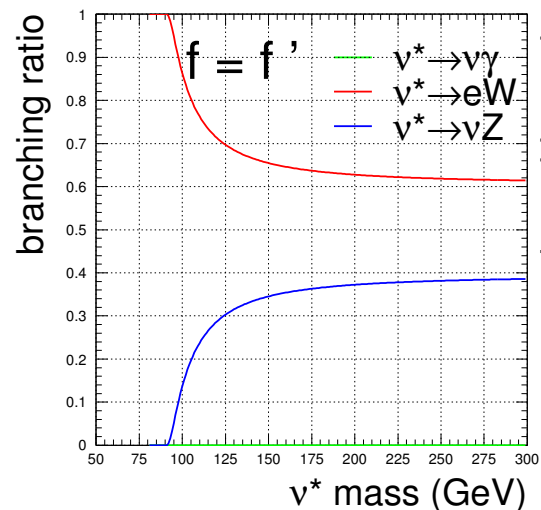
$$\mathcal{L}_{ff^*} = \frac{1}{\Lambda} \bar{f}^* \sigma^{\mu\nu} \left[ f g \frac{\vec{\tau}}{2} \partial_\mu \vec{W}_\nu + f' g' \frac{Y}{2} \partial_\mu B_\nu + f_s g_s \frac{\lambda^a}{2} \partial_\mu G_\nu^a \right] f$$

(Hagiwara, Komamiya, Zeppenfeld, 1985)

- Assumptions for HERA searches:

$$|f'| = |f| \text{ or } f'/f \in [-5; 5] \quad f_s = 0$$

( $\Rightarrow$  cross sections and branching ratios).

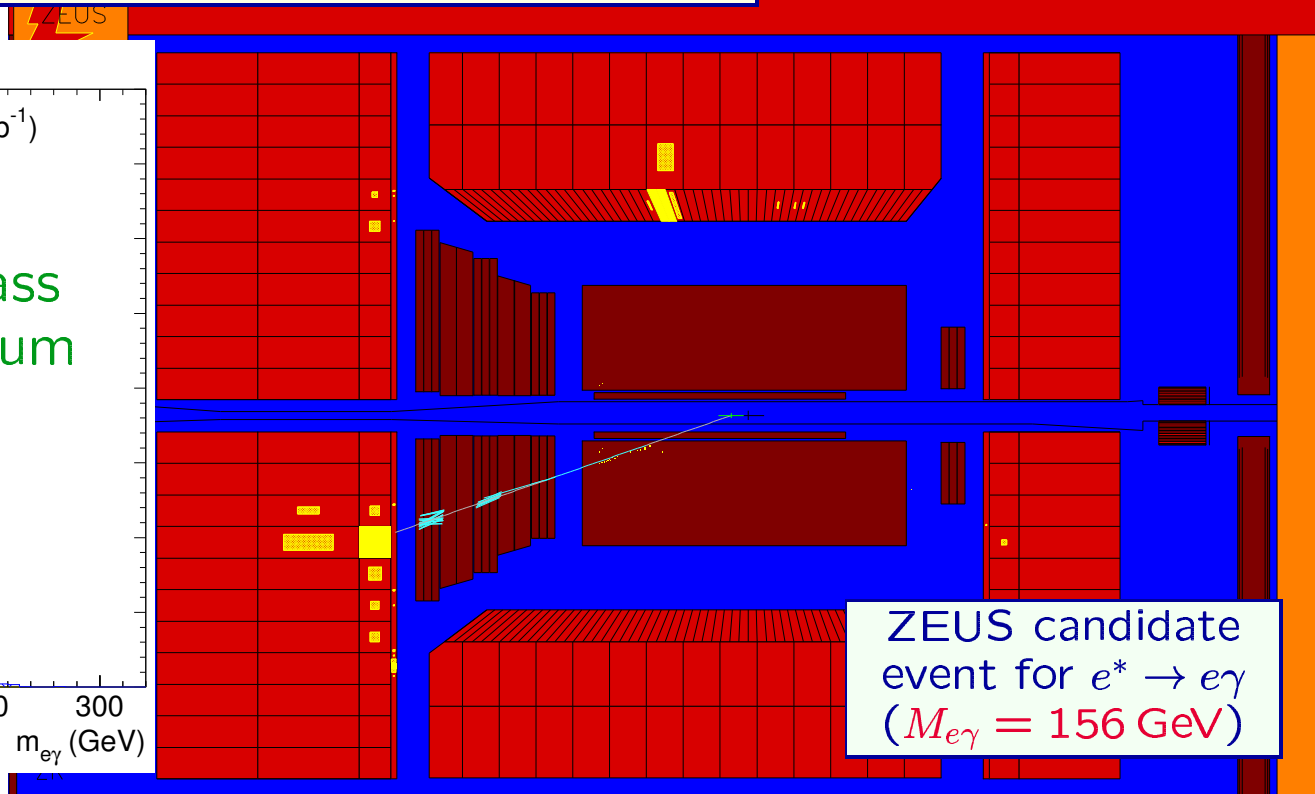
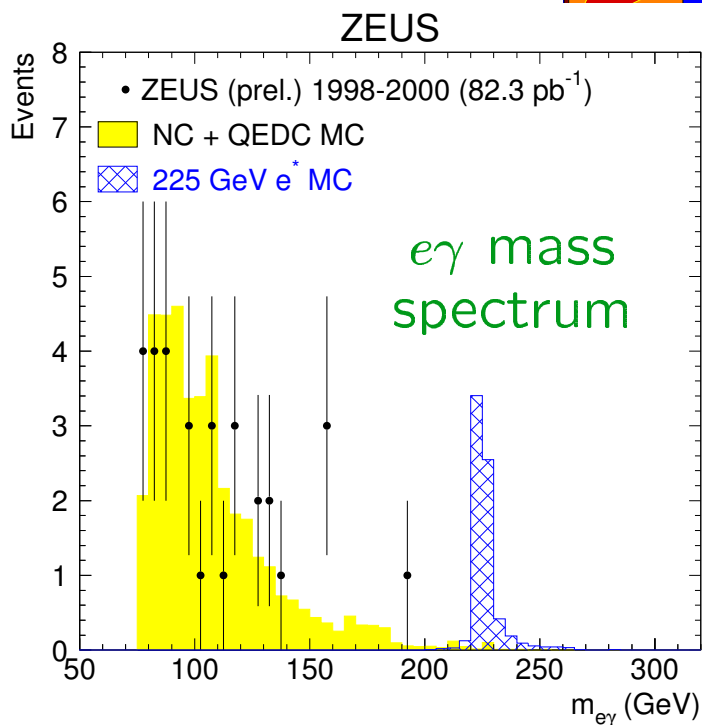


# Excited Fermion Searches at HERA

## Channels studied at HERA:

| $f^*$ decay  | signature                     | SM background                        |
|--|-------------------------------|--------------------------------------|
| $e^* \rightarrow e\gamma$                          | $e + \gamma$                  | QED-Compton                          |
| $e^* \rightarrow eZ \rightarrow eq\bar{q}$         | $e + 2\text{jets}$            | NC DIS: $ep \rightarrow eX$          |
| $e^* \rightarrow \nu W \rightarrow \nu q\bar{q}'$  | $\cancel{P}_t + 2\text{jets}$ | CC DIS: $ep \rightarrow \nu X$ ; PHP |
| $\nu^* \rightarrow \nu\gamma$                      | $\cancel{P}_t + \gamma$       | CC DIS: $ep \rightarrow \nu X$       |
| $\nu^* \rightarrow \nu Z \rightarrow \nu q\bar{q}$ | $\cancel{P}_t + 2\text{jets}$ | CC DIS: $ep \rightarrow \nu X$ ; PHP |
| $\nu^* \rightarrow eW \rightarrow eq\bar{q}'$      | $e + 2\text{jets}$            | NC DIS: $ep \rightarrow eX$          |

No evidence for  $f^*$  production found so far.



# Excited Fermions: Exclusion Limits

## Upper limits on $f/\Lambda$ :

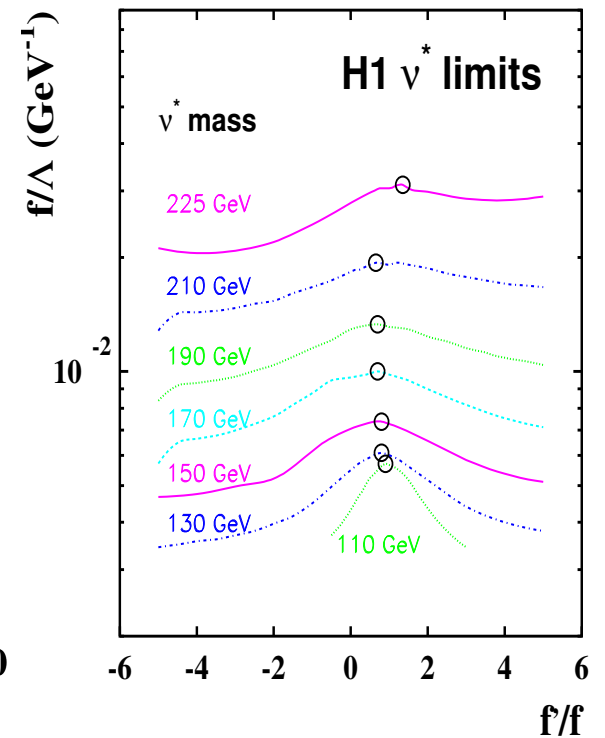
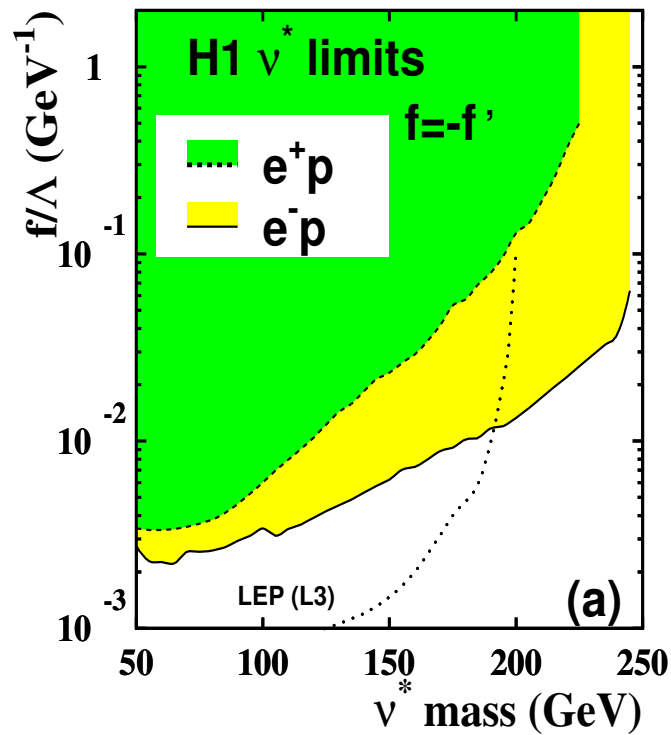
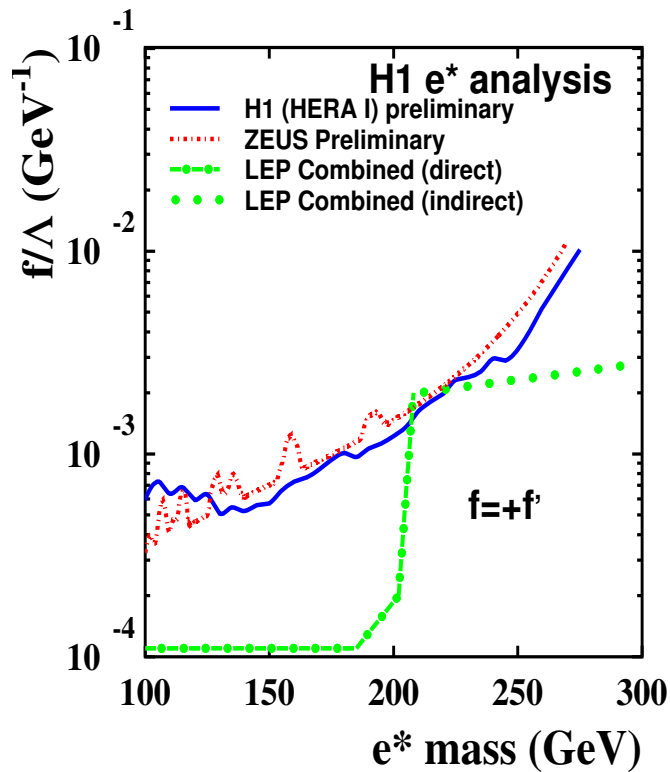
- Excluded at 95% C.L. are the regions above the limit curves.
- $\nu^*$  production cross section larger in  $e^-p$  reactions.
- Limits from  $e^+e^-$  reactions at LEP:

$$e^+e^- \rightarrow f^*\bar{f}^* \quad M_{f^*} < \sqrt{s}/2$$

$$e^+e^- \rightarrow f^*\bar{f} \quad M_{f^*} < \sqrt{s}$$

$$e^+e^- \rightarrow \gamma\gamma \quad M_{f^*} \gtrsim \sqrt{s}$$

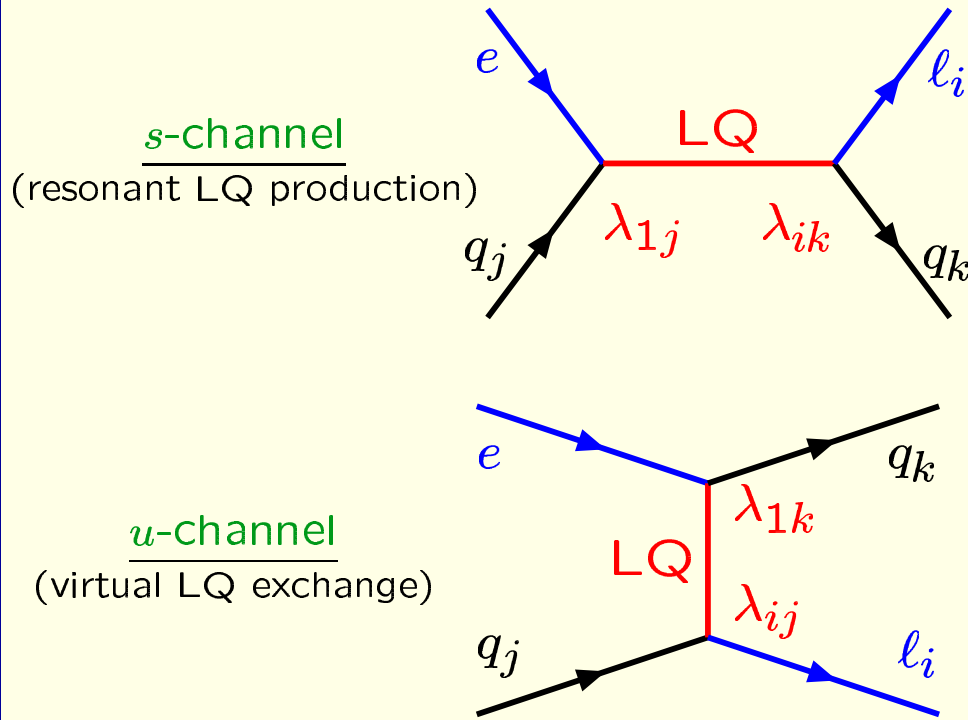
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# Leptoquarks (LQs)

## LQs in $eq$ reactions:

Leptoquarks couple to leptons and quarks and contribute to the  $eq$  cross section:



- Same final state as in DIS  $\rightarrow$  interference.
- For an  $e^-q$  ( $e^+q$ ) initial state, the  $s$ -channel LQ has  $|F| = 2$  (0) and the  $u$ -channel LQ has  $|F| = 0$  (2).

## LQ classification:

(Buchmüller, Rückl, Wyler, 1987)

- Assumptions:
  - $\rightarrow$  LQs conserve  $SU(2)_L \times U(1)_Y$ .
  - $\rightarrow$  LQs only couple to leptons, quarks and SM gauge bosons.
- Classify LQs by
  - $\rightarrow$  Fermion number  $F = 0, \pm 2$ ;
  - $\rightarrow$  Spin  $J = 0$  (S) or  $J = 1$  (V);
  - $\rightarrow$  Charge.
- 14 LQ species for each combination of  $l$  and  $q$  generations.
- LQs decay to  $l^\pm q$  or  $\nu_l q'$  with branching ratios  $\beta_l, \beta_\nu = 0, 1/2, 1$ .

## LQ signal:

- Resonant production ( $M_{LQ} \lesssim \sqrt{s}$ ):
  - $\rightarrow$  Spike in  $M_{LQ} = \sqrt{xs}$ .
  - $\rightarrow$  Large  $\langle(e_{in}, e_{out})\rangle$  ( $\Rightarrow$  large  $y$ ).
- DIS cross section modification (yields sensitivity for  $M_{LQ} > \sqrt{s}$ ).

# Search for Resonant Leptoquark Production

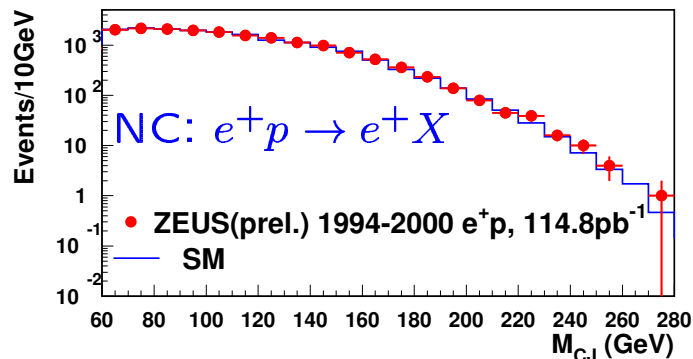
## Experimental evidence:

- Distributions of reconstructed  $e + \text{jet}(s)$  and  $\bar{\nu} + \text{jet}(s)$  masses in good agreement with SM prediction.
- Similar results for  $e^-p$  reactions and from H1.
- Mismatch at highest  $\bar{\nu} + \text{jet}$  mass may be a PDF effect.
- No evidence for LQ signal, previous “high- $Q^2$  anomaly” not confirmed.

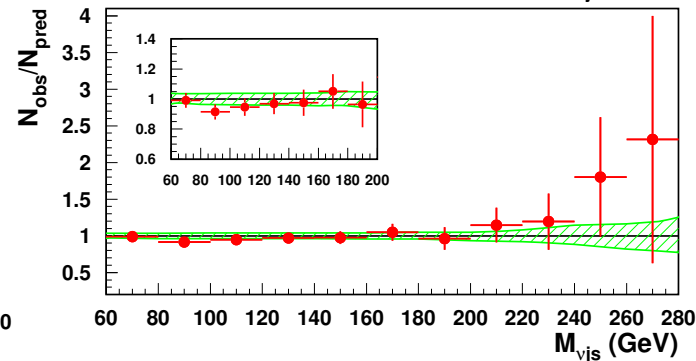
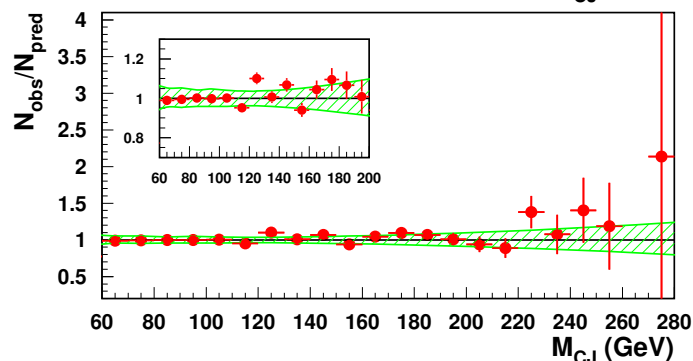
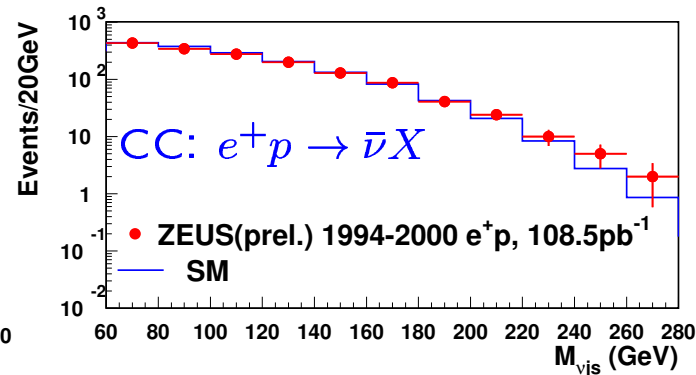
## Search strategy:

- Use 2-dimensional event distribution in  $M_{LQ}, y$ ;
- Compare to SM prediction using likelihood method;
- Consider  $s$ -channel,  $u$ -channel, SM-LQ interference;
- Determine cross section limits;
- Derive coupling limits using BRW model.

ZEUS

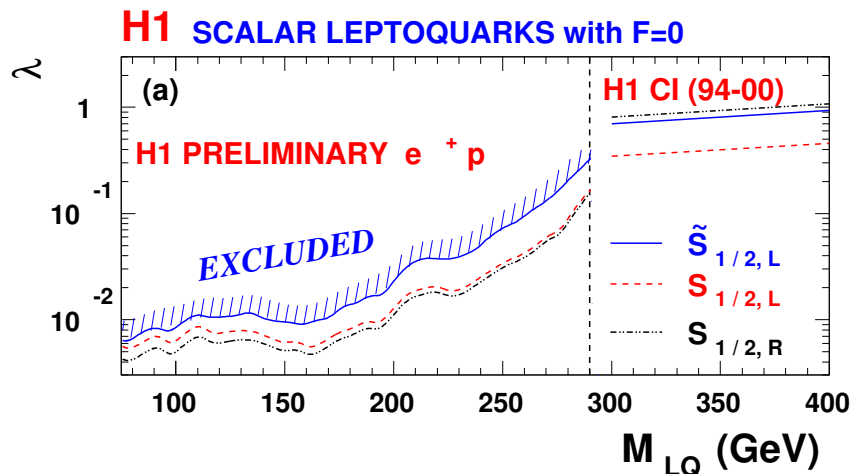
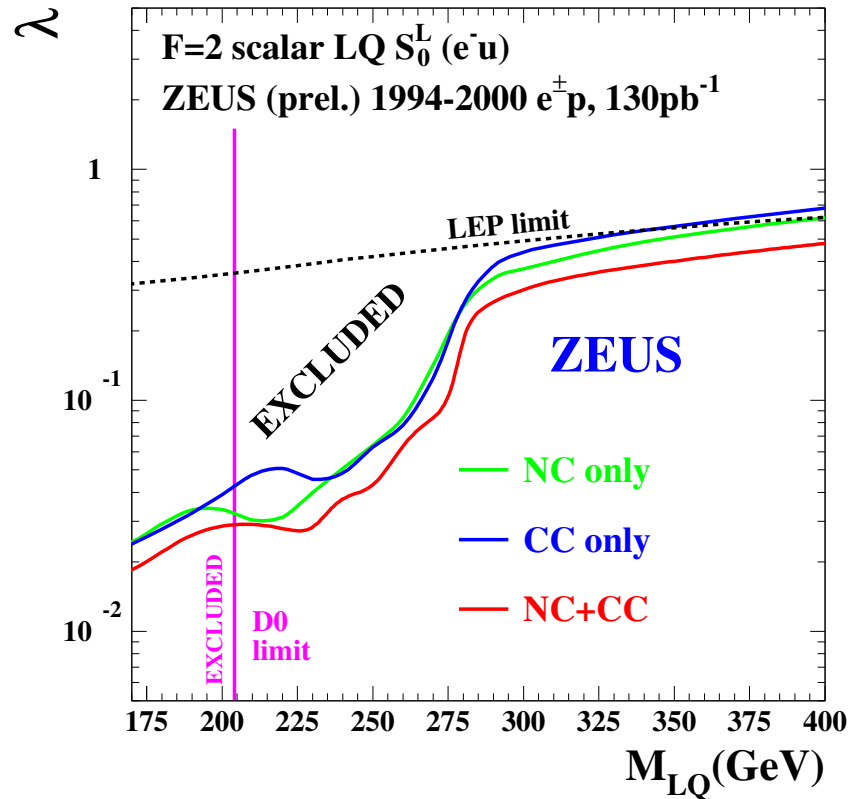


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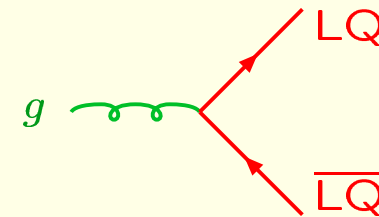
# Leptoquarks: Exclusion Limits (I)

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## Coupling limits (95 % C.L.):

- Shown: two examples for LQ species with  $|F| = 2$  (top) and  $F = 0$  (bottom).
- Note:  
 $e^-p$  data sensitive to  $F = 2$  ( $e^-q$ )  
 $e^+p$  data sensitive to  $F = 0$  ( $e^+q$ ).
- Combination of NC and CC information increases sensitivity.
- Limit from TeVatron:  
LQ pair production in  $p\bar{p}$  reactions via SM  $SU(3)_c$  gauge coupling.



Search for  $p\bar{p} \rightarrow \ell\bar{\ell} + 2\text{jets}$ ,  
exclusion limit independent of coupling  $\lambda$ .

- Limit from LEP:  
LQ-induced virtual effects in  $e^+e^- \rightarrow q\bar{q}$   
(contact interaction analysis).

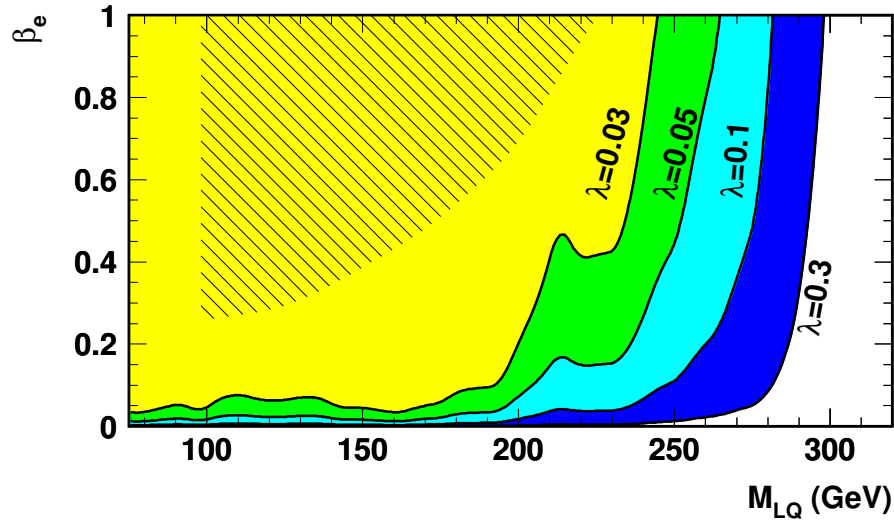


# Leptoquarks: Exclusion Limits (II)

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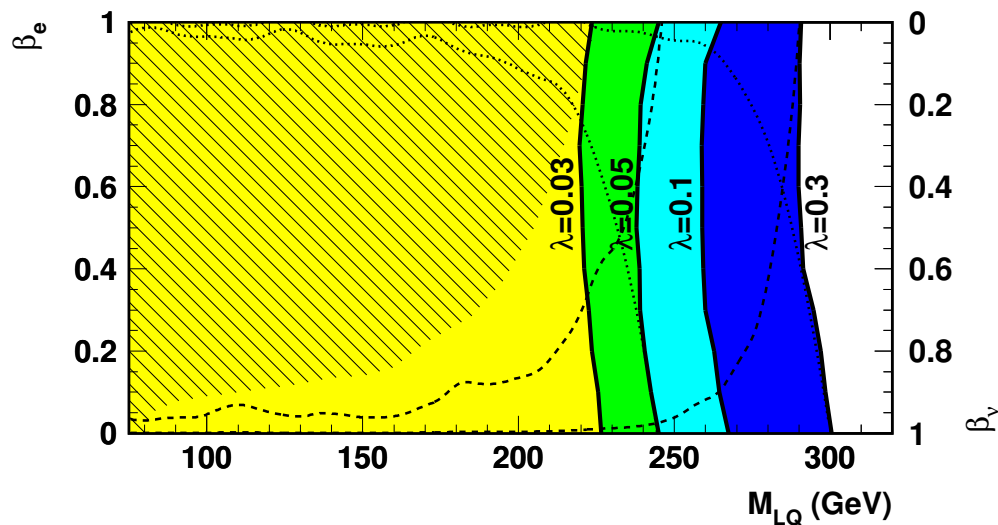
SCALAR LEPTOQUARK  $e^+u \rightarrow LQ \rightarrow e^+X$

▨ D0 Run I      — H1 Preliminary  $e^+p$



VECTOR LEPTOQUARK  $e^+d \rightarrow LQ \rightarrow e^+X, \nu X$

▨ D0 Run I      — H1 Preliminary  $e^+p$



## Generalized analysis:

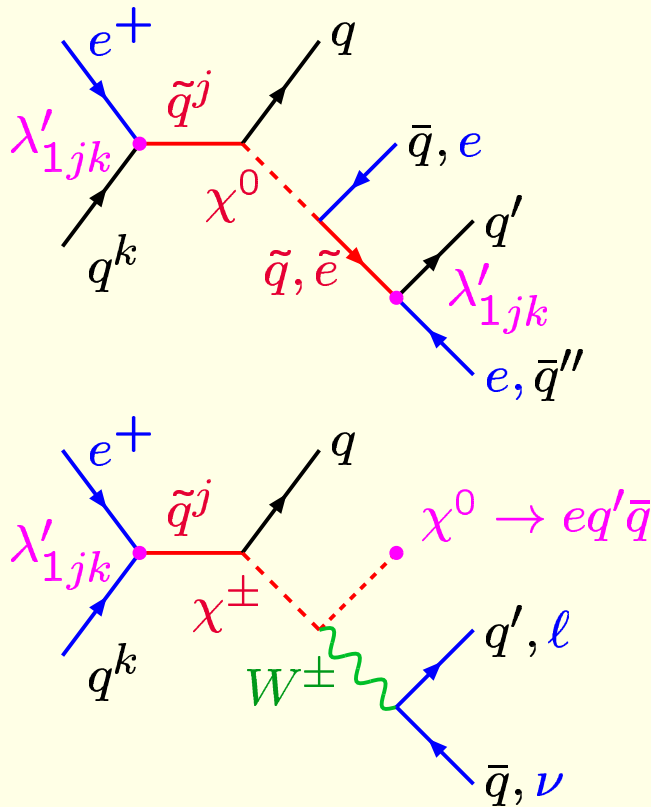
- Leave  $\beta_e = \text{BR}(LQ \rightarrow eq)$  free but assume  $\beta_e + \beta_\nu = 1$ .
- Derive exclusion limits in  $(M_{LQ}, \beta_e)$ -plane for fixed  $\lambda$  values.
- Sensitivity almost to the kinematic limit, also for small values of  $\beta_e$ .
- Complementary to TeVatron (small efficiency in  $2\nu + 2\text{jets}$  channel).

# Squarks in $R_P$ -Violating SUSY

## Squarks in $eq$ reactions:

If  $R_P$  is not conserved, squarks can interact like LQs:  $\tilde{q}^j \xrightarrow{\lambda'_{1jk}} eq^k$ .

In addition, they have various SUSY cascade decays like these (i.e.  $\beta_e < 1$ ):



## Search strategy:

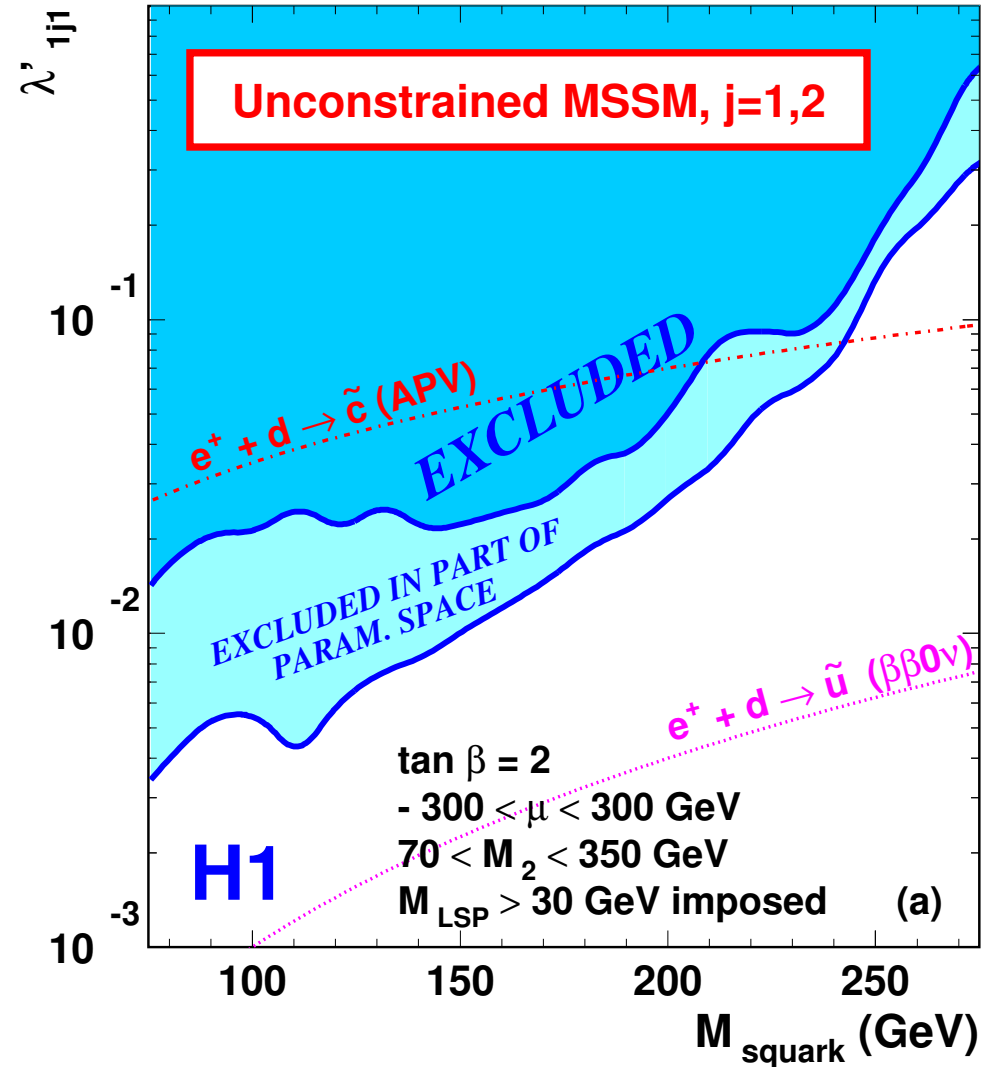
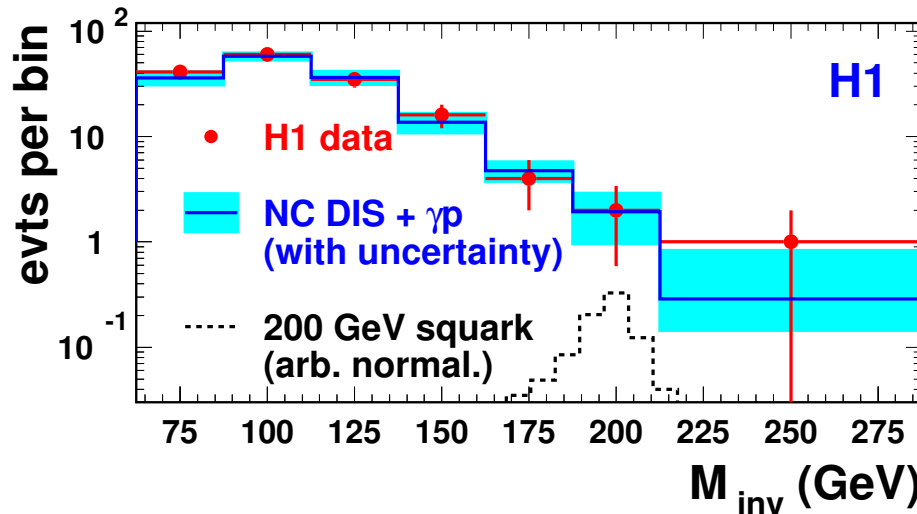
- Combine LQ search in  $eq$  channel with search for cascade decays.
- Very striking signatures:
  - $e +$  several jets;
  - wrong-sign electron in some channels;
  - “spherical” events.
- Scan SUSY parameter space, calculate branching ratios etc. for each parameter set, evaluate cross section limit.
- Derive best/worst exclusion limits in  $(\lambda', M_{\tilde{q}})$ -plane.

# Squark Searches and Limits

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## Experimental results:

- H1, ZEUS: no evidence for signal in  $e + \text{jets}$  channels.
- Example (H1):  $e$  and  $\geq 2$  jets with high  $p_t$ .
- No events with wrong-sign electron plus jets.
- Exclusion limits for  $\lambda'_{1j1}$  (i.e. for initial-state  $u$  or  $d$  quark).
- Sensitivity exceeds low-energy constraints for  $\tilde{c}$  and  $\tilde{t}$  squarks.

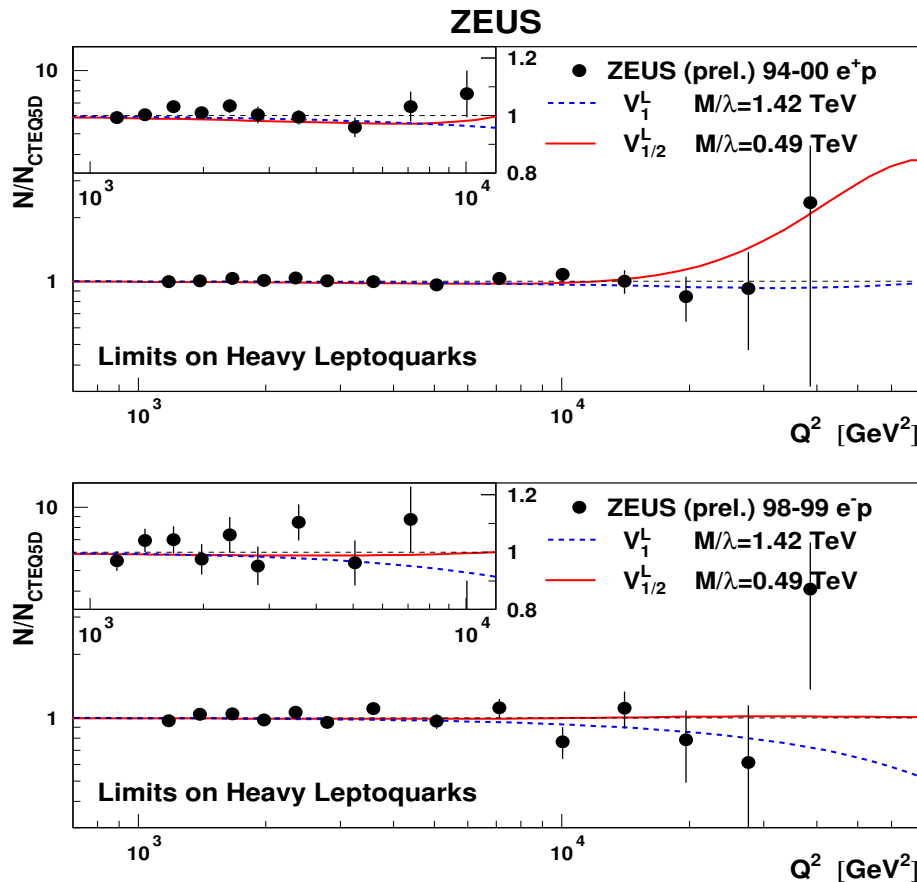


# Indirect Leptoquark and Squark Searches

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## Contact interaction analysis:

- High-mass LQs modify the DIS cross section  $d\sigma/dQ^2$ .
- Absence of the corresponding signal yields lower limits on  $M_{LQ}/\lambda$ .
- Also applicable for squarks ( $M_{\tilde{q}^j}/\lambda'_{1j1}$ ).



## Limits (95 % C.L.):

| LQ or $\tilde{q}$                  | $F$ | $M_{LQ}/\lambda$ [GeV] |      |
|------------------------------------|-----|------------------------|------|
|                                    |     | ZEUS                   | H1   |
| $S_0^L$ or $\tilde{d}$             | 2   | 750                    | 720  |
| $S_0^R$                            | 2   | 690                    | 670  |
| $\tilde{S}_0^R$                    | 2   | 310                    | 330  |
| $S_1^L$                            | 2   | 550                    | 480  |
| $S_{1/2}^L$                        | 0   | 910                    | 870  |
| $S_{1/2}^R$                        | 0   | 690                    | 370  |
| $\tilde{S}_{1/2}^L$ or $\tilde{u}$ | 0   | 500                    | 430  |
| $V_0^L$                            | 0   | 690                    | 770  |
| $V_0^R$                            | 0   | 580                    | 640  |
| $\tilde{V}_0^R$                    | 0   | 1030                   | 1000 |
| $V_1^L$                            | 0   | 1420                   | 1380 |
| $V_{1/2}^L$                        | 2   | 490                    | 420  |
| $V_{1/2}^R$                        | 2   | 1150                   | 940  |
| $\tilde{V}_{1/2}^L$                | 2   | 1260                   | 1020 |

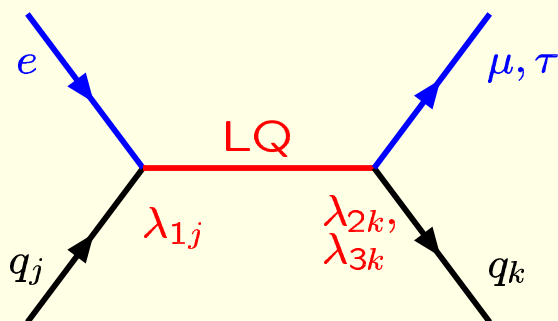
Attention: Limits only applicable for LQs/squarks with mass  $\gg \sqrt{s_{\text{HERA}}}$ .

# Lepton-Flavor Violating Leptoquarks

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## LQ-induced lepton flavor violation:

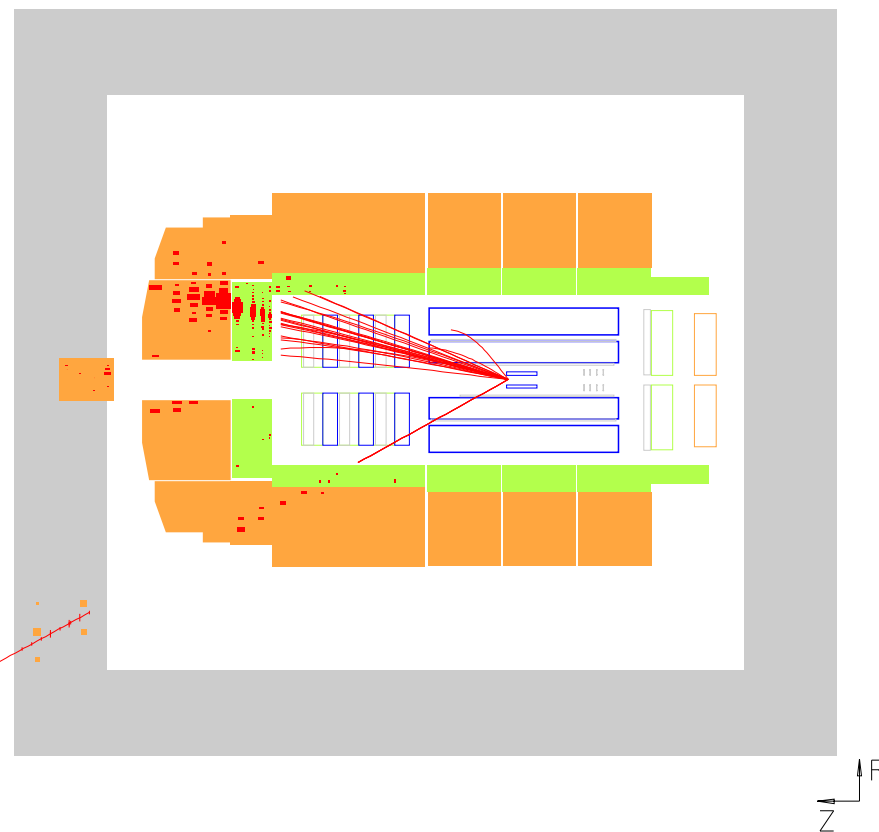
- LQs with couplings to more than one lepton generation could induce lepton flavor violation (LFV):



- Signature:** DIS-like reaction with a  $\mu$  or  $\tau$  replacing the final-state electron.
- Similar signal for low-mass ( $M_{LQ}^2 \lesssim s$ ) and high-mass ( $M_{LQ}^2 \gg s$ ) LQs.
- Search sensitive to any LFV reaction, but results quantified in terms of LQ masses and couplings.

Event MUON-2

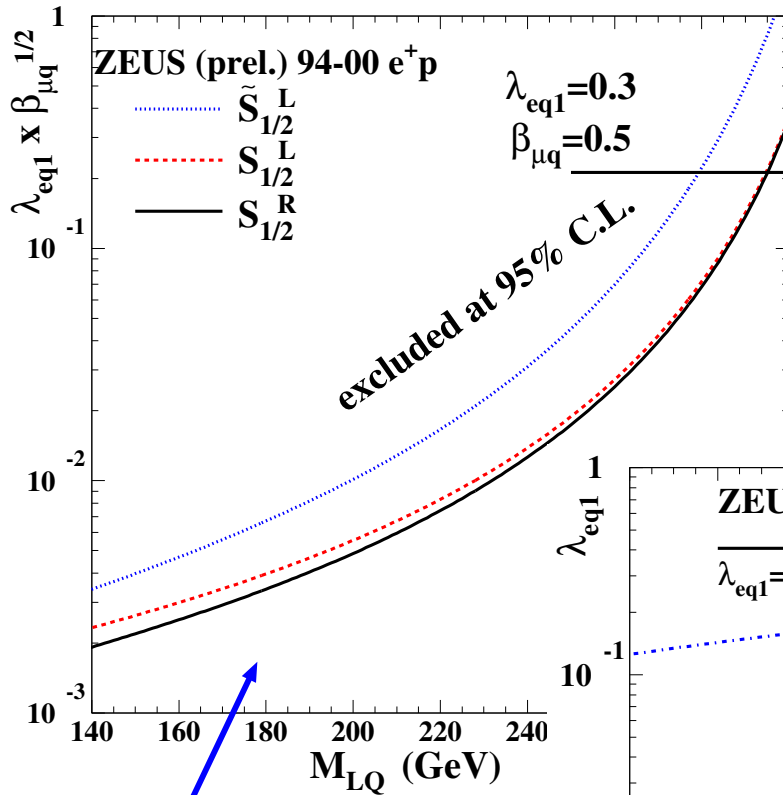
$$P_T^\mu = 28 \text{ GeV}, P_T^X = 67 \text{ GeV}, P_T^{miss} = 43 \text{ GeV}$$



H1

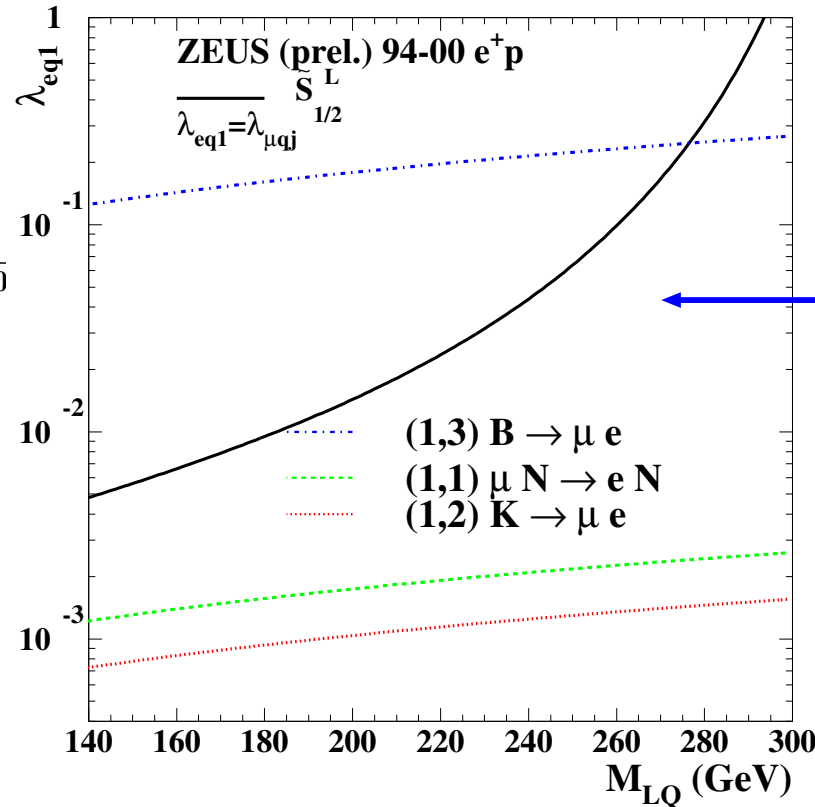
# Limits on Lepton-Flavor Violation

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**HERA results:**

- No evidence for LFV found at HERA.
- HERA discovery potential in low-mass LQ regime involving 3rd-generation quarks.
- High-mass limits on  $\frac{\lambda_{1j}\lambda_{xk}}{M_{LQ}^2}$  ( $x = 2, 3$ ) are strongest/only limits for several flavor combinations  $(j, k)$ , in particular for  $\tau$  leptons.



**Search for  $e \rightarrow \mu$  LQs ( $M_{LQ}^2 \lesssim s$ ):**

Exclusion limits on  $\lambda_{11} \cdot \sqrt{BR(LQ \rightarrow \mu q)}$  for LQs with  $J = 0, F = 0$ .

**Comparison to low-energy results:**

Assume  $\lambda_{11} = \lambda_{2k}$ , i.e.  $BR(LQ \rightarrow \mu q) = 0.5$  and compare exclusion limit for  $\tilde{S}_{1/2}^L$  LQ with limits from rare decays and  $\mu \rightarrow e$  conversion in atoms.

# Conclusions and Outlook

## Summary:

- No evidence for **excited fermions** or **leptoquarks** in  $\sim 240 \text{ pb}^{-1} e^{\pm}p$  data collected in 1994–2000 (HERA I).
- **High sensitivity** in various channels, **complementary** to other collider experiments and to low-energy measurements.
- Typical mass limits **250 – 300 GeV**, depending on species and coupling.

## Outlook:

- HERA has resumed operation after major upgrade (**HERA II**).
- Detector improvements and upgrades.
- We expect
  - $\sim 1 \text{ fb}^{-1} ep$  data per experiment;
  - longitudinal  **$e$  polarization**.
- The new data will significantly boost the **HERA discovery potential** for physics beyond the SM.