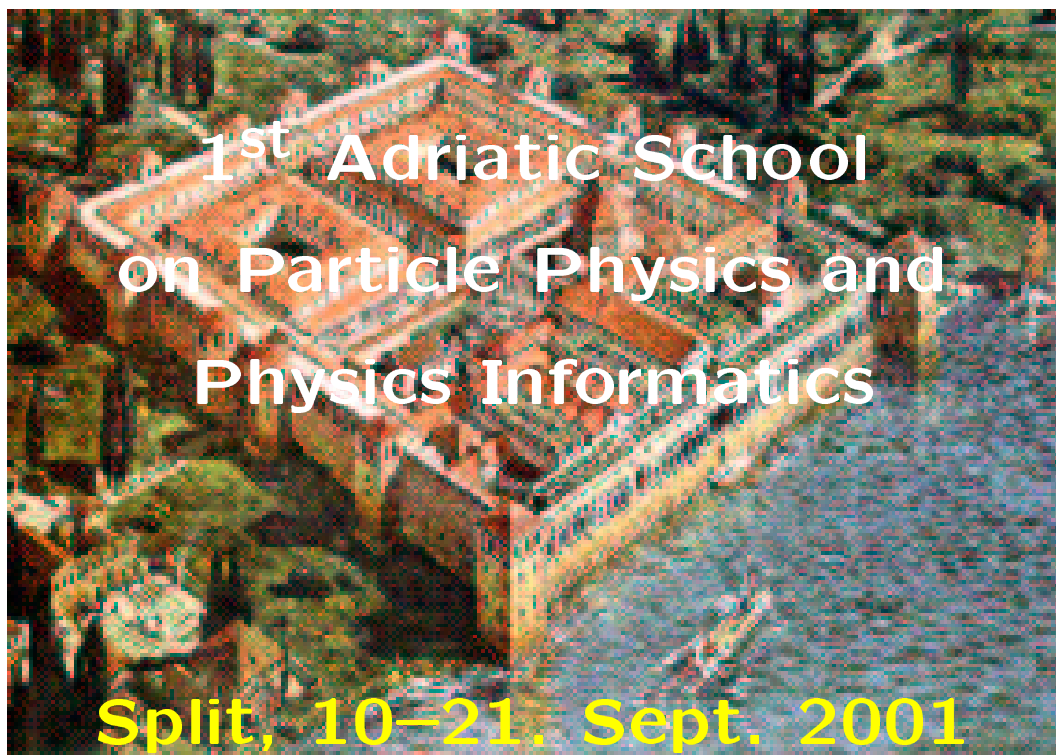


Physics at HERA

Ulrich F. Katz, ZEUS
University of Erlangen



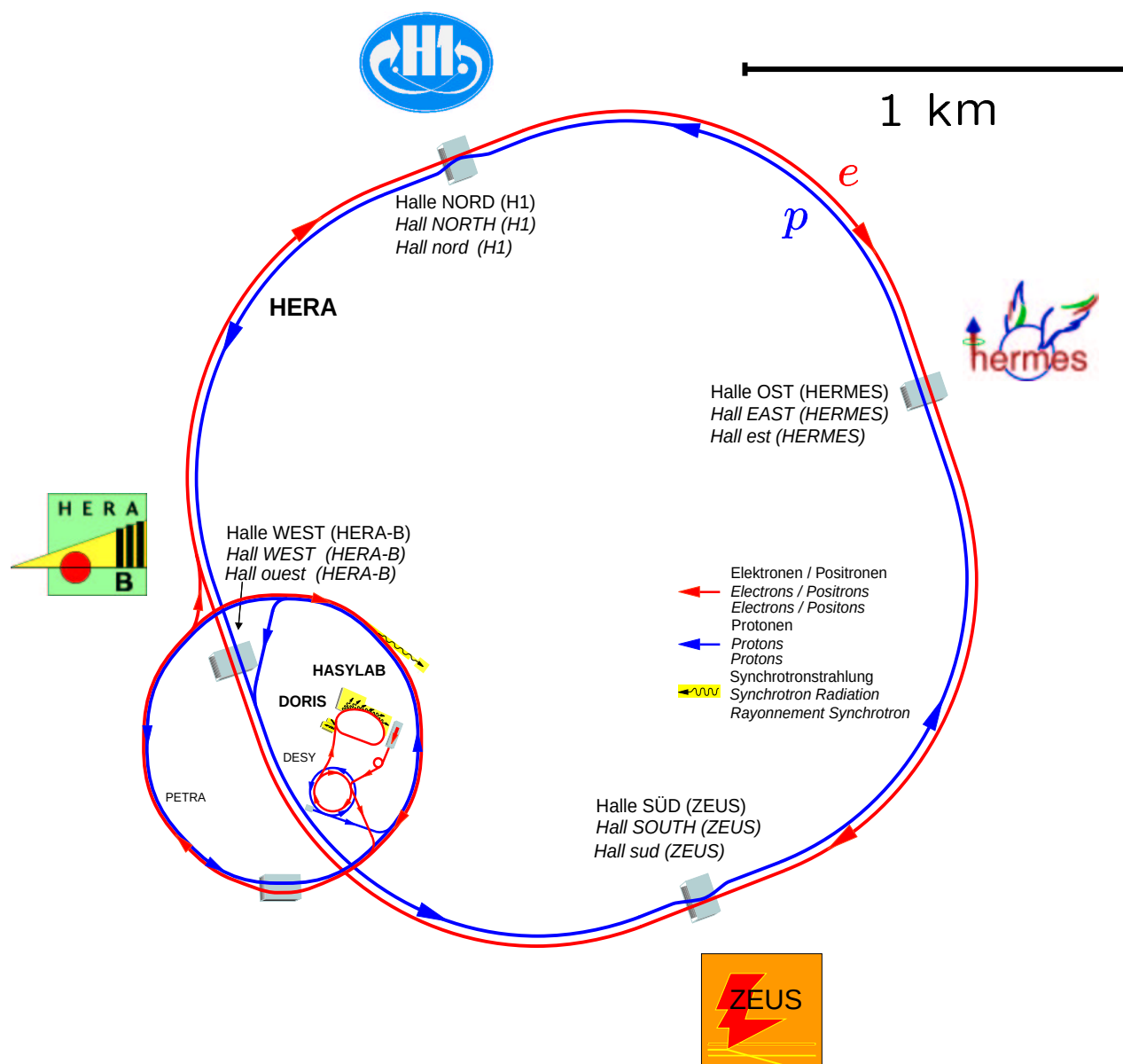
- Introduction:
deep inelastic scattering at HERA
- DIS cross sections,
structure functions
and parton distributions
- DIS analyses and results at high Q^2
- Searches for “new physics”

Introduction: Electron-Proton Collisions at HERA

Overview:

- HERA
 - Experiments
 - Parameters
- Deep inelastic scattering
 - Kinematics
 - Signatures
 - Event topologies
- Example events
- NC and CC cross sections
- Beyond the Standard Model

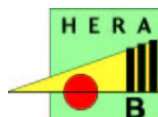
HERA and its Experiments



ep collisions at $\sqrt{s} \approx 300$ GeV



e beam (longitudinally polarized) on polarized gas target, $\sqrt{s} \approx 7.5$ GeV;
goal: polarized structure functions

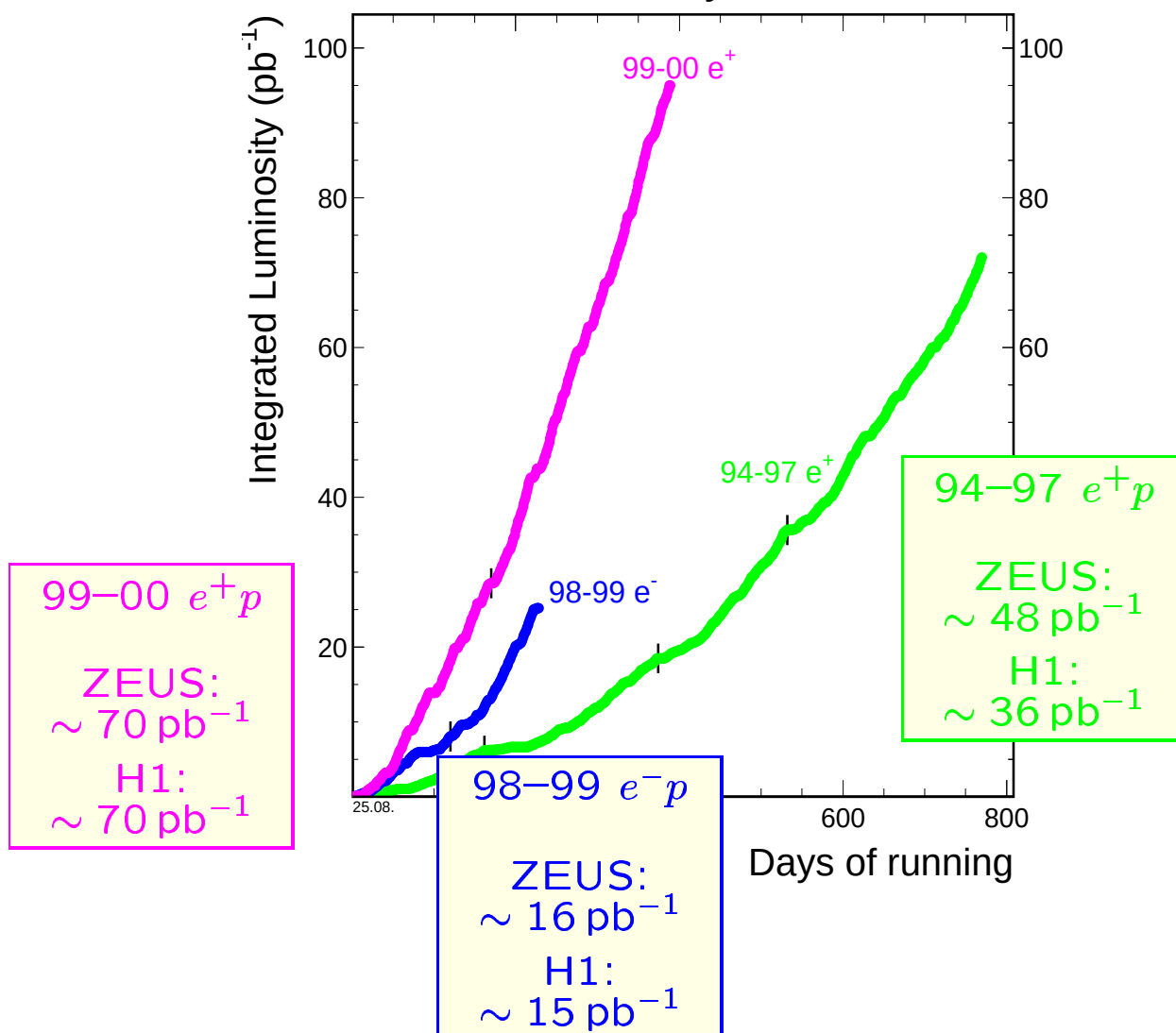


p beam on internal wire target, $\sqrt{s} \approx 40$ GeV;
goal: study hadrons with c and b quarks

HERA: Parameters and Data Sets

Circumference:	6.3 km
Beam energies:	27.5 GeV (e), 820/920 GeV (p , before/after 1997)
Beam currents:	$\lesssim 50$ mA (e), $\lesssim 100$ mA (p)
Bunches:	ca. 180 in 210 buckets (some unpaired)
Bunch collision rate:	$1/96$ ns = 10.4 MHz
Beam life times:	~ 8 hours (e)
Luminosity:	$\sim 10^{31}$ cm $^{-2}$ s $^{-1}$

HERA luminosity 1994 – 2000



Physics Topics in ep Scattering

ep collisions at HERA offer broad spectrum of reaction channels and physics topics

The following list is neither complete nor are the different items mutually exclusive

- Deep inelastic scattering (DIS)
 - investigation of the parton structure of the proton
 - tests of perturbative QCD (pQCD)
 - electroweak effects (W , Z exchange)
 - study of the transition to the non-perturbative QCD regime
- Photoproduction
 - collision of quasireal photons with protons
 - testing the “photon structure”
 - jet physics ($\rightarrow$ QCD)
 - heavy flavor physics
- Searches for “new physics”
 - new particles (leptoquarks, excited fermions, SUSY particles)
 - anomalous couplings
 - the unexpected
- Diffraction
- Exclusive reactions
- W production
- ...

Cross Sections: Where Experiment Meets Theory

The cross section σ is a measure for the reaction probability

$$\sigma = \frac{N_{\text{reaction}}}{\underbrace{\int_{\text{time}} dt [N_{\text{target}} \times (\text{beam flux})]}_{\text{integrated luminosity } \mathcal{L}}}$$

Measurement of σ requires knowledge

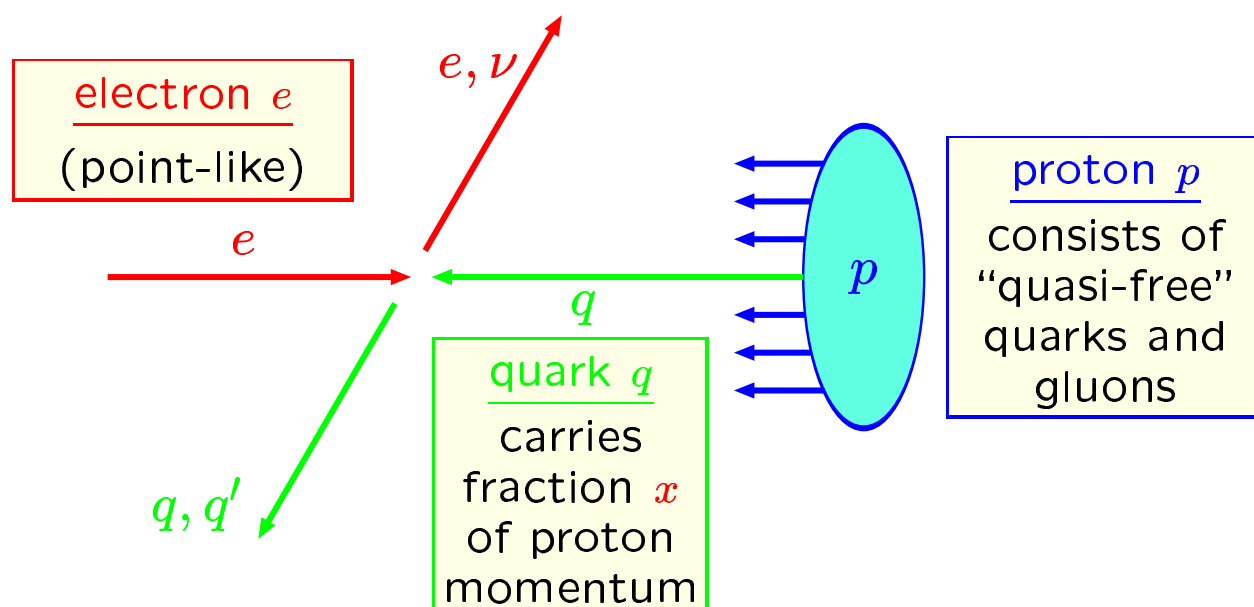
- of the number of reactions (N_{reaction})
- of the integrated luminosity ($\mathcal{L}$)



$$\sigma = (\text{kin. factor}) \times \underbrace{|\mathcal{M}_{fi}|^2}_{\text{square of transition matrix element}}$$

- matrix element contains characteristic parameters of interaction (couplings etc.)
- measurement of σ needed to test theory and to determine parameters
- $|\mathcal{M}|$ usually calculated perturbatively ($\rightarrow$ Feynman graphs)

Deep Inelastic ep Reactions in the Quark Parton Model



deep inelastic ep scattering (DIS) =
incoherent sum of elastic eq scattering:

$$\sigma(ep) = \sum_{q, \bar{q} \text{ in } p} f_{q|p} \cdot \sigma(eq)$$

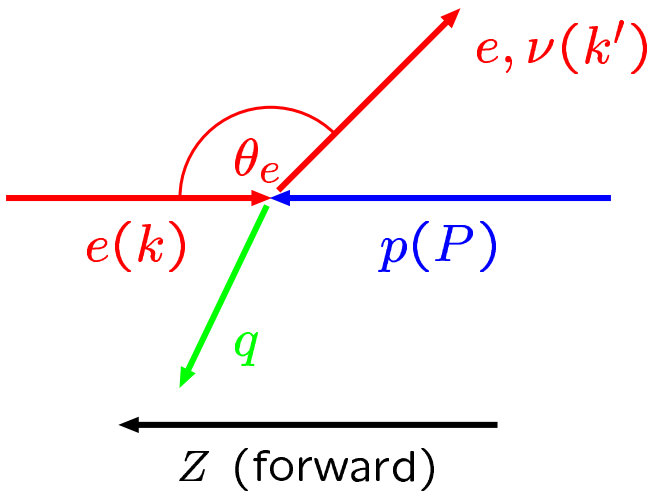
parton distribution $f_{q|p}(x)$:

probability distribution
to find a parton
of flavor q with
momentum fraction x
in the proton

$\sigma(eq)$:

differential
 eq cross section
(depends on
scattering angle and
 eq center-of-mass energy)

Kinematic Variables



Four-momenta in lab system:

- e (beam):
 $k = (E_e, 0, 0, -E_e)$
- p (beam):
 $P = (E_p, 0, 0, E_p)$
- e (scattered):
 $k' = (E', \dots)$

Kinematic variables:

square of the ep center-of-mass energy:

$$s = (k + P)^2 = 4E_e E_p$$

four-momentum transfer:

$$q = k - k'; \quad Q^2 = -q^2 = 2E_e E' (1 + \cos \theta_e)$$

Bjorken scaling variable x :

$$x = Q^2 / (2 q \cdot P) = \text{momentum fraction of quark in proton}$$

inelasticity:

$$y = (q \cdot P) / (k \cdot P) = (1 - \cos \theta_e^*) / 2;$$

θ_e^* = scattering angle in eq center-of-mass system

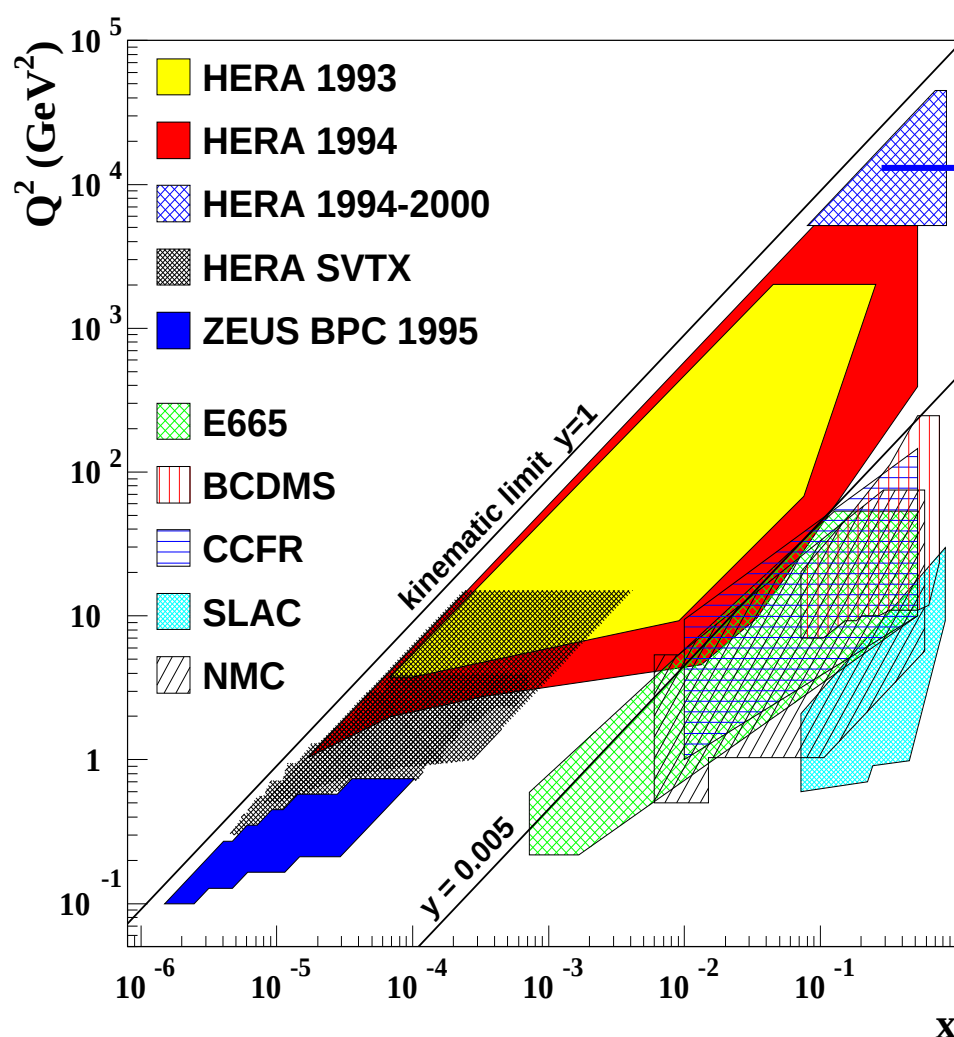
Q^2 , x and y :

$$Q^2 = x \cdot y \cdot s$$

invariant eq mass:

$$M = \sqrt{(k + xP)^2} = \sqrt{xs}$$

The Kinematic Plane of DIS



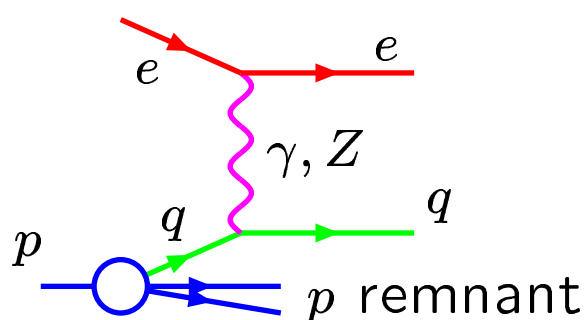
Large
 x, Q^2, M
 $\Rightarrow$
 DIS at
 electroweak
 energy
 scales
 ($Q^2 \sim M_{W,Z}^2$)
 Search
 for new
 physics

- HERA extends accessible x and Q^2 region by two orders of magnitude $\Rightarrow Q^2 \gtrsim M_{W,Z}^2$; DIS in this kinematic region was unexplored before HERA.
- Large $Q^2 \Rightarrow$ good spatial resolution ($\Delta x \sim \hbar/\sqrt{Q^2} = \mathcal{O}(10^{-17} \text{ cm})$)
- Large $x \Rightarrow$ high invariant eq mass ($M = xs \lesssim 200 \text{ GeV}$)

DIS Signatures

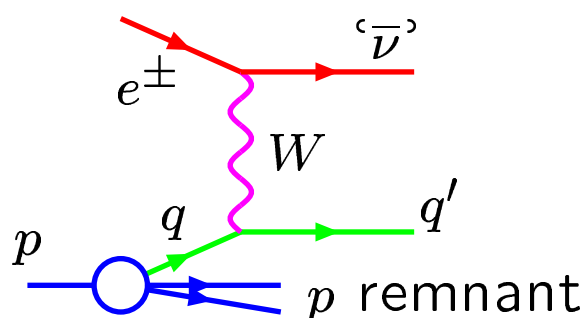
Hadronic final state:

- **Proton remnant**
jet in forward direction ("remnant jet")
- **Scattered quark**
jet in central detector ("current jet")
- **Gluon radiation etc.**
further jets in central detector
[($n+1$)-jet topology]



Neutral Current (NC)

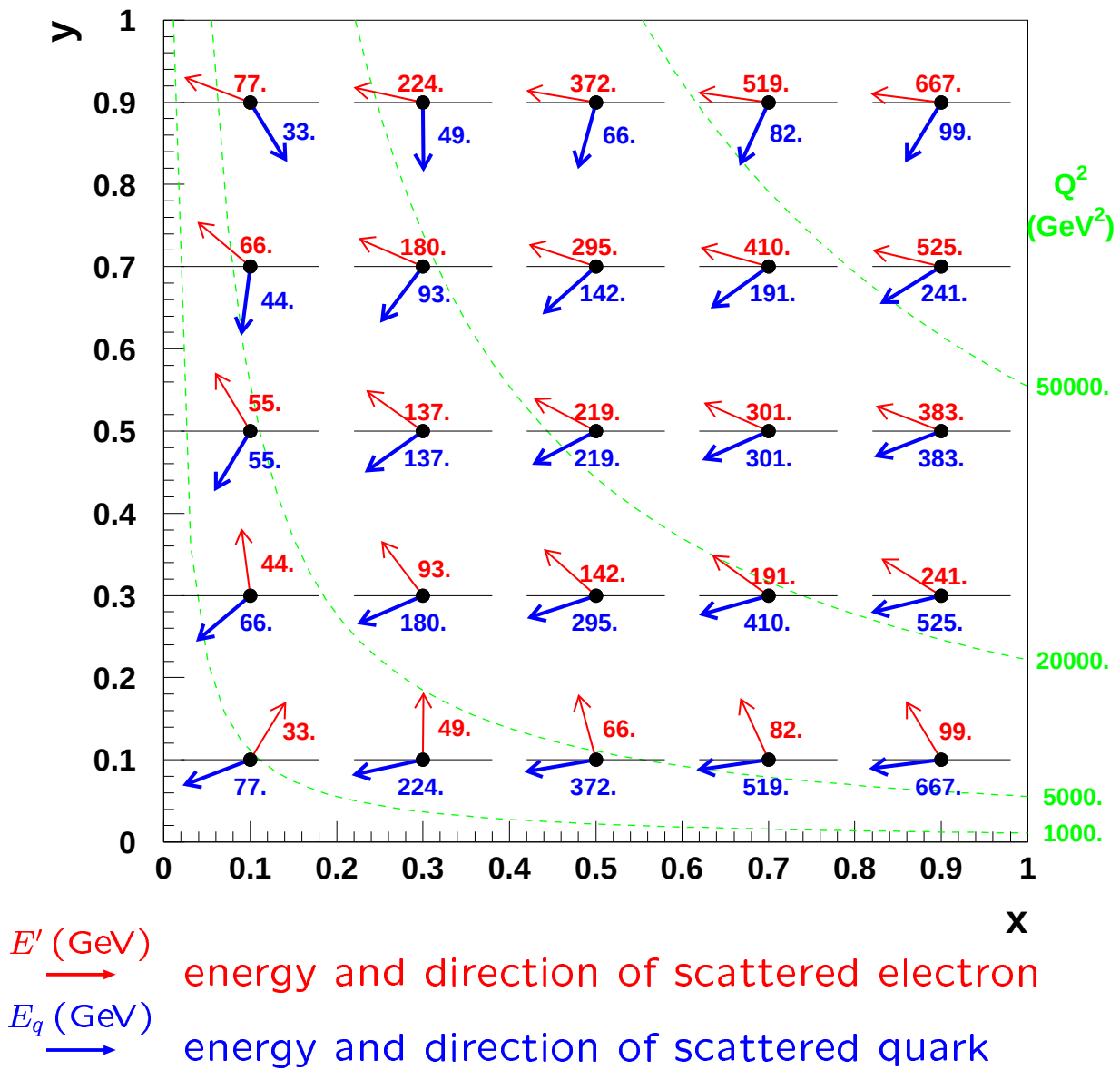
- **Scattered electron**
 $\Rightarrow$ isolated
 $\Rightarrow$ energy $\gtrsim 10$ GeV
- **One or more**
"central" jets
- **Proton remnant**
energy deposition
around beam pipe
in p direction



Charged Current (CC)

- **Scattered neutrino**
 $\Rightarrow$ invisible
 $\Rightarrow$ causes missing
transverse
momentum
- **Hadronic final state**
 $\Rightarrow$ as in NC reactions

Event Topologies at High Q^2



For $Q^2 \gtrsim 1000 \text{ GeV}^2$:

- Scattered electron and current jet have energies of **100 GeV** and more
- Electron and jet mostly hit central and forward detector components
- Acceptance problems at $y, 1 - y \ll 0.1$

A NC Event in the ZEUS Detector

Scattered electron

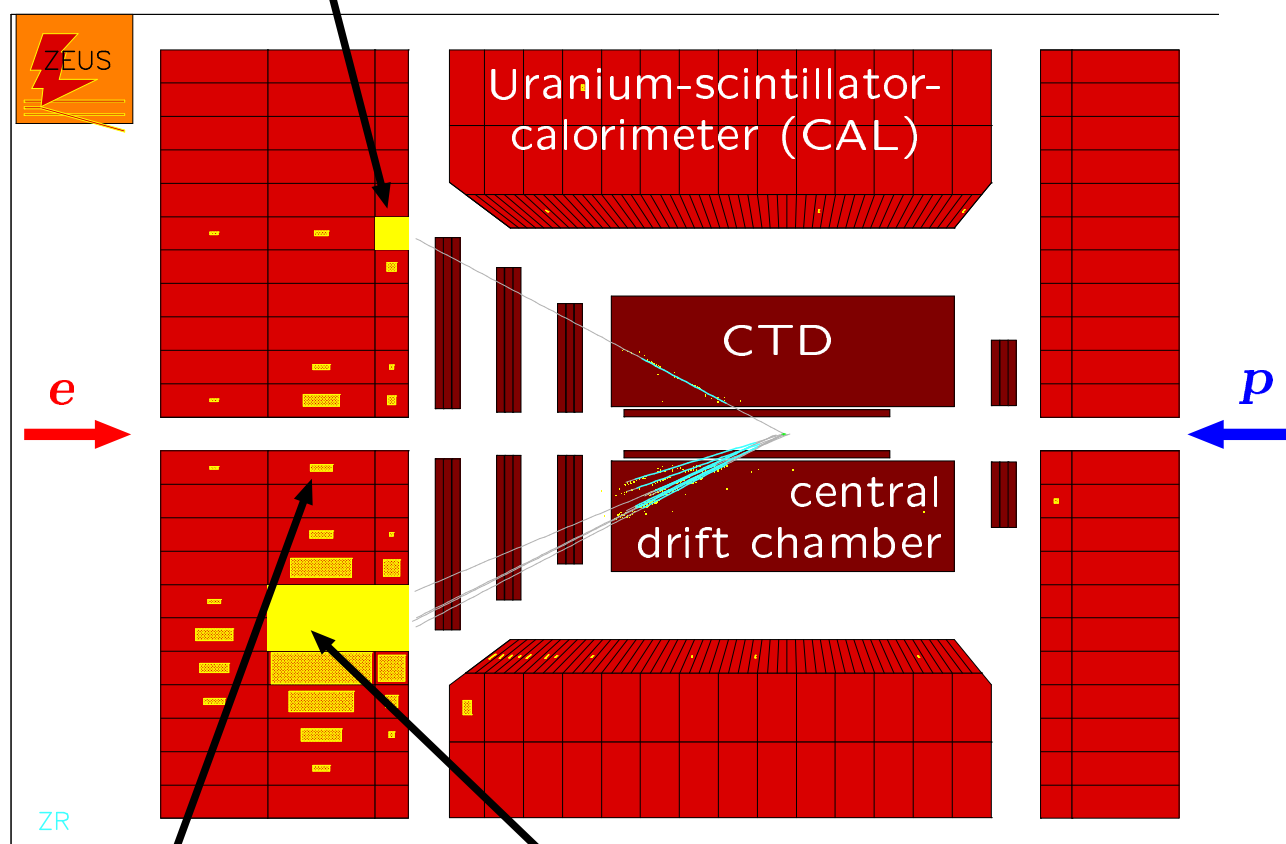
- energy in elm. calorimeter
- isolated, single track

$$Q^2 \approx 25\,000 \text{ GeV}^2$$

$$x \approx 0.55$$

$$y \approx 0.50$$

$$E' \approx 236 \text{ GeV}$$



Remnant
jet

Current jet

- energy in elm. and had. calorimeter
- many tracks, collimated energy flow

A CC Event in the H1 Detector

Current jet

- energy in elm. and had. calorimeter
- many tracks, collimated energy flow

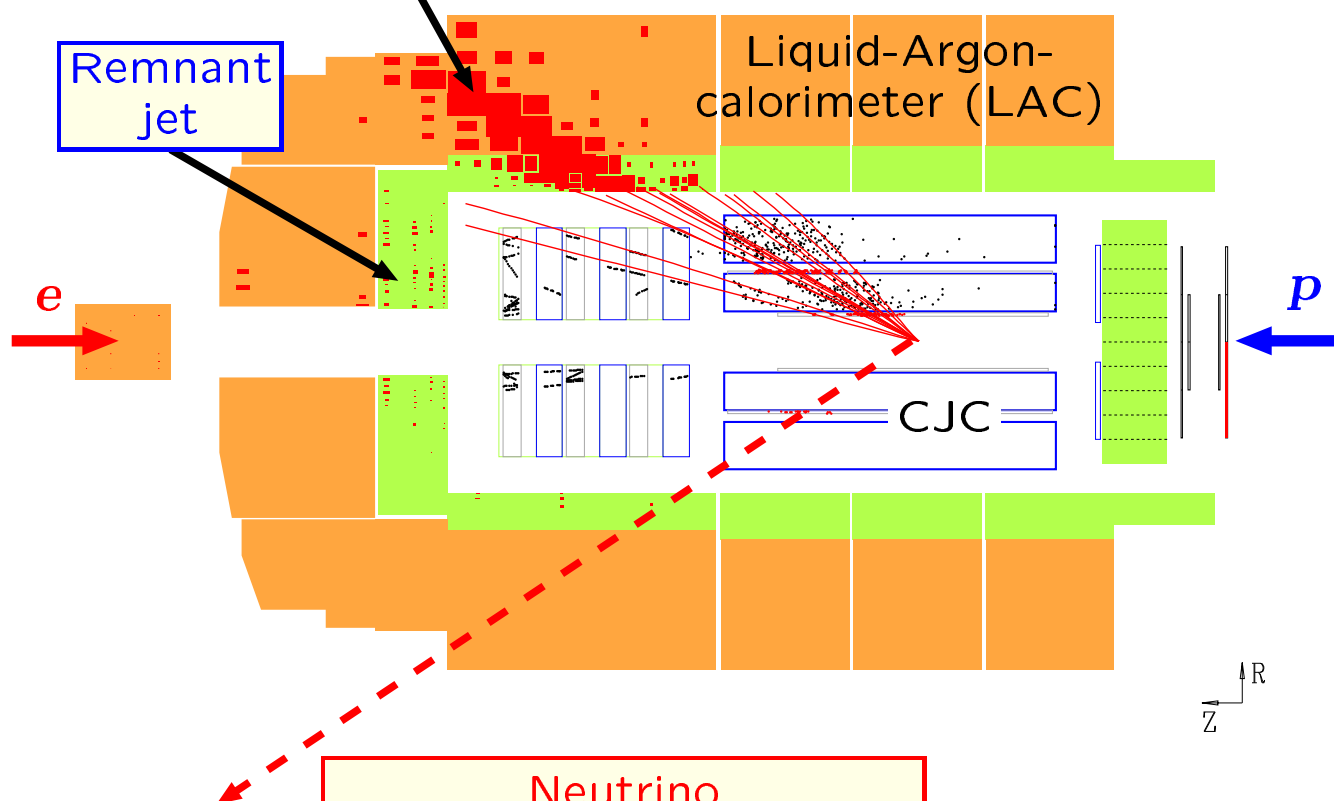
$$Q^2 \approx 20\,000 \text{ GeV}^2$$

$$x \approx 0.4$$

$$y \approx 0.49$$

Remnant jet

Liquid-Argon-calorimeter (LAC)

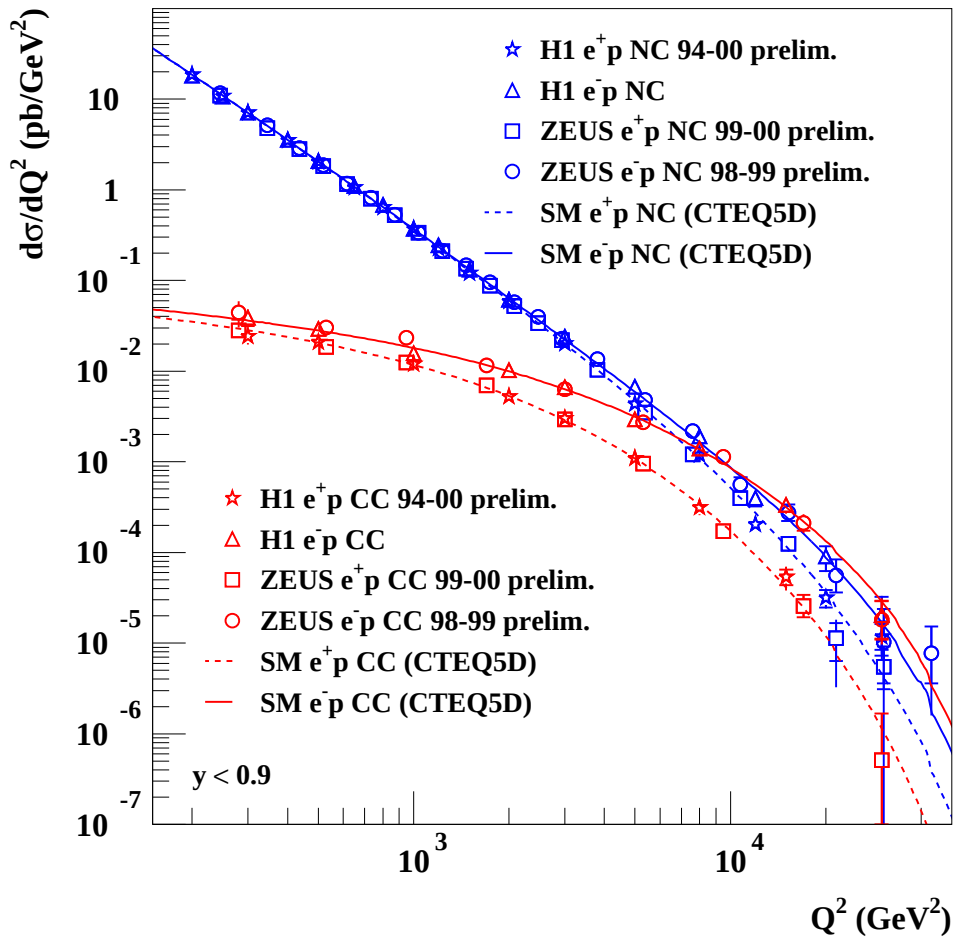


Neutrino

- leaves no signal in the detector
- causes "missing transverse momentum" (missing P_t)

NC and CC Cross Sections

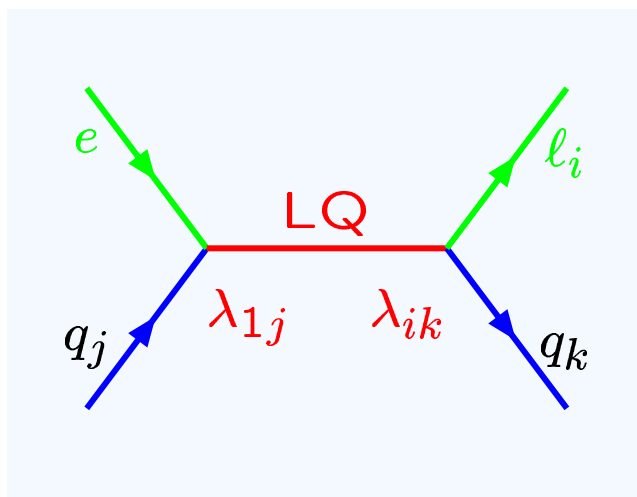
Measurements of $d\sigma/dQ^2$ at HERA:



- HERA: first simultaneous measurements of NC and CC reactions, e^+p und e^-p in the same experiment.
- For $Q^2 \gtrsim M_{W,Z}^2$ we have $d\sigma/dQ^2(\text{NC}) \sim d\sigma/dQ^2(\text{CC})$ (electroweak unification).
- Cross sections vary by many orders of magnitude, measurements still statistics-dominated at high Q^2 .

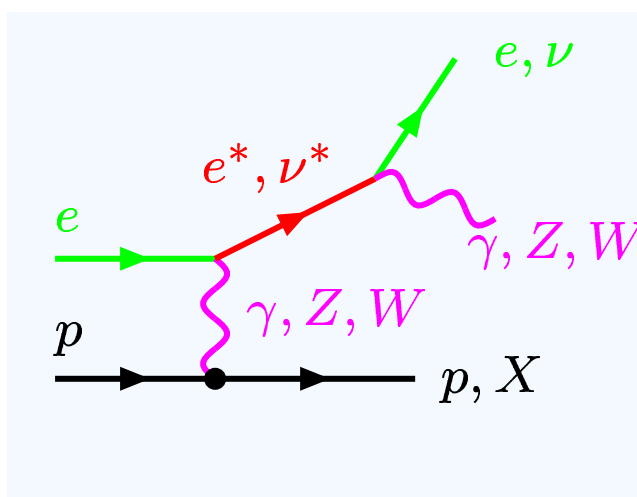
Beyond the Standard Model

... some examples



Leptoquark (LQ)

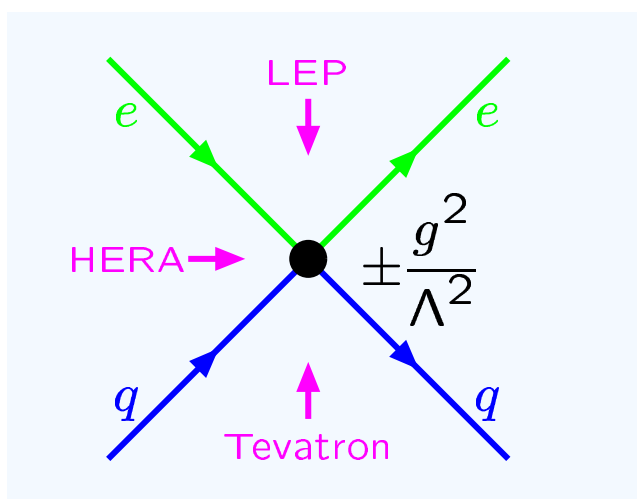
- Narrow resonance in $M = \sqrt{xs}$
- If $\ell = e$: single event indistinguishable from DIS
- But: different angular distribution (y)



Excited fermions

(e^* or ν^*)

- May exist if fermions are composite
- Various decays to fermion+gauge boson
- Event topology different from DIS



Contact

interactions (CI)

- Effective model for processes with energy scale $\gg s$
- E.g. exchange of new gauge boson or of LQ
- DIS cross section modified

DIS Cross Sections, Structure Functions and Parton Distributions

Overview:

- The QPM cross section
 - eq cross sections
 - ep cross sections and structure functions
 - Parton distributions
 - Radiative corrections
- QCD corrections
 - Leading contributions
 - QCD evolution equations
- QCD fits
 - Fit method
 - Experimental data
 - PDFs at high x
- Precision of cross section predictions

The QPM Cross Section

$$\frac{d^2\sigma}{dx dQ^2}(x, Q^2) = \sum_q q(x, Q^2) \frac{d\hat{\sigma}}{dQ^2}(x, Q^2) \quad (*)$$

1

The eq cross section (LO)

$x = \hat{s}/s$ und $Q^2 = y\hat{s} = \hat{s} \cos^2 \theta^*/2$ determine eq center-of-mass energy and scattering angle.

$$\frac{d\hat{\sigma}_{\text{NC}}}{dQ^2} \propto \left| \begin{array}{c} \text{Diagram 1: } e \text{ and } q \text{ exchange via } \gamma \text{ with momenta } Q_e \text{ and } Q_q \\ \text{Diagram 2: } e \text{ and } q \text{ exchange via } Z \text{ with momenta } (v_e, a_e) \text{ and } (v_q, a_q) \end{array} \right|^2$$

$$v_f = \frac{-I_f^3 + 2 \sin^2 \theta_W Q_f}{2 \sin \theta_W \cos \theta_W} \quad a_f = \frac{-I_f^3}{2 \sin \theta_W \cos \theta_W}$$

Q_f = charge of f in units of e

I_f^3 = 3-component of weak isospin

θ_W = Weinberg angle

$$\frac{d\hat{\sigma}_{\text{CC}}}{dQ^2} \propto \sum_{q'} |V_{qq'}|^2 \left| \begin{array}{c} \text{Diagram: } e \text{ and } q \text{ exchange via } W \text{ with momenta } (v_e^{\text{CC}}, a_e^{\text{CC}}) \text{ and } (v_q^{\text{CC}}, a_q^{\text{CC}}) \end{array} \right|^2$$

$$v_f^{\text{CC}} = -v_a^{\text{CC}} = \frac{1}{2\sqrt{2} \sin \theta_W}$$

$V_{qq'}$ = Cabbibo–Kobayashi–Maskawa matrix

Note: QCD is not involved at LO !

The QPM Cross Section [2]

2

The parton distributions (PDFs)

- $p(x, Q^2)dx$ is the probability at given Q^2 to find in the proton a parton of flavor p with momentum fraction between x and $x + dx$
- “Naïve” QPM:
 $p(x, Q^2)$ does not depend on Q^2 (scaling)
- Q^2 dependence induced by QCD corrections (see below)

3

The ep cross section

$$\frac{d^2\sigma_{NC}(e^\pm p)}{dx dQ^2} = \frac{2\pi\alpha^2}{Q^4} (Y_+ F_2^{NC} \mp Y_- x F_3^{NC})$$

$$\frac{d^2\sigma_{CC}(e^\pm p)}{dx dQ^2} = \frac{\pi\alpha^2}{8 \sin^4 \theta_W} \frac{1}{(Q^2 + M_W^2)^2} \times (Y_+ F_2^{CC\pm} \mp Y_- x F_3^{CC\pm})$$

$$Y_\pm = 1 \mp [1 - y]^2$$

- $F_{2,3} = F_{2,3}(x, Q^2)$ = structure functions
- xF_3 terms violate parity.
- Representation of the DIS cross section in terms of structure functions does not require knowledge of partons.
- Approximation: longitudinal structure function $F_L \approx 0 \Rightarrow F_2 = 2xF_1$ (Callan–Cross relation, only valid if partons carry spin 1/2).

The QPM Cross Section [3]

4

Structure functions and PDFs

Calculate $d\hat{\sigma}/dQ^2$ and insert in (*)
 $\Rightarrow$ relation between structure fnc't's and PDFs:

NC:

$$\begin{aligned}
 F_2^{\text{NC}} &= x \sum_{q=d,u,s,c,b} A_q(Q^2) [q + \bar{q}] \\
 xF_3^{\text{NC}} &= x \sum_{q=d,u,s,c,b} B_q(Q^2) [q - \bar{q}] \\
 A_q(Q^2) &= Q_q^2 - 2Q_q v_e v_q P_Z + \\
 &\quad (v_e^2 + a_e^2)(v_q^2 + a_q^2) P_Z^2 \\
 B_q(Q^2) &= -2Q_q a_e a_q P_Z + 4v_e a_e v_q a_q P_Z^2 \\
 P_Z &= \frac{Q^2}{Q^2 + M_Z^2}
 \end{aligned}$$

- t quarks do not contribute to NC reactions at HERA ($2m_t \approx 350 \text{ GeV} > \sqrt{s}$)
- Phase space suppression of c and b quarks in final state is negligible
- Mass effects of c and b quarks in initial state are absorbed in PDFs

CC:

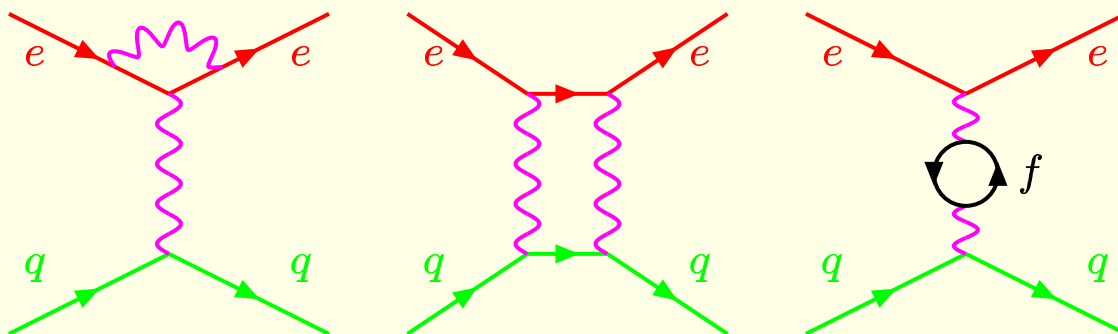
$$\begin{aligned}
 F_2^{\text{CC}+}, xF_3^{\text{CC}+} &= \sum_{q=d,s} xq \pm \sum_{q=u,c} x\bar{q} \\
 F_2^{\text{CC}-}, xF_3^{\text{CC}-} &= \sum_{q=u,c} xq \pm \sum_{q=d,s} x\bar{q}
 \end{aligned}$$

- b and t contributions are neglected (large m_t and small CKMM elements V_{i3})

Electroweak Radiative Corrections

Virtual corrections

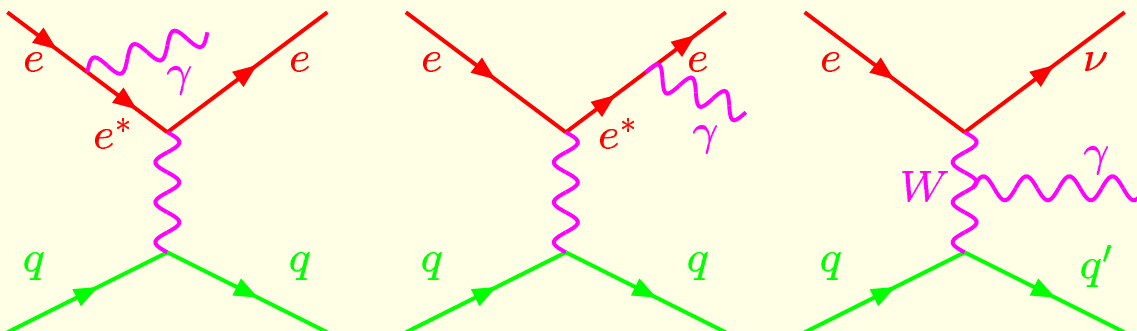
example graphs:



- All corrections at 1-loop level are known and included in MC simulation
- They induce Q^2 dependence of SM parameters (e.g. $\alpha = \alpha(Q^2)$)

Photon radiation

example graphs:



- Dominant: γ radiation from electron lines. Cross section has 3 poles:

$$k \cdot k_\gamma \approx 0$$

$$\vec{k}_\gamma \parallel \vec{k}, \text{ "initial state radiation" (ISR)}$$

$$k' \cdot k_\gamma \approx 0$$

$$\vec{k}_\gamma \parallel \vec{k}', \text{ "final state radiation" (FSR)}$$

$$(k - k' - k_\gamma)^2 \approx 0 \quad \text{"QED Compton scattering"}$$

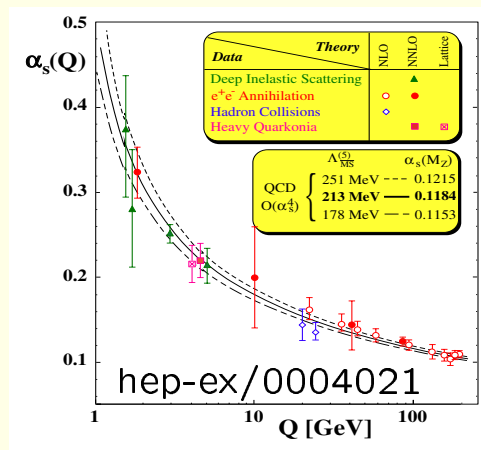
- Large corrections: $\sim +50\%$ at $y_e > 0.8$, $x_e < 0.01$;
 $\sim -30\%$ at $y_e < 0.2$, $x_e > 0.5$
- Uncertainty is largest at high y (a few %)

QCD Corrections

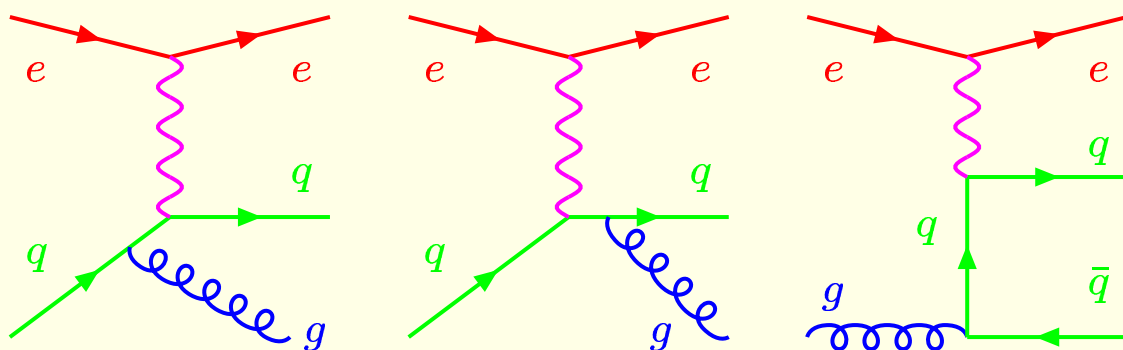
Virtual corrections:

- Leading order:
gluon loops at quark lines
- Taken into account by
correction of α_s
- $\alpha_s \rightarrow \alpha_s(Q^2)$ (“running α_s ”)

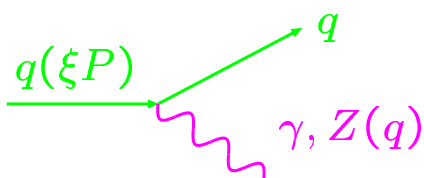
$$\alpha_s(M_Z^2) = 0.1184 \pm 0.0031$$



Processes of first order in α_s :



QPM:

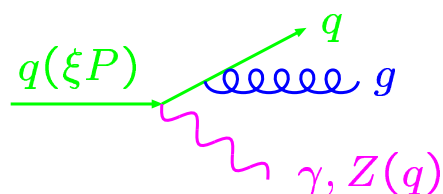


$$(\xi P + q)^2 = m_q^2 = 0$$

$$\xi = \frac{Q^2}{2P \cdot q} = x$$

(quark and proton masses neglected)

QCD:



$$(\xi P + q)^2 = \mu^2 > 0$$

$$\xi = \frac{Q^2 + \mu^2}{2P \cdot q} > x$$

(multi-parton final state has non-zero invariant mass μ)

QCD Cross Sections

$$\sigma(x, Q^2) = \sum_q \int_x^1 \frac{d\xi}{\xi} q(\xi) \hat{\sigma}\left(\frac{\xi}{x}, Q^2\right)$$

$$\hat{\sigma}\left(\frac{\xi}{x}, Q^2\right) = \sigma_0 \left\{ \delta\left(\frac{x}{\xi} - 1\right) + \frac{\alpha_s}{2\pi} \left[P\left(\frac{\xi}{x}\right) \ln \frac{Q^2}{m^2} + f\left(\frac{\xi}{x}\right) + \mathcal{O}\left(\frac{1}{Q^2}\right) \right] \right\}$$

$$\sigma_0 \delta(z - 1)$$

- leading order;
- reproduces QPM (LO).

$$\frac{\alpha_s}{2\pi} P\left(\frac{\xi}{x}\right) \ln \frac{Q^2}{m^2}$$

- dominant $\mathcal{O}(\alpha_s)$ term;
- has to be included to all orders since $\alpha_s \ln Q^2 = \mathcal{O}(1)$ (→ effective Q^2 dependence of PDFs, DGLAP equations, leading-log approximation).
- $P(\xi/x)$ = QCD splitting function.
- m^2 is infrared cutoff → factorization scale μ_f , terms of $\mathcal{O}(\ln \mu_f^2/m^2)$ are absorbed in PDFs.

$$\frac{\alpha_s}{2\pi} f\left(\frac{\xi}{x}\right)$$

- yields corrections of the same order as the leading α_s^2 terms.

$$\mathcal{O}(1/Q^2)$$

- “higher twist”

The QCD Evolution Equations

DGLAP equations:

(Dokshitzer, Gribov, Lipatov, Altarelli, Parisi)

$$\frac{d}{d \ln Q^2} \begin{pmatrix} q^S \\ q^{NS} \\ g \end{pmatrix} = \frac{\alpha_s}{2\pi} \begin{pmatrix} P_{qq} & 0 & P_{qg} \\ 0 & P_{qq} & 0 \\ P_{gq} & 0 & P_{gg} \end{pmatrix} \otimes \begin{pmatrix} q^S \\ q^{NS} \\ g \end{pmatrix}$$

$$P_{ba} \otimes q(x, Q^2) \equiv \int_x^1 \frac{d\xi}{\xi} q(\xi, Q^2) P_{ba} \left(\frac{x}{\xi} \right)$$

P_{ba} = QCD splitting function

$\propto |\text{ME}|^2$ for $a(\xi) \rightarrow b(x)$

$$q^S(x, Q^2) = \sum_q [q(x, Q^2) + \bar{q}(x, Q^2)]$$

= singlet PDF

$$q^{NS}(x, Q^2) = q_i(x, Q^2) - \bar{q}_i(x, Q^2) \quad \text{or} \\ q_i(x, Q^2) - q_j(x, Q^2) \quad (i \neq j)$$

= non-singlet PDF
(e.g. d_v, u_v = valence quark PDFs)

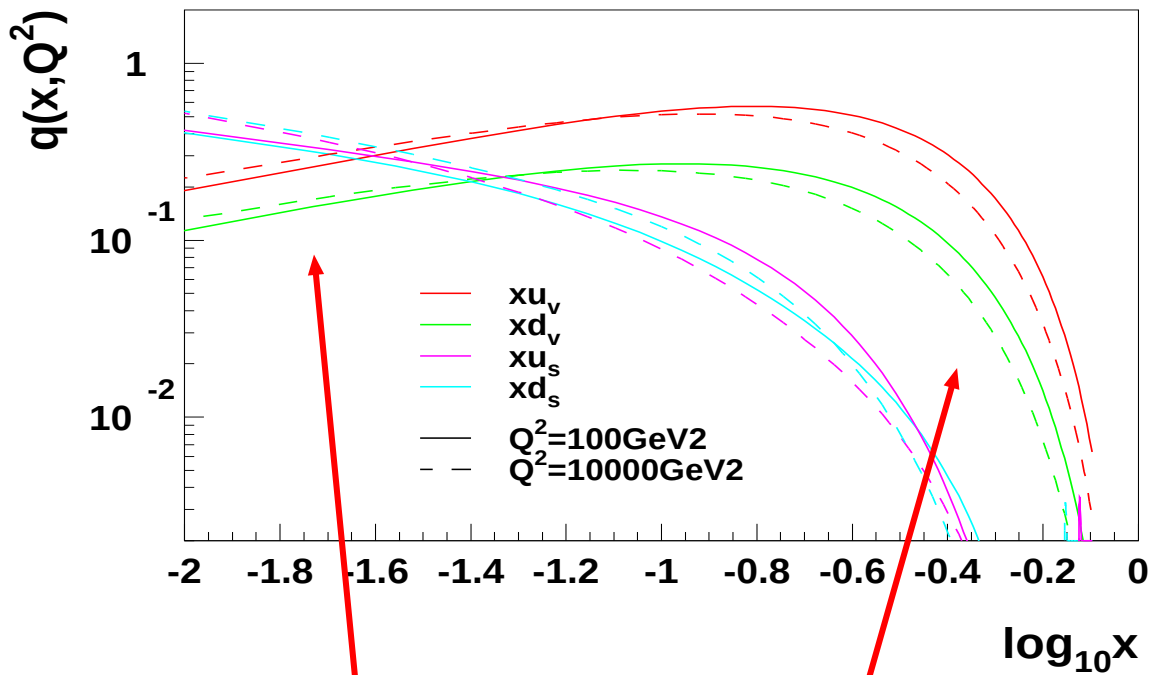
Leading-log approximation (LLA)

- splitting functions in leading order α_s
- QPM formulae remain valid if the PDFs are solutions of the DGLAP equations

Next-to-leading-log approximation (NLLA)

- P_{ba} in next-to-leading order (α_s^2)
- additive corrections to structure functions (in particular $F_L \neq 0$)
- used in HERA analyses

Parton Distributions



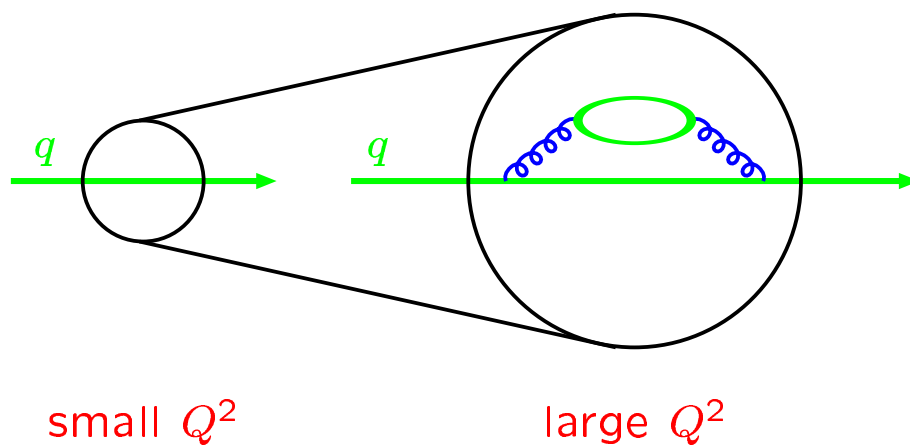
small x :

PDFs increase
with Q^2

large x :

PDFs decrease
with Q^2

Spatial resolution increases with growing Q^2 :



QCD Fits: Principle

Fit procedure:

- Parametrize PDFs at $Q^2 = Q_0^2$ as functions of x
- Perform QCD evolution using **DGLAP** equations (usually NLLA, special treatment of the heavy-quark PDFs (c, b, t))
- Calculate predictions for experimental observables (cross sections, structure functions, etc.)
- Determine PDF parameters from χ^2 fit to experimental data
- **Problem:** this procedure does not provide automatically the PDF uncertainties !

QCD fits performed by various theory groups:
MRS(T), CTEQ, GRV

For $p = u_v, d_v, \sum_q (q + \bar{q}), g$ and also for
 $p = \bar{u} + \bar{d}, \bar{u} - \bar{d}, s$:

$$xp(x, Q_0^2) = A_p x^{a_p} (1-x)^{b_p} P(x; c_p, \dots)$$

characterizes
PDFs at $x = 0$
(sea: $a_p < 0$, pole;
valence: $a_p > 0$)

characterizes
PDFs at $x = 1$
($b_p > 0$ for all
PDFs)

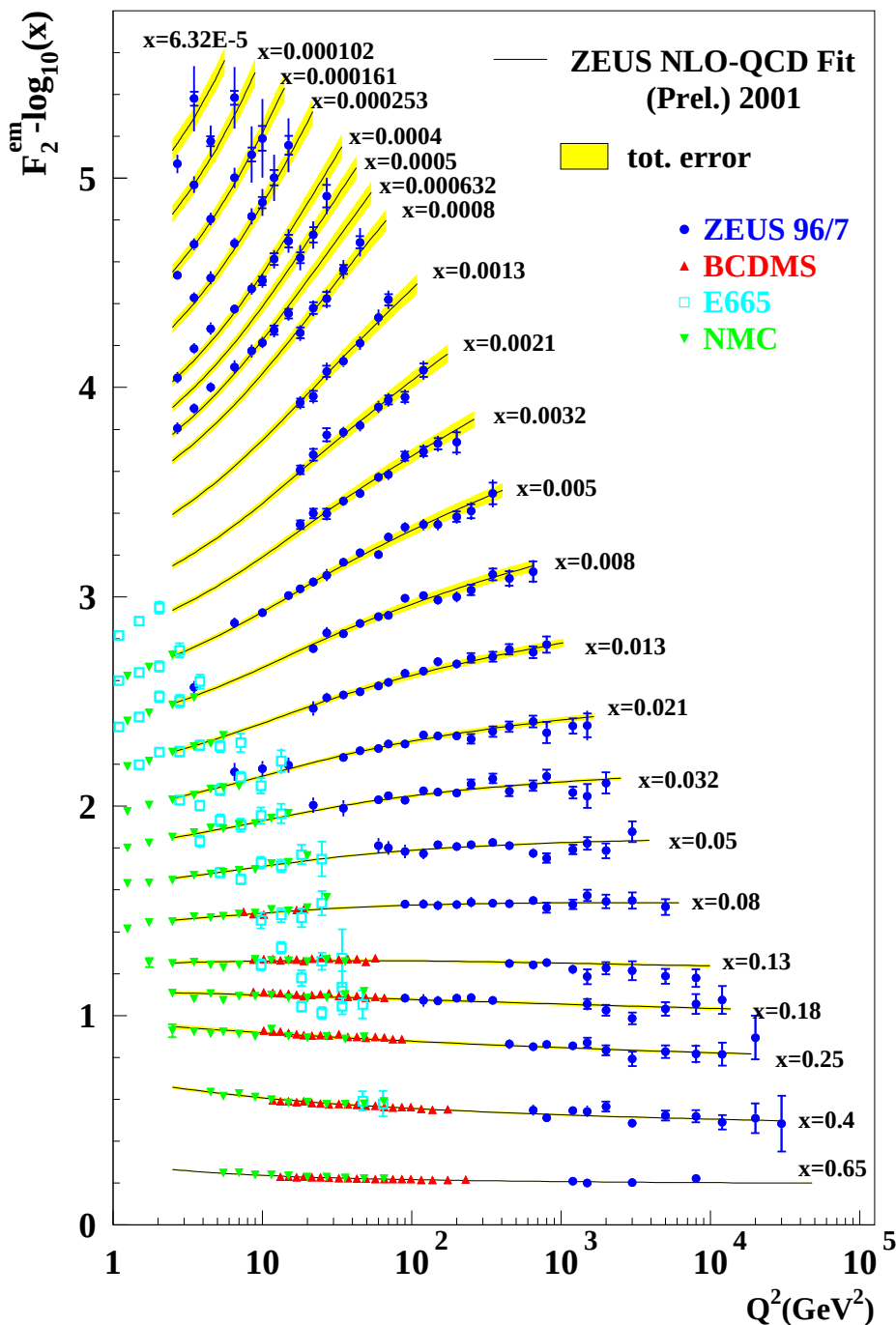
weakly
 x -dependent
function
(“fine tuning”)

QCD Fits: Data

1

NC and CC DIS data

- Fixed target experiments: measurements of structure functions (different target nuclei)
NC: BCDMS, NMC, E665, SLAC, ...
CC: CCFR, CDHS(W), CHARM, BEBC, ...
- Structure functions and cross sections from **ZEUS** and **H1**



ZEUS data
 from
 1996/97
 shown fit
 does not
 use H1 data

QCD Fits: Data [2]

2

Sum rules

number of valence quarks:

$$\int_0^1 dx u_v(x, Q_0^2) = 2 \quad \int_0^1 dx d_v(x, Q_0^2) = 1$$

momentum sum rule:

$$\int_0^1 dx x \left\{ g(x, Q_0^2) + \sum_q [q(x, Q_0^2) + \bar{q}(x, Q_0^2)] \right\} = 1$$

Gottfried sum rule:

$$I_G = \int_0^1 dx \left(\mathcal{F}_2^{\ell p} - \mathcal{F}_2^{\ell n} \right) = \frac{1}{3} + \frac{2}{3} \int_0^1 dx (\bar{u} - \bar{d})$$

Experiment (NMC): $I_G = 0.235 \pm 0.026 \Rightarrow \bar{d} > \bar{u}$

3

Other experimental data:

reaction	subprocess	information
$p\bar{p} \rightarrow W + X$	$q\bar{q} \rightarrow W$	$u, d, u/d$
$\bar{\nu}' N \rightarrow \mu^+ \mu^- + X$	$\nu s \rightarrow \mu c$	s
$pp, pN \rightarrow \ell^+ \ell^- + X$	$q\bar{q} \rightarrow \ell^+ \ell^-$	$\bar{d}/\bar{u}$
$hh \rightarrow \gamma + X$	$qg \rightarrow q\gamma$	g
$p\bar{p} \rightarrow \text{jets} + X$	$gg \rightarrow gg$	$g, (q)$
	$gq \rightarrow gq$	
	$qq \rightarrow qq$	

The ZEUS QCD Fit

Characteristics:

By: **M. Botje**, NIKHEF (DESY-99-038)

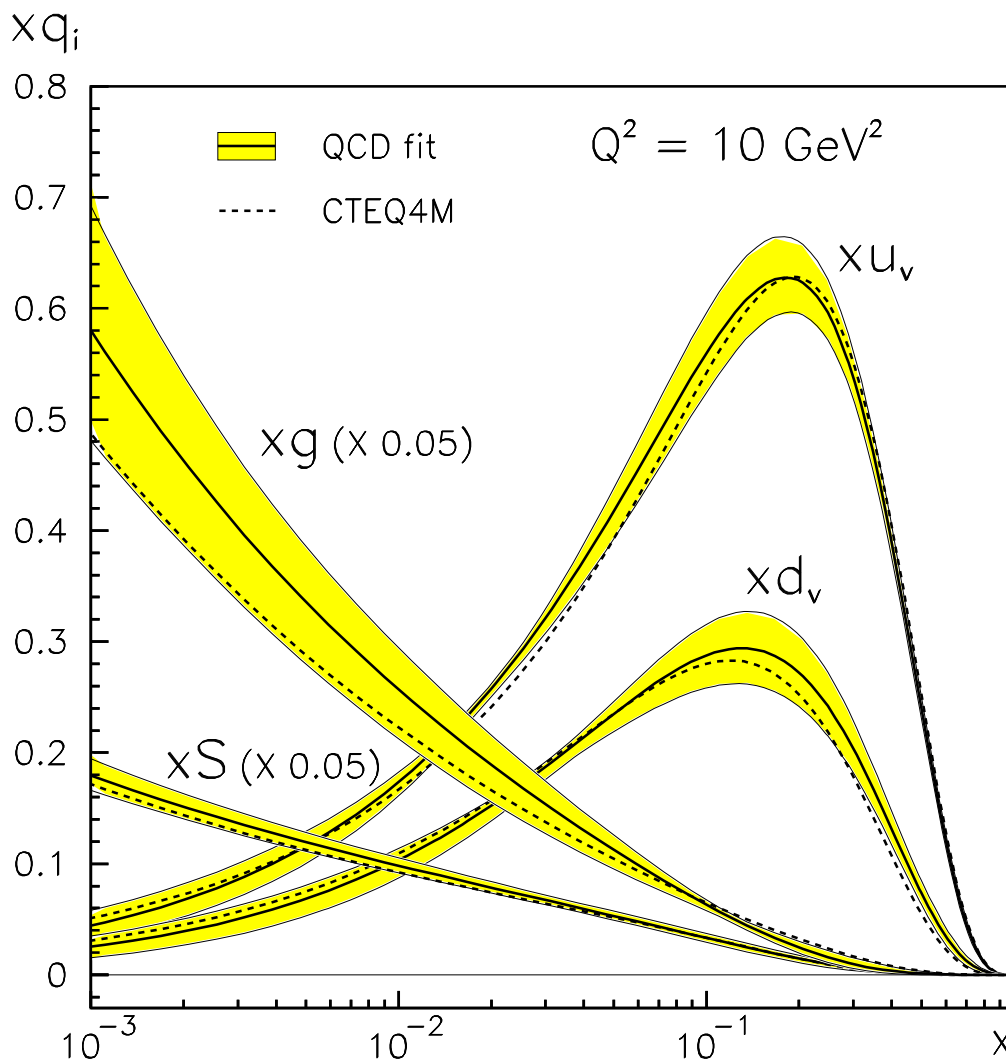
Data: **HERA**: ZEUS and H1, "old" data
fixed target NC: SLAC, BCDMS
NMC, E665

fixed target CC: CCFR

$pp, pN \rightarrow \mu^+ \mu^- + X$: E866

Fit quality: $\chi^2/\text{n.d.o.f.} = 1537/1557$

Features: propagation of experimental
uncertainties of the input data;
numerous systematic studies

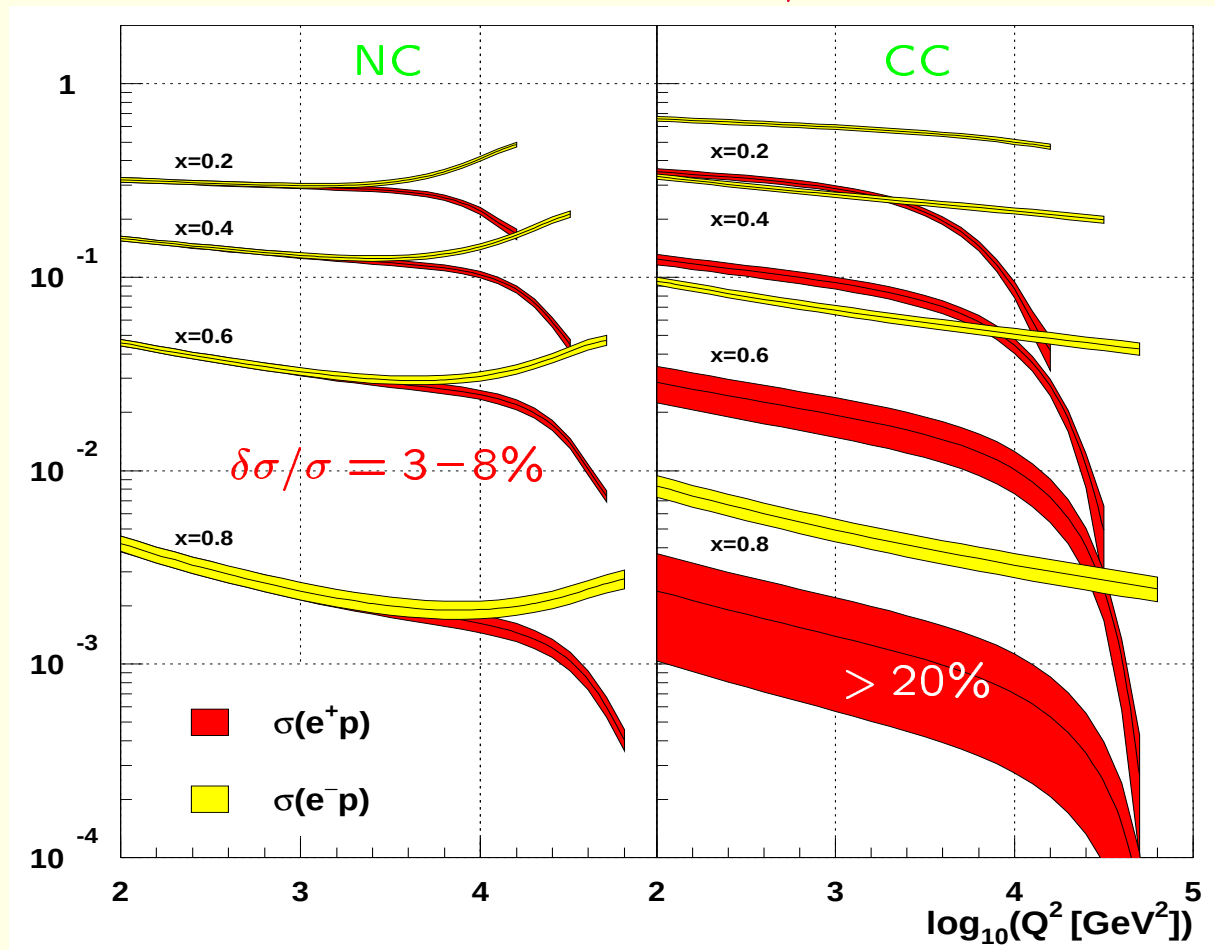


Accuracy of Cross Section Prediction

Contributions to PDF uncertainty:

- **Dominant effect:** statistical and systematic uncertainties of experimental data
- Further contributions from $\delta\alpha_s$, assumptions on s and the charm mass, nuclear effects
- “Total uncertainties” from ZEUS QCD fit:

$$\tilde{\sigma} = (\text{kin. factor}) \times d^2\sigma/dxdQ^2$$



- Small (negligible) effects:
 - choice of the QCD scales μ_r and μ_f ;
 - higher-twist terms $\propto 1/Q^2$;
 - errors on electroweak parameters;

Parton Distributions at High x

Experimental information on PDFs at $x \gtrsim 0.5$:

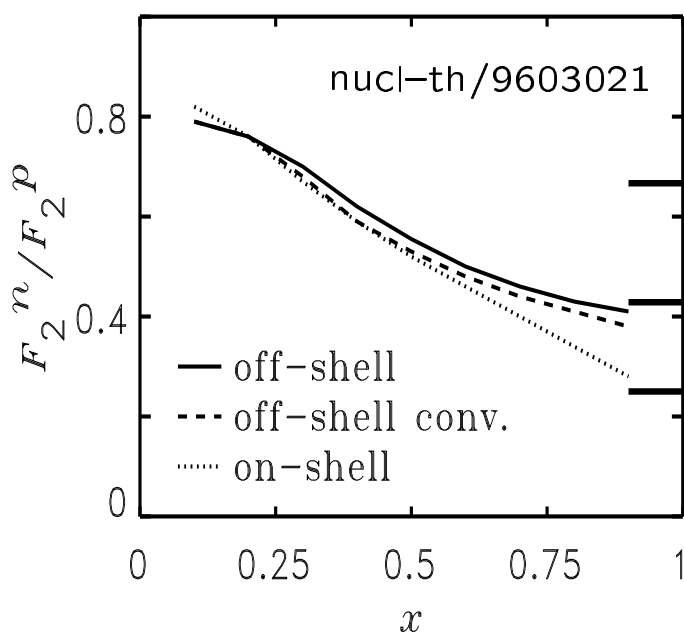
- CC DIS, ' $\bar{\nu}$ ' p : fixes u and d separately;
note: large exp. uncertainties
- NC DIS, proton target: fixes $4u + d$;
NC DIS, nuclei: fixes $u + d$
(assumption: $u^{(n)} = d^{(p)}$ and vice versa);
note: nuclear effects large at high x
- NC DIS, $F_2^{(p)} / F_2^{(n)}$
(comparison of proton and deuteron data):

$$R_F = \frac{\mathcal{F}_2^{(n)}}{\mathcal{F}_2^{(p)}} \xrightarrow{x \rightarrow 1} \frac{4d_v/u_v + 1}{4 + d_v/u_v}$$

precise measurements exist (NMC);
"classical" interpretation (in all standard PDFs):
data compatible with

$$R_F \rightarrow 0.25, \text{ i.e. } d_v/u_v \rightarrow 0 \text{ for } x \rightarrow 1;$$

Problem: including Fermi motion
and binding effects is non-trivial.



new analysis:

at large x , R_F may
be larger than assumed

$\Rightarrow$

$$d/u > 0 \text{ at } x \rightarrow 1$$

$\Rightarrow$

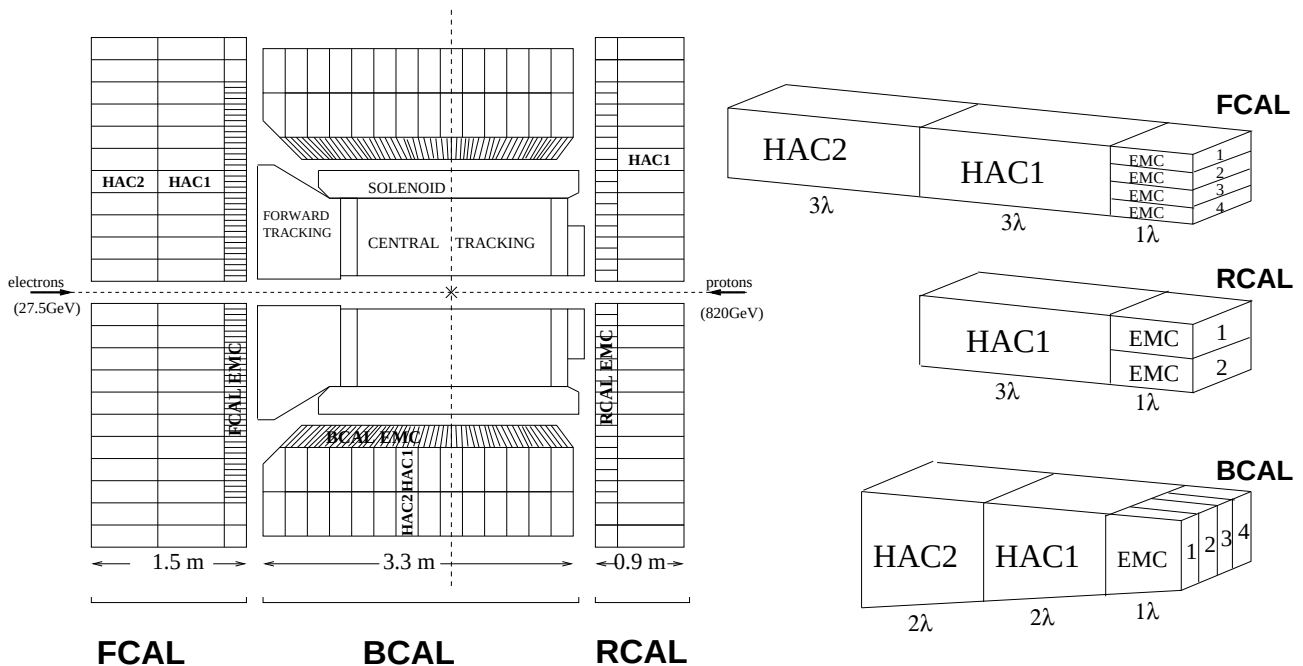
d and $\sigma_{CC}(e^+p)$
would increase by
 $\mathcal{O}(50\%)$ at $x = 0.5$

DIS Analyses at High Q^2

Overview:

- The crucial detector components
 - Calorimeter
 - Tracking chambers
 - Luminosity measurement
 - Trigger
- Monte Carlo simulation
- Analysis methods
 - Electron identification
 - Kinematic reconstruction
 - Energy calibration
 - Corrections
- Cross section measurement
 - Event selection
 - Some distributions
 - Determination of cross sections

The ZEUS Calorimeter



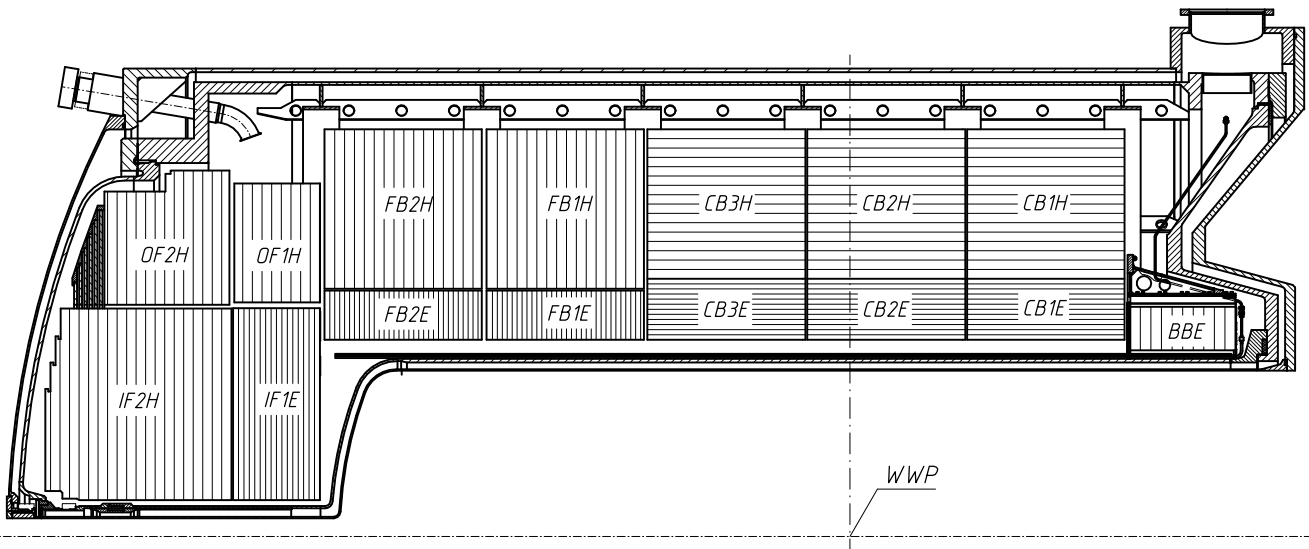
Design:

- **Sampling calorimeter**
Absorber: depleted uranium (layers of 3.3 mm)
Active: scintillator
- **Segmentation:**
“towers” of about $20 \times 20 \text{ cm}^2$, 2/3-fold longitudinal division (5918 cells)
- **Readout:** via wave-length shifting light guides to 2 PMs/cell
- **Acceptance:** 99.8% of 4π
- **No B -field**

Parameters:

- **Energy resolution:**
elm.: $\Delta E/E = 18\%/\sqrt{E}$
had.: $\Delta E/E = 35\%/\sqrt{E}$
(test beam conditions)
- **Time measurement:**
 $\Delta t/t \lesssim 1 \text{ ns}$ for energy deposits $\gtrsim 5 \text{ GeV}$
- **Compensation:**
 $e/h = 1 \pm 0.01$ ($p > 5 \text{ GeV}$)
- **Measurement of θ_e :**
 $\Delta\theta \approx 3 \text{ mrad}$

The H1 Calorimeter



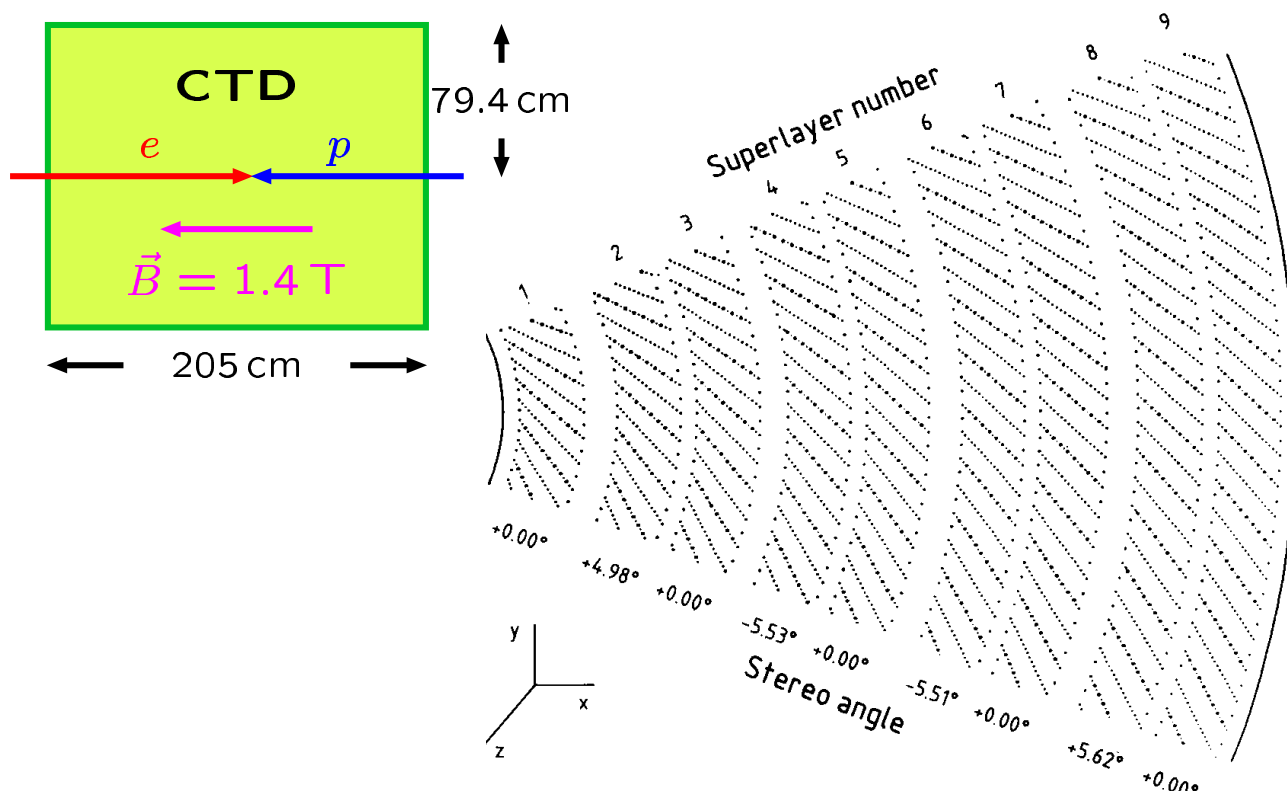
Design:

- Liquid-argon calorimeter
Absorber:
lead (electrom. part)
steel (had. part)
- Segmentation:
readout cells of ca.
50 – 2000 cm², (4-6)-fold
longitudinal division
(44352 cells)
- Readout:
Ionisation charge in Ar
produces signal at
HV electrodes
- Acceptance:
 $4^\circ \lesssim \theta \lesssim 154^\circ$;
complementing
calorimeters:
SPACAL (rear),
FPLUG (forward)
- $B = 1.15 \text{ T}$

Parameters:

- Energy resolution:
elm.: $\Delta E/E = 12\%/\sqrt{E}$
had.: $\Delta E/E = 50\%/\sqrt{E}$
(test beam conditions)
- Time measurement:
 $\Delta t/t = \mathcal{O}(100 \text{ ns})$
- No compensation:
 $e/h \approx 1.3$
- Measurement of θ_e :
 $\Delta\theta \approx 3 \text{ mrad}$

ZEUS: Central Drift Chamber



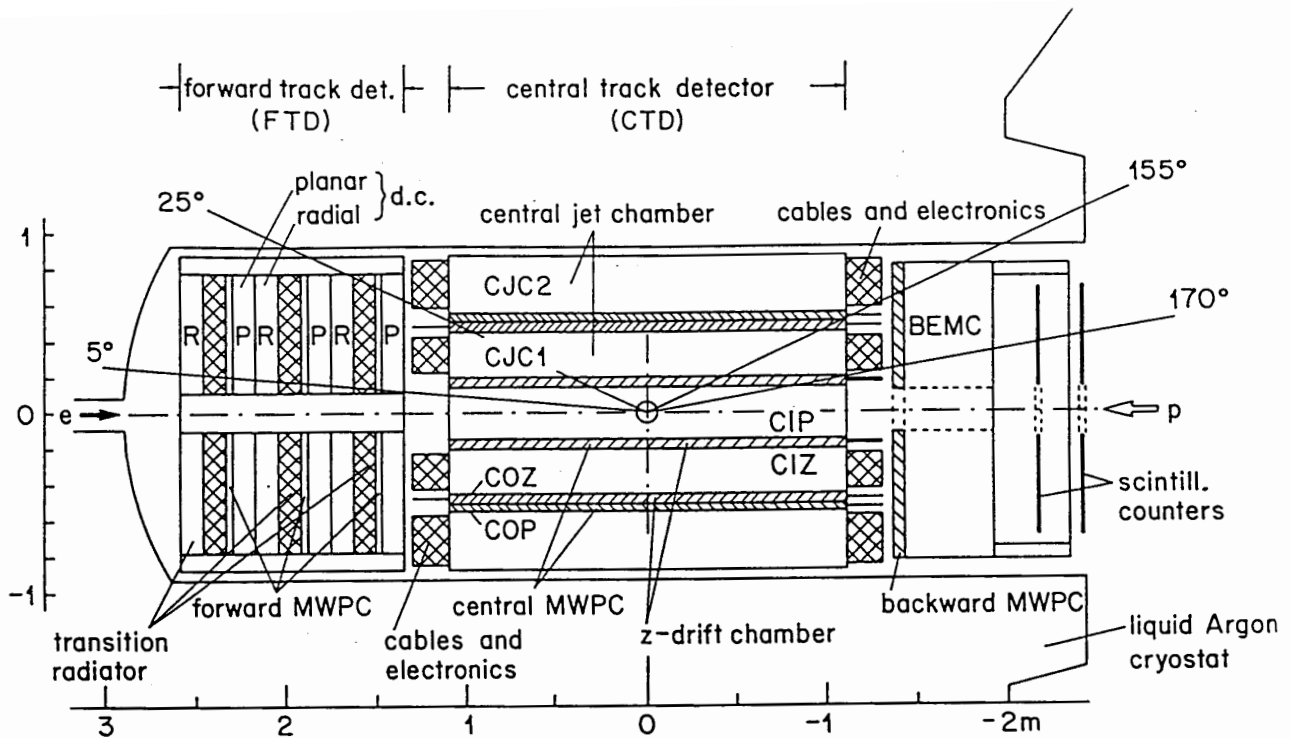
Design:

- Drift chamber with 9 "superlayers" (SL) with 8 layers of signal wires each. 4 SLs are "stereo layers"
- Lorentz angle $\approx 45^\circ$
- Acceptance:
 $15^\circ \lesssim \theta \lesssim 164^\circ$
(≥ 2 SLs)
- Resolution:
ca. $200 \mu\text{m}$ in $(r\phi)$,
ca. 1 mm in Z
(stereo layers)

Track reconstruction:

- Momentum resolution:
 $\Delta p_t/p_t = 0.0058 p_t \oplus 0.0065 \oplus 0.0014/p_t$
(for tracks in 9 SLs, p_t in GeV)
- Ionisation (dE/dx):
accuracy $\sim 8\%$
- Time measurement:
not used
- Comparison to CAL:
 $\Delta E/E \approx \Delta p_t/p_t$
for central e with 10 GeV

H1: Tracking Chambers



Design:

- **Central part (CTD):**
jet chambers
 (56 layers of signal wires in beam direction)
drift chambers
 (azimuthal wires for Z measurement)
proportional chambers
 (for trigger)
- **Forward part (FTD):**
 (drift chambers, MWPCs, radiators for transition radiation)
- **Acceptance:**
 $25^\circ \lesssim \theta \lesssim 155^\circ$ (CTD)
 $5^\circ \lesssim \theta \lesssim 25^\circ$ (FTD)
- **Resolution:**
 ca. $200\ \mu\text{m} \perp$ wires,
 ca. $3-4\ \text{cm} \parallel$ wires

Track reconstruction:

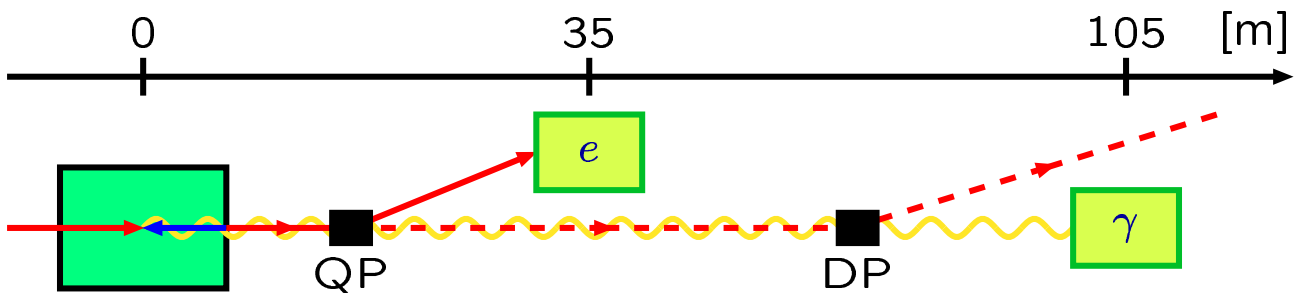
- **Momentum resolution:**
CTD: $\Delta p_t/p_t = 0.009 p_t(\text{GeV}) \oplus 0.015$
FTD: $\Delta p_t/p_t = \mathcal{O}(10\%)$
 for $p_t = 1\ \text{GeV}$
- **Ionisation (dE/dx):**
 accuracy $\sim 7\%$
- **Time measurement:**
 $\Delta t \approx 1.6\ \text{ns}$ (trigger)
- **Comparison to LAr:**
 $\Delta E/E \approx \Delta p_t/p_t$
 for central e with **6 GeV**

Luminosity Measurement

Measurement principle:

Reaction: $ep \rightarrow ep\gamma$ (Bethe–Heitler process);
cross section known to about 0.5%

Detection : dedicated external calorimeters
to detect e and/or γ
ZEUS: lead–scintillator calorimeter
H1: fully absorbing
Čerenkov crystal hodoscopes



Systematic Uncertainties:

Acceptance: requires precise knowledge of
beam position, beam line geometry
and detector response

Background: bremsstrahlung, synchrotron radiation;
subtraction using unpaired bunches

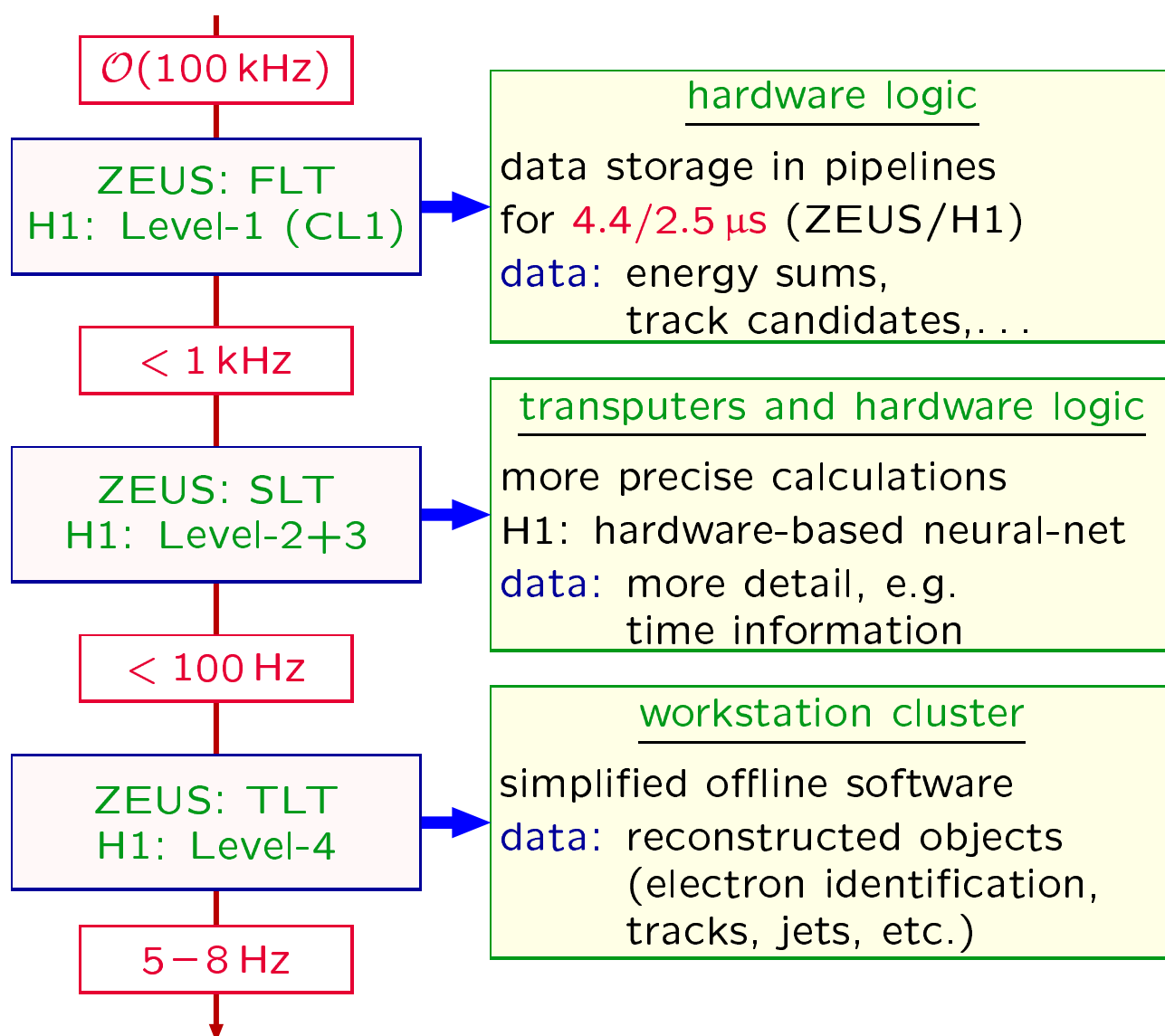
Pile-Up: multiple γ/e from one bunch
crossing, currently negligible

Satellites: beam fragments outside bunches,
contribute to luminosity signal but
not (not fully) to ep data

Overall Uncertainty: **ZEUS:** $\Delta\mathcal{L}/\mathcal{L} = 1.6\%$ (γ only)
H1: $\Delta\mathcal{L}/\mathcal{L} = 1.5\%$ (e and γ)

Trigger

Typical rates:	bunch collisions	10.4 MHz
	detector signals	$\mathcal{O}(100 \text{ kHz})$
	ep reactions	$\mathcal{O}(200 \text{ Hz})$
	NC DIS, $Q^2 > 1000 \text{ GeV}^2$	$\approx 0.03 \text{ Hz}$
	CC DIS, $Q^2 > 1000 \text{ GeV}^2$	$\approx 0.0003 \text{ Hz}$
	data recording	max. 10 Hz
Tasks:	$\Rightarrow$ background reduction	
	$\Rightarrow$ selection of particular ep reaction types	



Monte Carlo Simulation

Event generators

- Leptons and partons from “hard subprocess”:
 - corresponding to known or hypothesized cross sections
 - DIS radiative corrections included:
 γ radiation, electroweak 1-loop diagrams
(DJANGO/HERACLES)
- Parton cascade:
 - color dipole model (ARIADNE)
 - LEPTO/MEPS as systematic check
- Hadronisation:
 - Lund string model (JETSET)



Detector simulation

- Interaction of reaction products with detector
 - simulated by GEANT
 - takes into account shower development, ionisation, decays etc. (partly parametric)
 - not simulated by GEANT: signal production in active volumes, electronics, etc.
 - full simulation requires precise knowledge of detector geometry and response
- Trigger simulation



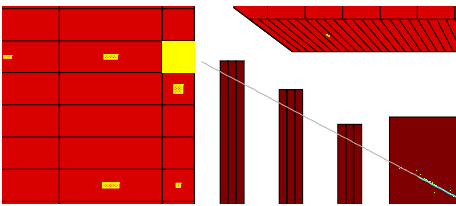
Reconstruction

- ... as for experimental data

Electron Identification

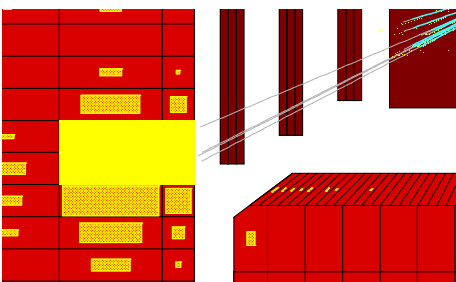
Task:

identification of the scattered electron
in NC DIS events



Signal:

- energy concentrated in electromagnetic calorimeter
- narrow shower
- matching track
- isolated



Background:

- jets:
hadronic energy,
extended showers,
several tracks
- photons:
like e , but no track

Implementation:

- Variables:** clustering of calorimeter cells;
hadr. energy fraction, shower topology,
track/calorimeter matching (E/p , angle),
isolation
- Algorithms:** cuts, software-based neural nets
- Problems:** e with low energy, candidates
beyond tracking acceptance
- Result:** efficiency and remaining background
depend on E' range
- high Q^2 : efficiency $\gtrsim 90-95\%$,
almost background-free

Kinematic Reconstruction (NC)

4 independent quantities to determine
2 independent variables:

$$\begin{aligned}
 E' &= \text{energy of scattered electron} \\
 \theta_e &= \text{angle of scattered electron} \\
 (P_t)_{\text{had}} &= \text{hadronic transverse momentum} \\
 \cos \gamma_{\text{had}} &= \frac{(P_t)_{\text{had}}^2 - (E - P_z)_{\text{had}}^2}{(P_t)_{\text{had}}^2 + (E - P_z)_{\text{had}}^2} \\
 &= \cos(\text{hadronic angle})
 \end{aligned}$$

$(P_t)_{\text{had}}$ and $(E - P_z)_{\text{had}}$ are calculated from vertex position and vectorial sums over all calorimeter clusters not belonging to electron.

⇒ several reconstruction algorithms !

1

Use both angles: θ_e and γ_{had}
(double-angle method, DA)

- + independent of calorimeter energy scale
- susceptible to radiative effects
(ISR = γ radiation from incoming e)
- used by ZEUS

2

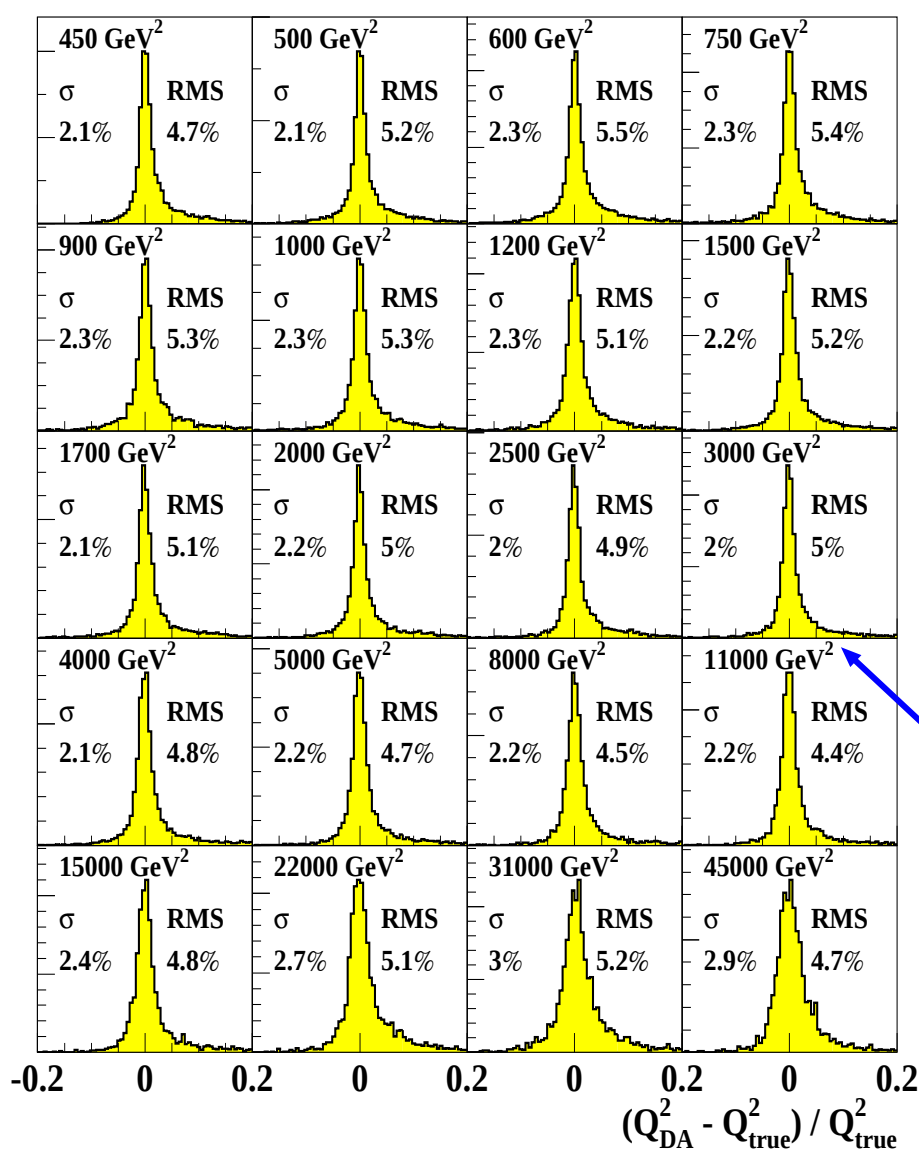
Use angle and energies: θ_e , E' , $\Sigma = (E - p_z)_{\text{had}}$
($e\Sigma$ method)

- + stable in entire kinematic region
- sensitive to calorimeter energy scale
- used by H1

Kinem. Reconstruction (NC) [2]

Resolutions:

- ZEUS, $Q^2 \gtrsim 400 \text{ GeV}^2$:
 $\Delta Q_{\text{DA}}^2 / Q_{\text{DA}}^2 \approx 5\%$
 $\Delta y_{\text{DA}} / y_{\text{DA}} \approx 5\%$
 $\Delta x_{\text{DA}} / x_{\text{DA}} \approx 9-12\%$
- H1: similar values,
depend on uncertainty of
calorimeter energy scale



ZEUS:
 Q^2 resolution
as a function
of Q^2
(MC study)

ISR effect

Kinematic Reconstruction (CC)

We only have the hadronic quantities

$$(P_t)_{\text{had}} \text{ and } (E - P_z)_{\text{had}}$$

③

Jacquet–Blondel method (JB)

$$y_{\text{JB}} = \frac{(E - P_z)_{\text{had}}}{2E_e}$$

$$Q_{\text{JB}}^2 = \frac{(P_t)_{\text{had}}^2}{1 - y_{\text{JB}}}$$

$$x_{\text{JB}} = \frac{Q_{\text{JB}}^2}{y_{\text{JB}} s}$$

- sensitive to calorimeter energy scale
- instable at small y ($E - P_z$ small) and at large y (denominator of Q_{JB}^2)
- sensitive to ISR
- + only possibility for CC analyses

Resolutions:

- ZEUS, $P_t > 50 \text{ GeV}$ and $y < 0.9$:

$$\Delta Q_{\text{JB}}^2 / Q_{\text{JB}}^2 \approx 8 - 20\% \oplus \frac{\delta_{\text{sca}} E_{\text{had}}}{1 - y}$$

$$\Delta y_{\text{JB}} / y_{\text{JB}} \approx 8 - 11\% \oplus \delta_{\text{sca}} E_{\text{had}}$$

$$\Delta x_{\text{JB}} / x_{\text{JB}} \approx 20\% \oplus \delta_{\text{sca}} E_{\text{had}} \frac{2 - y}{1 - y}$$

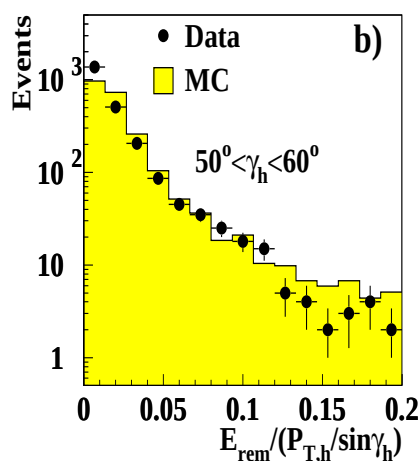
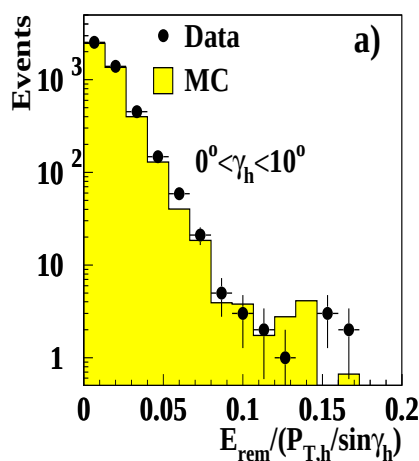
($\delta_{\text{sca}} E_{\text{had}}$ = relative uncertainty of hadronic energy scale)

- H1: similar values

Correction of Detector Effects

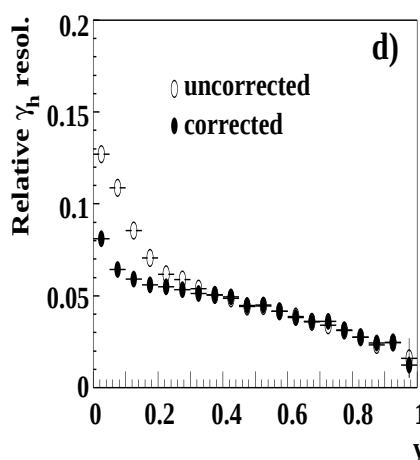
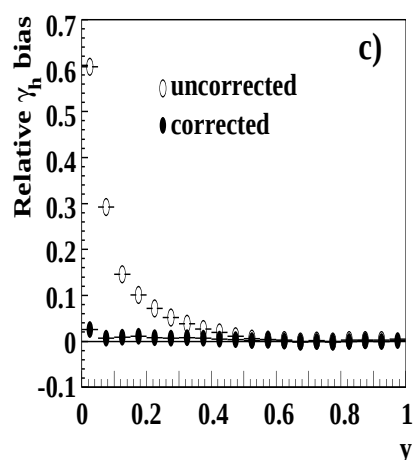
Dominant effects:

- Absorption and secondary interactions in inactive material
- Distortion of energy flow by back-scattering (albedo) and shower development
- Calorimeter inhomogeneities
- . . .



Example (ZEUS)

fraction of calorimeter energy identified as albedo and neglected



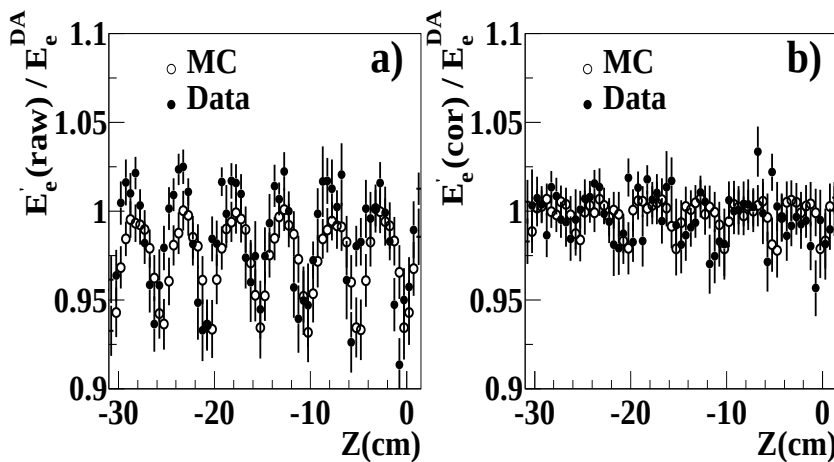
Example (ZEUS)

left:
rel. systematic offset of γ_{had}
right:
resolution of y
before/after clustering and albedo correction

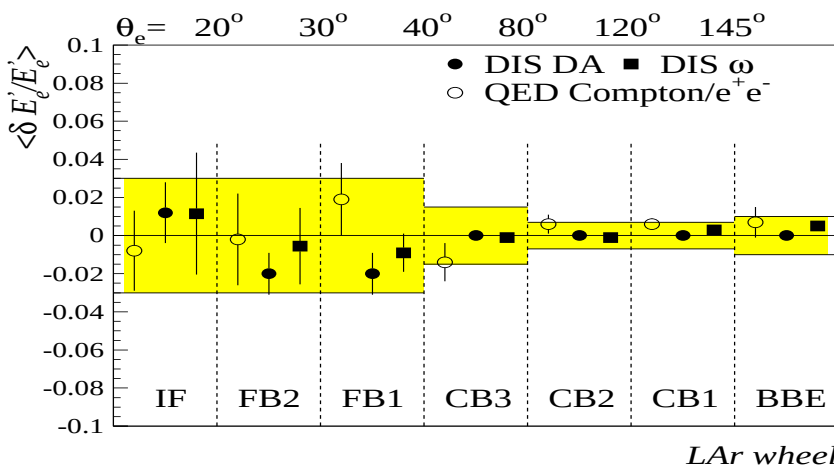
Energy Calibration

Calibration methods:

- Test beam measurements
- Online:
charge injection, uranium noise (ZEUS)
⇒ time stability, homogeneity
- With ep data:
 - elm.: track/calorimeter comparisons
comparison of E' measured in calorimeter and calculated by DA method
 - had.: P_t balance in NC events
track/calorimeter comparisons (isolated hadrons)



ZEUS:
 $\langle E(\text{CAL})/E_{\text{DA}} \rangle$
 as a function
 of the Z position
 before/after
 corrections
 $\delta_{\text{sca}} E_e < 2\%$



H1:
 $\langle \delta E_e/E_e \rangle$
 as a function
 of θ_e after all
 corrections
 $\delta_{\text{sca}} E_e = 0.7 - 3\%$

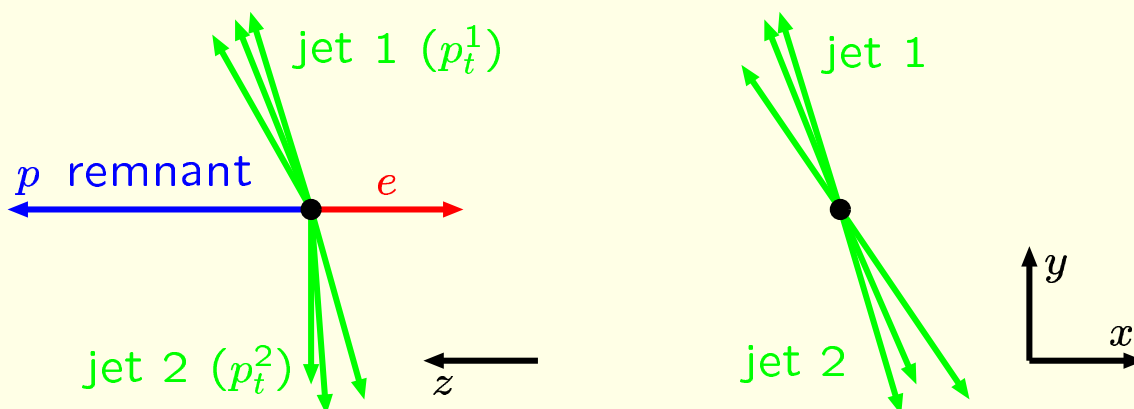
Backgrounds

Non- ep background

- **Origin:**
 - beam–gas and beam–beampipe interactions
 - cosmic particles and showers
 - halo muons
 - detector effects (spontaneous discharges etc.)
- **Properties:**
 - type and rate depend on beam conditions
 - not contained in MC simulation

ep background

- **Photoproduction ($ep \rightarrow e + \text{jets}$):**
 - reactions with two or more jets, scattered e in rear beampipe



- Danger: misidentification of jet as e ($\rightarrow$ NC) or energy mismeasurement ($P_t \approx |p_t^2 - p_t^1| \rightarrow$ CC)
- **Prompt photons ($ep \rightarrow e\gamma + \text{jets}$):**
 - γ can fake an e (beyond tracking acceptance, conversion)
- **Lepton pair production ($ep \rightarrow e\ell^+\ell^- X$)**
- **W production ($ep \rightarrow eW X$)**

Event Selection

NC selection:

- cuts against non- ep backgrounds (timing, event topology, muon identification)
- reconstructed event vertex (tracking)
further reduces non- ep background
provides proper kinematic reconstruction
- scattered electron
identified, energy above threshold ($\mathcal{O}(10 \text{ GeV})$)
- longitudinal containment:

Fully detected reaction:

$$\delta = E - P_z = E'(1 - \cos \theta_e) + (E - P_z)_{\text{had}} \\ \stackrel{!}{=} 2E_e = 55 \text{ GeV} .$$

proton remnant: small contribution to δ

cut $\delta > (E - P_z)_{\text{min}}$ reduces:

- photoproduction background
- events with hard ISR

CC selection:

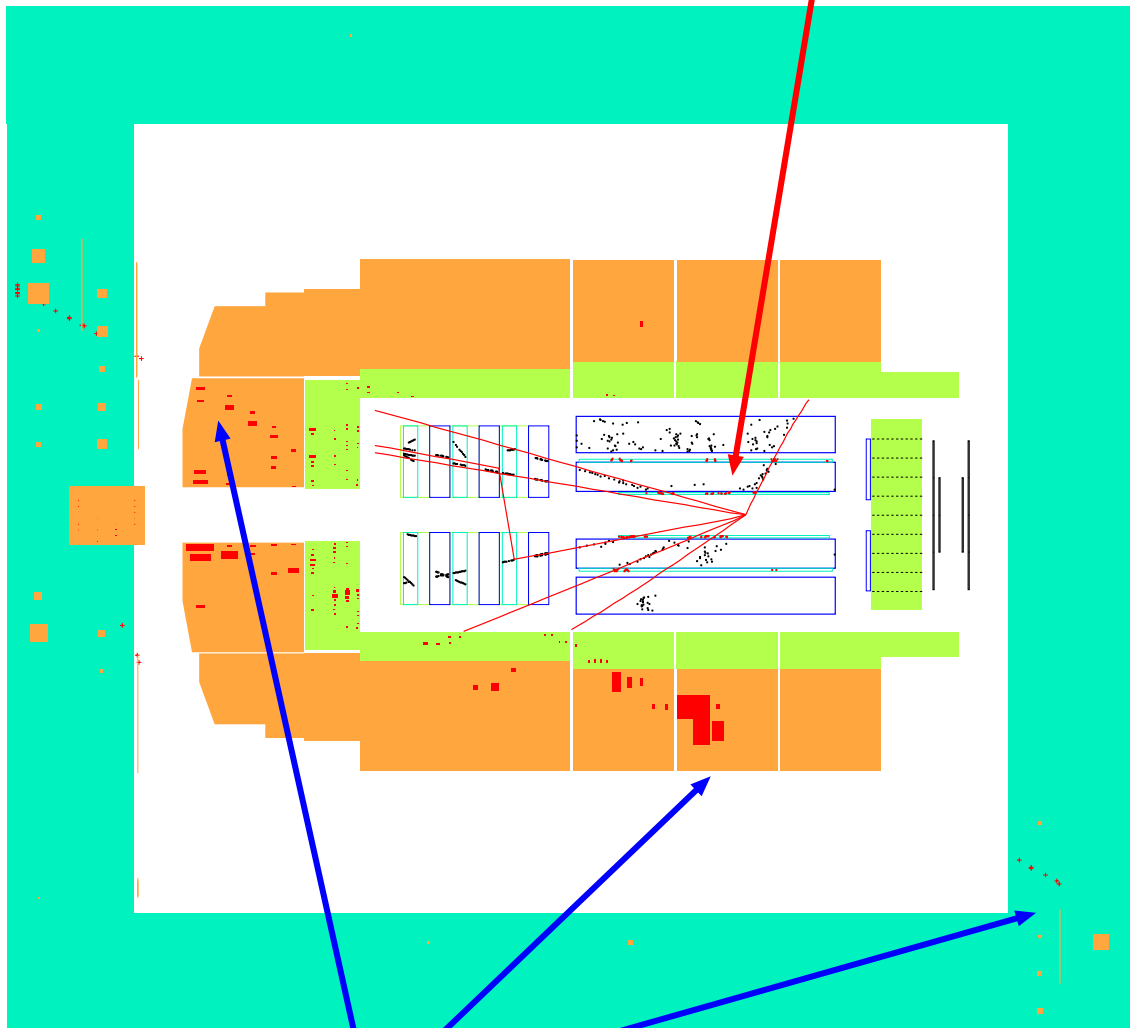
- cuts against non- ep backgrounds
- reconstructed event vertex
very effective against non- ep background
ZEUS also uses vertex estimate from CAL timing
- missing P_t
above threshold (typically 12 GeV)
- topology cuts
exclude e.g. events with two back-to-back jets
- visual inspection

A Background Event

Overlay of
PHP event and
cosmic muon
produces CC
candidate

photoproduction
event (small E_t)

yields vertex

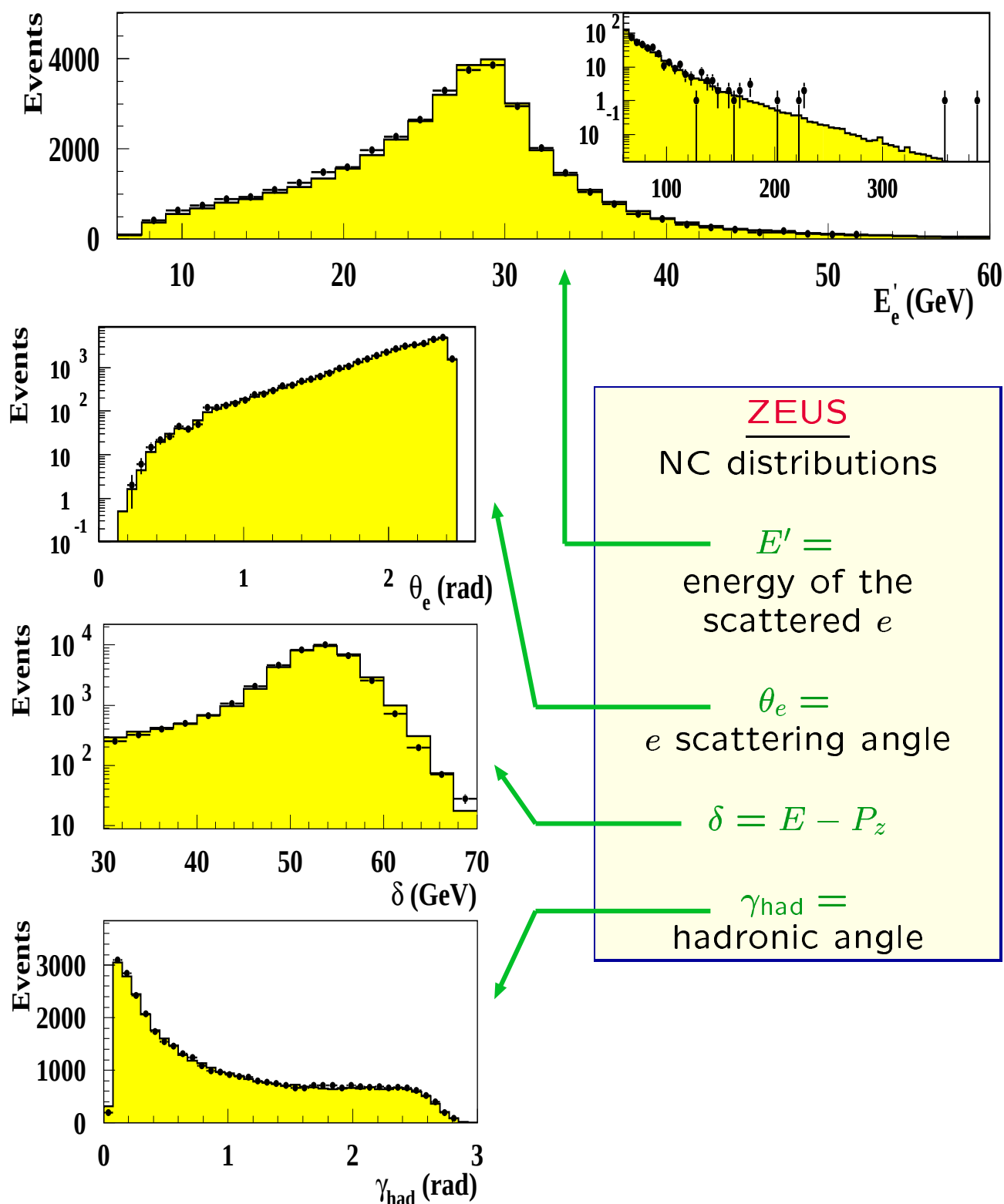


cosmic muon with
associated calorimeter shower

yields P_t

Characteristic Distributions (NC)

Distributions of some NC event variables (ZEUS)
full dots: data; histograms: MC simulation



Measurement of Cross Sections

Ingredients:

ΔK	kinematic region, e.g. interval in x and/or Q^2
N^{obs}	number of events in ΔK
N^{bkg}	estimated number of background events in ΔK
$\mathcal{L}$	integrated luminosity
$N_{\text{MC}}^{\text{obs}}$	number of MC events in ΔK
$\mathcal{L}_{\text{MC}}$	MC luminosity
σ_{MC}	cross section ΔK used in MC simulation (including all radiative corrections)
σ_{SM}	“Born cross section”, calculated using the same PDFs and the same order of pQCD as for σ_{MC}

Measured cross section

$$\sigma_{\text{meas}} = \frac{(N^{\text{obs}} - N^{\text{bkg}})/\mathcal{L}}{N_{\text{MC}}^{\text{obs}}/\mathcal{L}_{\text{MC}}} \sigma_{\text{MC}}$$

Includes acceptance and migration corrections;
iteration needed if resulting cross section and MC simulation don't agree.

Radiatively corrected cross section

$$\sigma_{\text{Born}} = \sigma_{\text{meas}} \frac{\sigma_{\text{SM}}}{\sigma_{\text{MC}}}$$

All ZEUS and H1 cross sections are radiatively corrected by this or an equivalent method.

HERA Measurements at High Q^2

Overview:

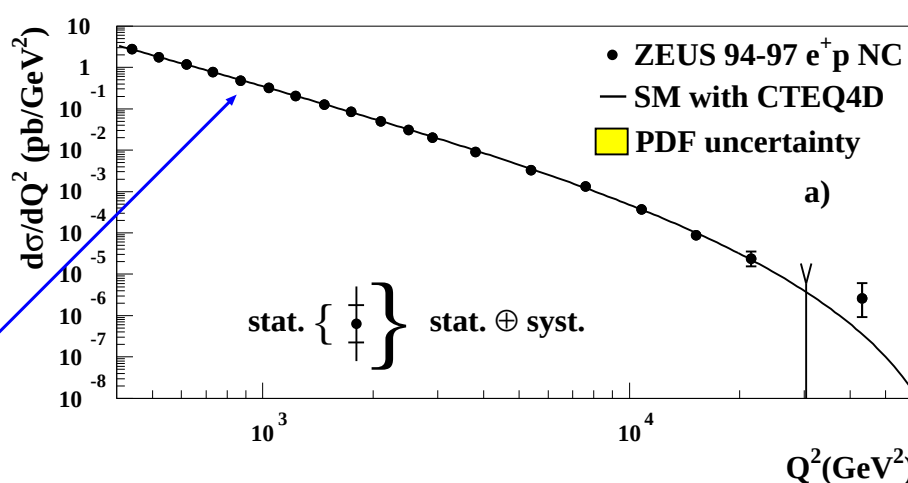
- NC and CC cross sections
 - $d\sigma/dQ^2$, $d\sigma/dx$
 - Reduced and doubly-differential cross sections
- Structure functions and PDFs
 - F_2 and xF_3
 - Valence distributions at high x
- Elektroweak aspects
 - Helicity structure of CC scattering
 - W mass and Fermi constant

$d\sigma^{NC}/dQ^2 \ (e^+p)$

$$\frac{d\sigma}{dQ^2} = \int_{x_{\min}}^{x_{\max}} \frac{d^2\sigma}{dx dQ^2} dx$$

$$x_{\min} = Q^2/(s y_{\max}) \text{ and } x_{\max} = \min[1, Q^2/(s y_{\min})]$$

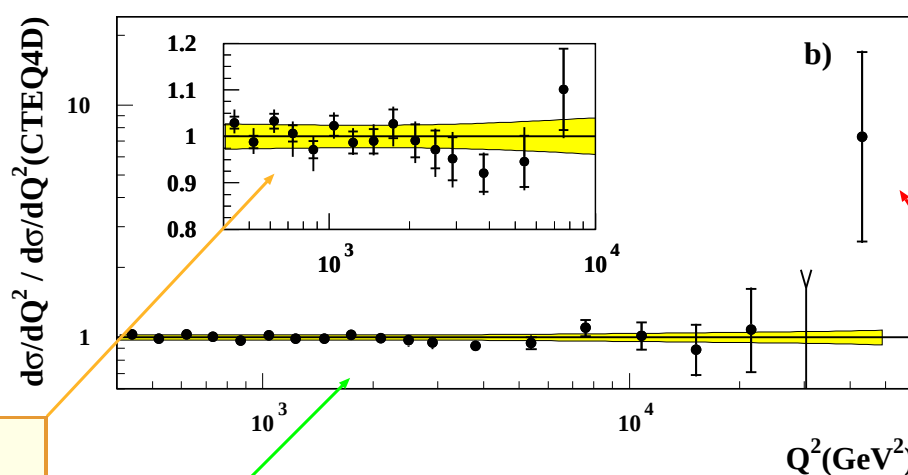
ZEUS NC 1994 – 97



$$Q^2 \ll M_Z^2:$$

$$d^2\sigma/dx dQ^2$$

$$\propto 1/Q^4$$



yellow band =
PDF uncertainty:
 $\sim 3-7\%$,
increases with Q^2

good
agreement
with SM prediction
for $Q^2 \lesssim 2 \times 10^4 \text{ GeV}^2$

event excess
at highest Q^2 ?
("HERA high- Q^2
excess")

$d\sigma^{NC}/dx (e^+p)$

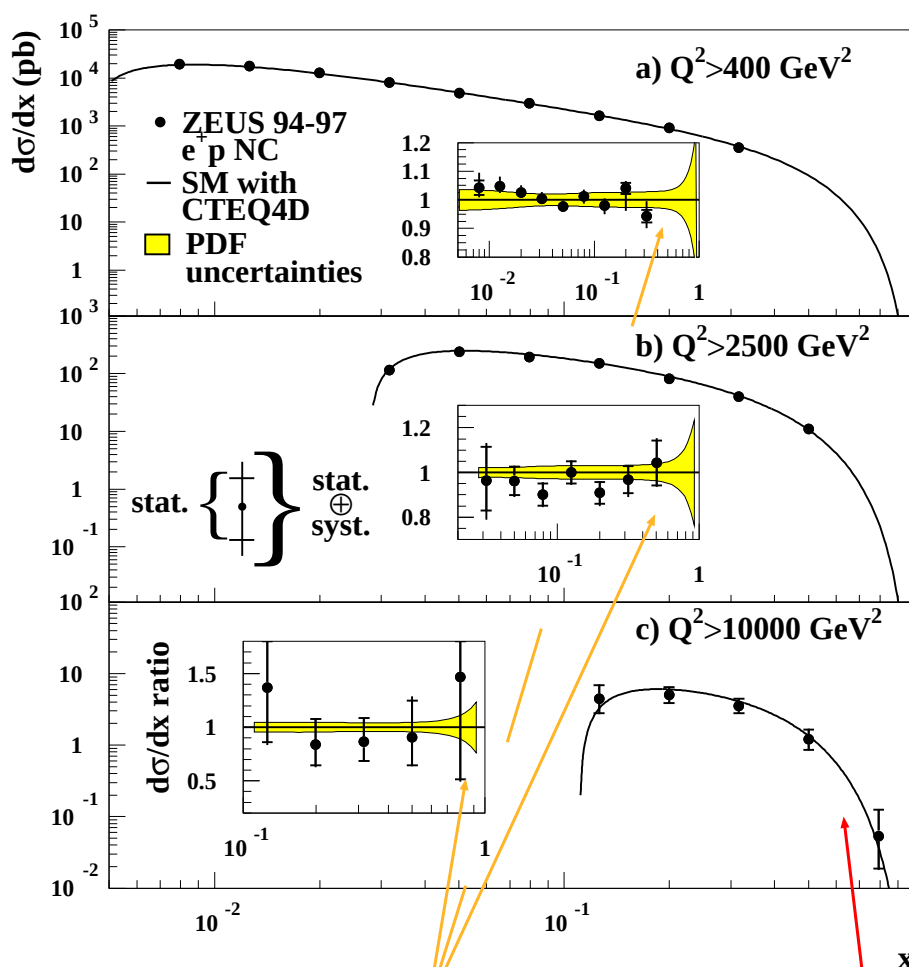
$$\frac{d\sigma}{dx} = \int_{Q_{\min}^2}^{Q_{\max}^2} \frac{d^2\sigma}{dx dQ^2} dQ^2$$

$$Q_{\min}^2 = x y_{\min} s$$

and

$$Q_{\max}^2 = x s$$

ZEUS NC 1994 – 97



Good agreement of exp. data and SM prediction confirms PDF parm's

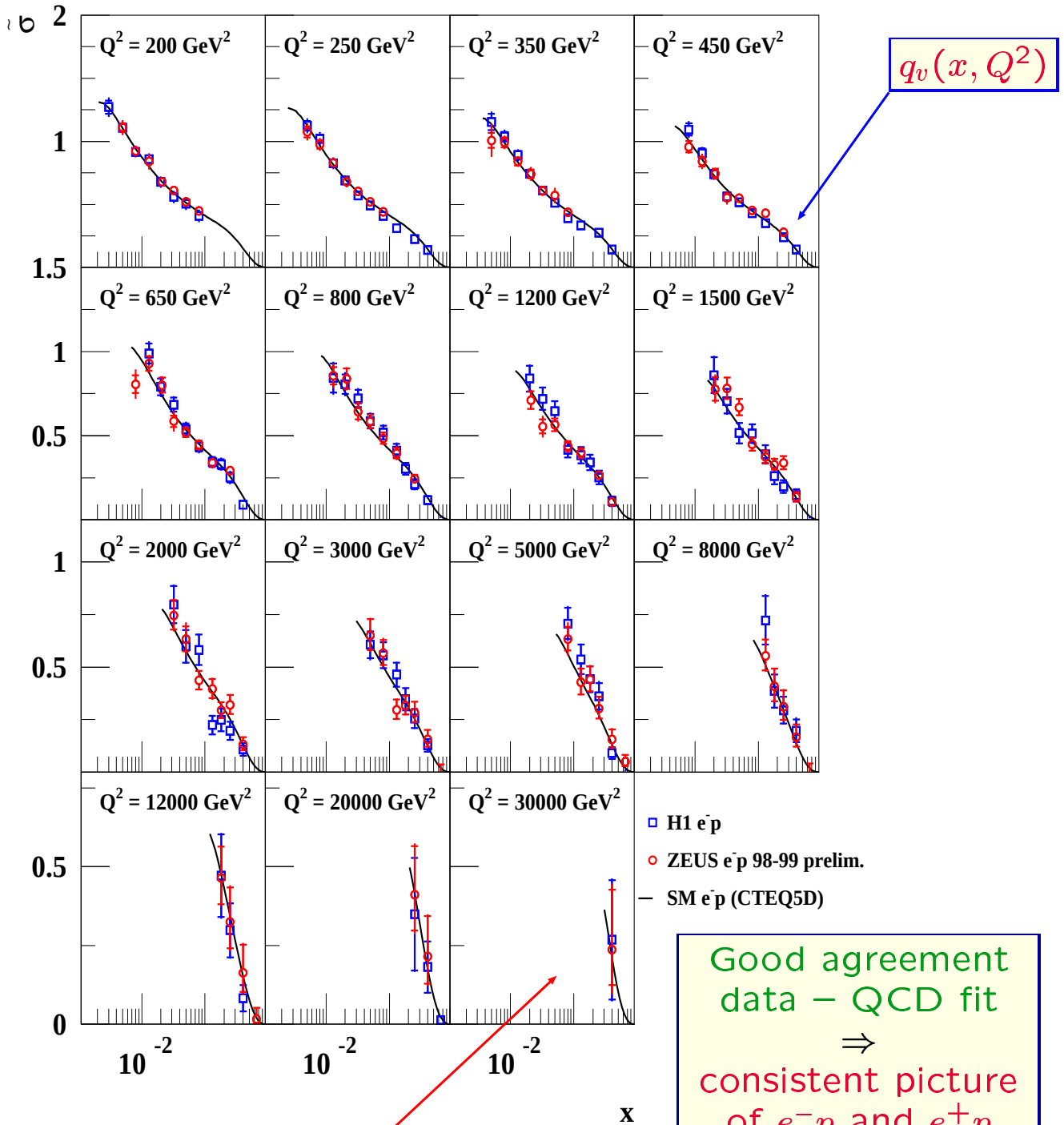
large PDF uncertainty at high x

high- Q^2 excess not visible in $d\sigma/dx$ (integration over $Q^2 > 10^4 \text{ GeV}^2$)

$\tilde{\sigma}^{NC} (e^-p)$

$$\tilde{\sigma}_{NC} = \frac{xQ^4}{2\pi\alpha^2[1+(1-y)^2]} \frac{d^2\sigma^{ep \rightarrow eX}}{dx dQ^2}$$

HERA Neutral Current



no high- Q^2 excess in e^-p

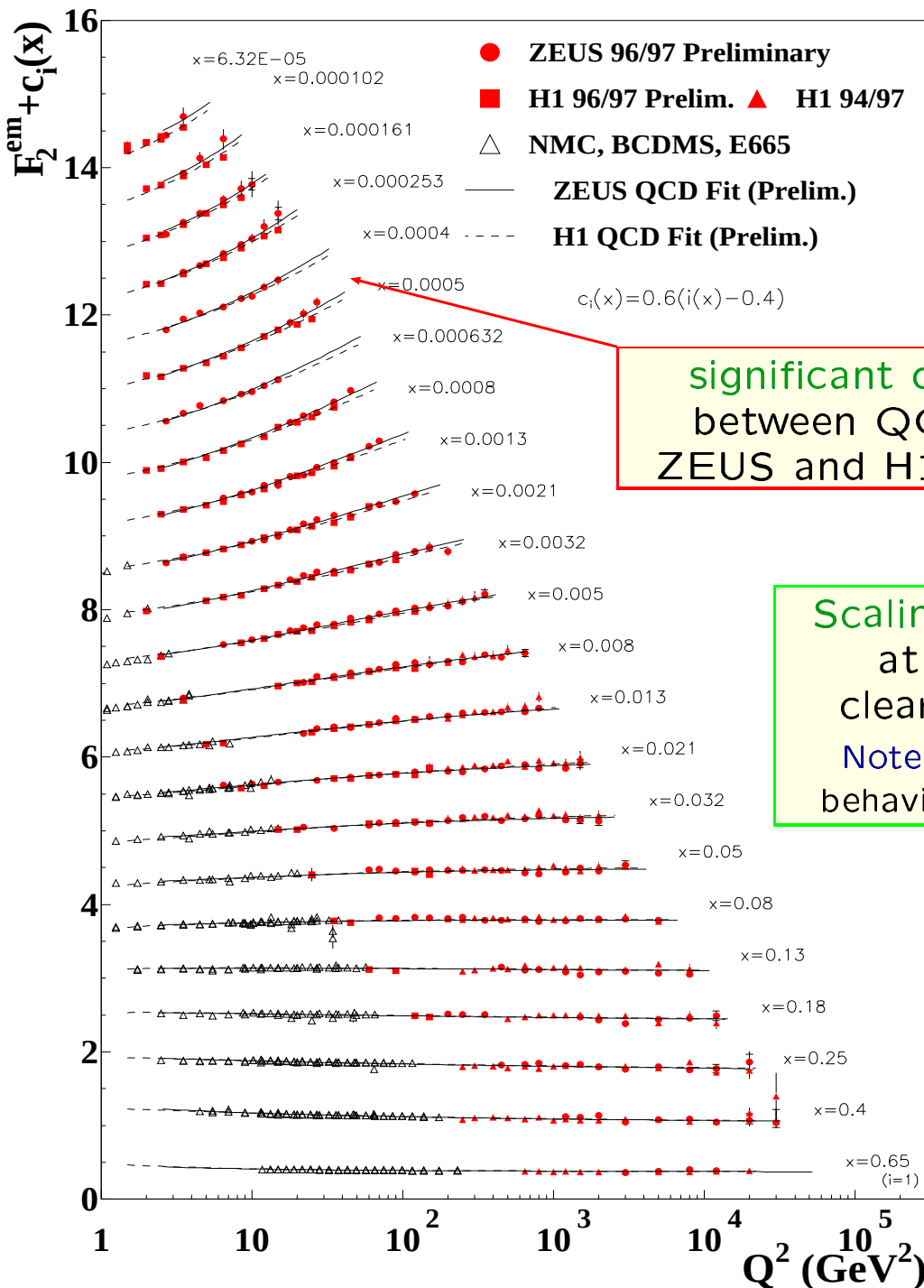
Good agreement
data – QCD fit
 $\Rightarrow$
consistent picture
of e^-p and e^+p
reactions

F_2^{em}

$$\tilde{\sigma}_{\text{NC}} = F_2^{\text{em}} (1 + \delta_Z) (1 - \delta_3 - \delta_L) (1 + \delta_r)$$

δ_Z = correction for Z contribution to F_2
 $\delta_{3,L}$ = corrections for xF_3 and F_L terms
 δ_r = radiative corrections

} from SM calculation

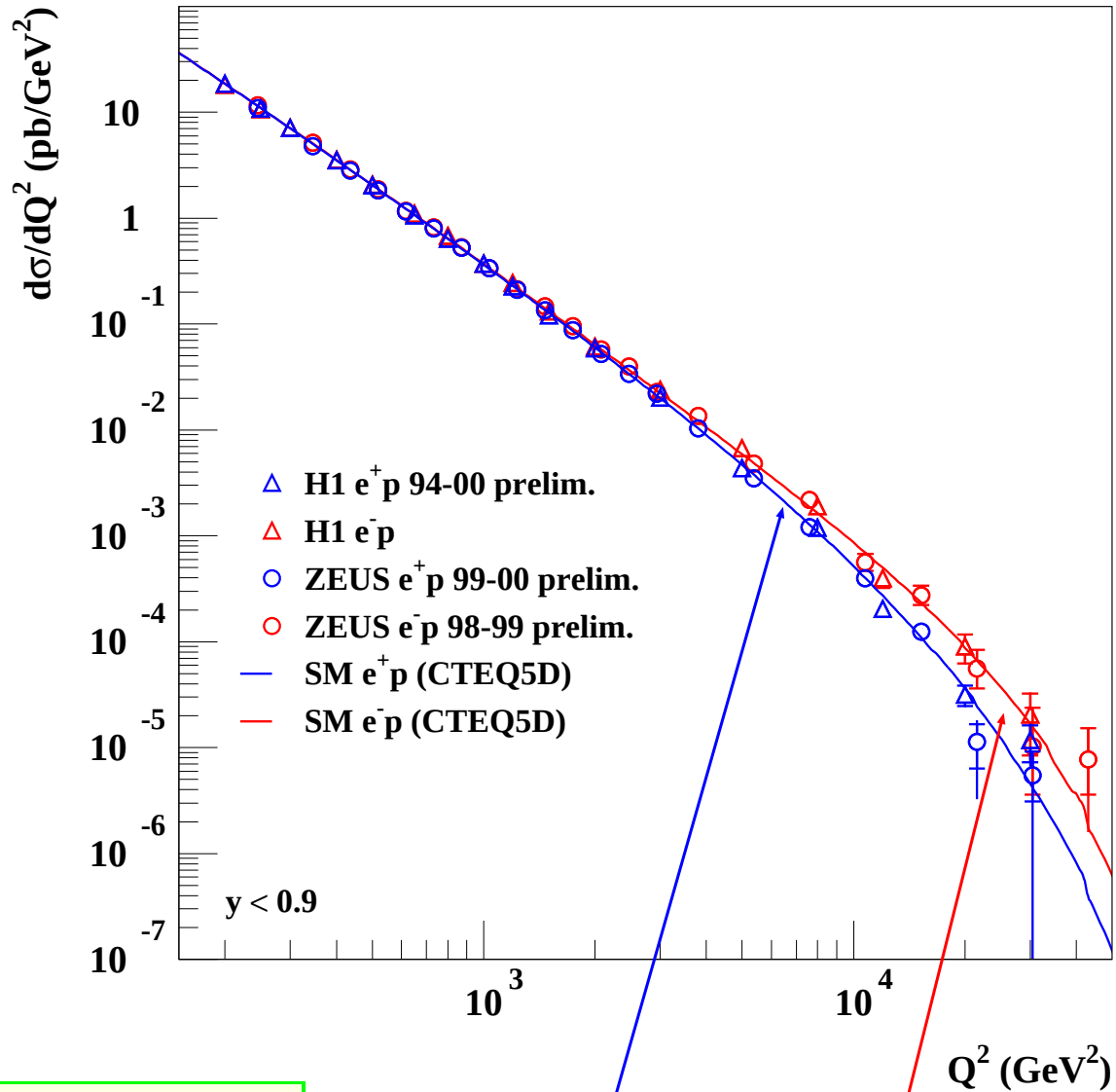


significant differences between QCD fits by ZEUS and H1 at small x

Scaling violation at small x clearly visible.
 Note: plot hides behavior at large x

$d\sigma^{NC}/dQ^2$ (Comparison e^+p/e^-p)

HERA Neutral Current

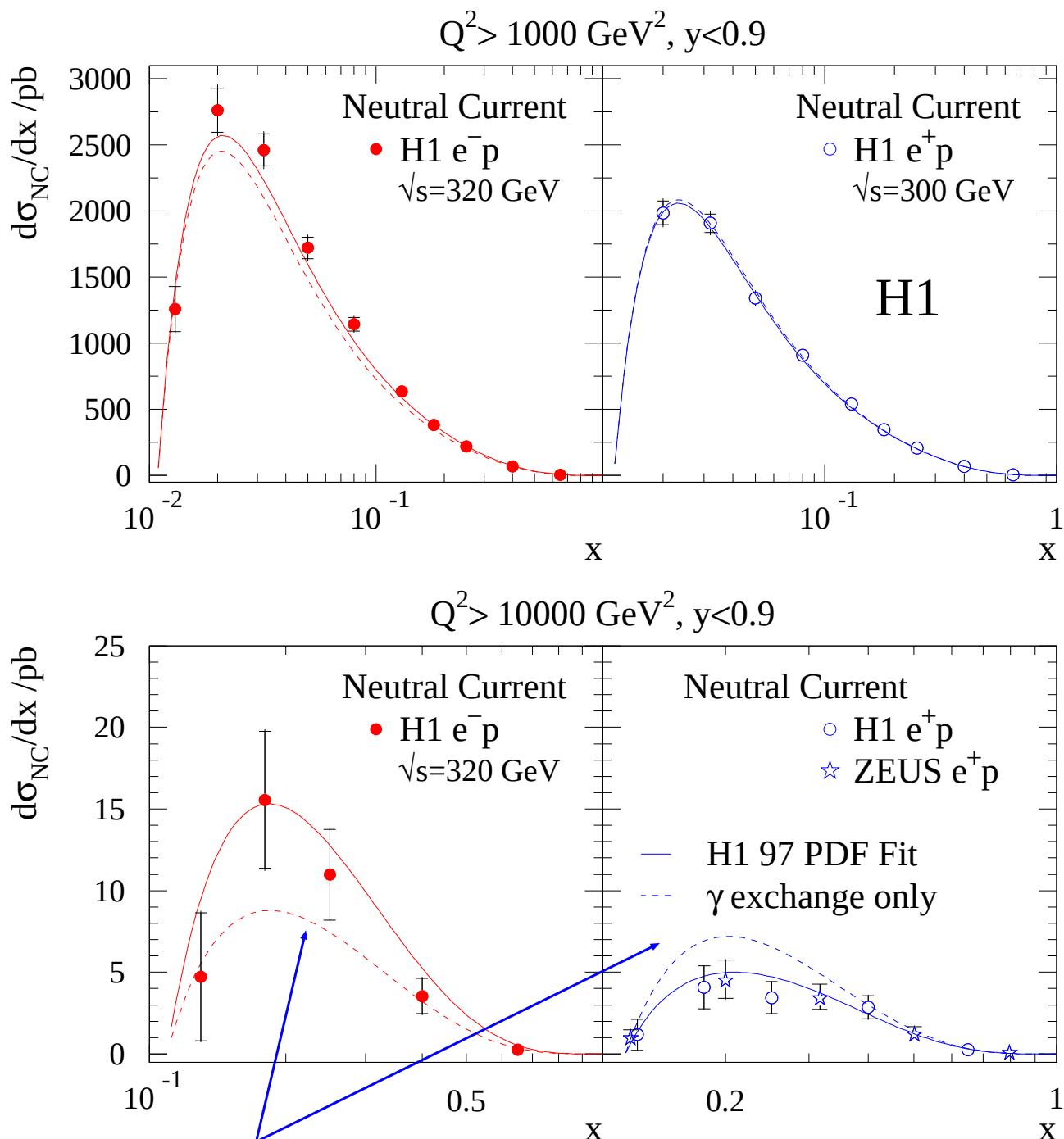


Good agreement between ZEUS and H1 in the whole Q^2 range

e^+p data are corrected to $\sqrt{s} = 318$ GeV

difference $d\sigma/dQ^2(e^-p) - d\sigma/dQ^2(e^+p)$ caused by $x F_3$ term (Z exchange)

$d\sigma^{NC}/dx$ (e^+p and e^-p) for $Q^2 > 1000 \text{ GeV}^2$ and $Q^2 > 10\,000 \text{ GeV}^2$

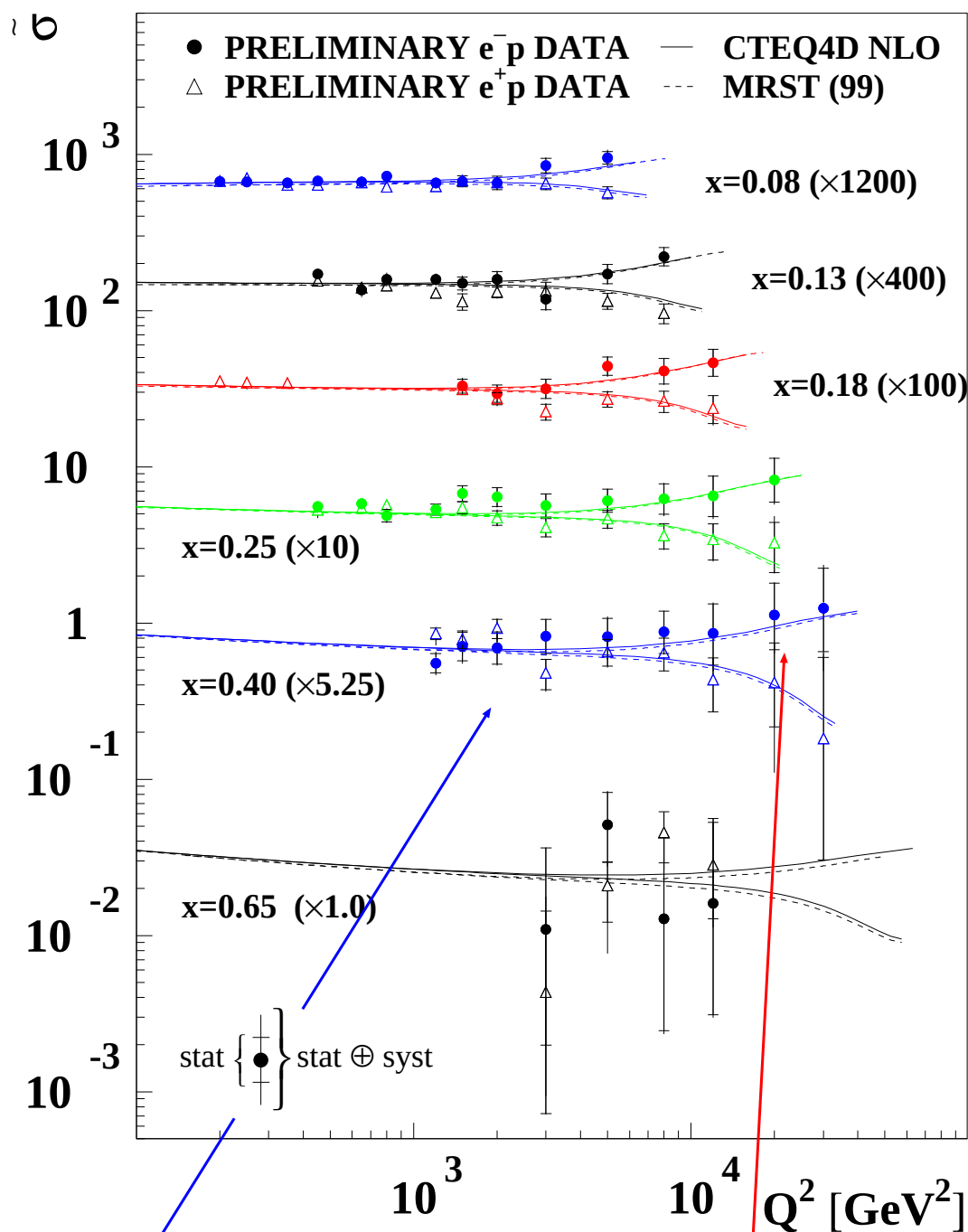


dashed lines:
 “SM” expectation for
 γ exchange only
 ($M_Z \rightarrow \infty$);
 $\sigma^\gamma(e^-p) > \sigma^\gamma(e^+p)$ because of s

Data are sensitive
 to contribution from
 Z exchange

$\tilde{\sigma}^{NC}$ (Comparison e^+p/e^-p)

ZEUS NC 1996-99



small $Q^2 \ll M_Z^2$:
 $\tilde{\sigma}^{NC}(e^+p) \approx \tilde{\sigma}^{NC}(e^-p)$,
 independent of x

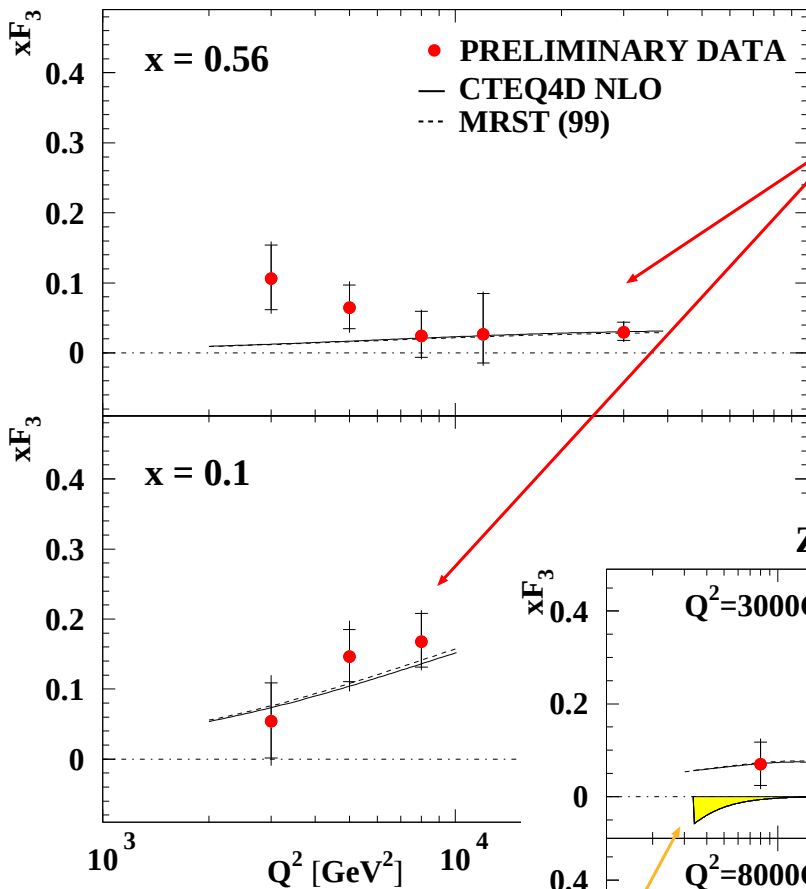
large $Q^2 \gtrsim M_Z^2$:
 significant $x F_3$ terms;
 precision sufficient for
 measurement of
 $\tilde{\sigma}^{NC}(e^+p) - \tilde{\sigma}^{NC}(e^-p)$

$xF_3(x, Q^2)$ (ZEUS)

$$xF_3(x, Q^2) = \left(\frac{Y_-^{300}}{Y_+^{300}} - \frac{Y_-^{318}}{Y_+^{318}} \right)^{-1} \left(\tilde{\sigma}_{\text{NC}}^{e^-p, 318} - \tilde{\sigma}_{\text{NC}}^{e^+p, 300} \right) - \Delta F_L$$

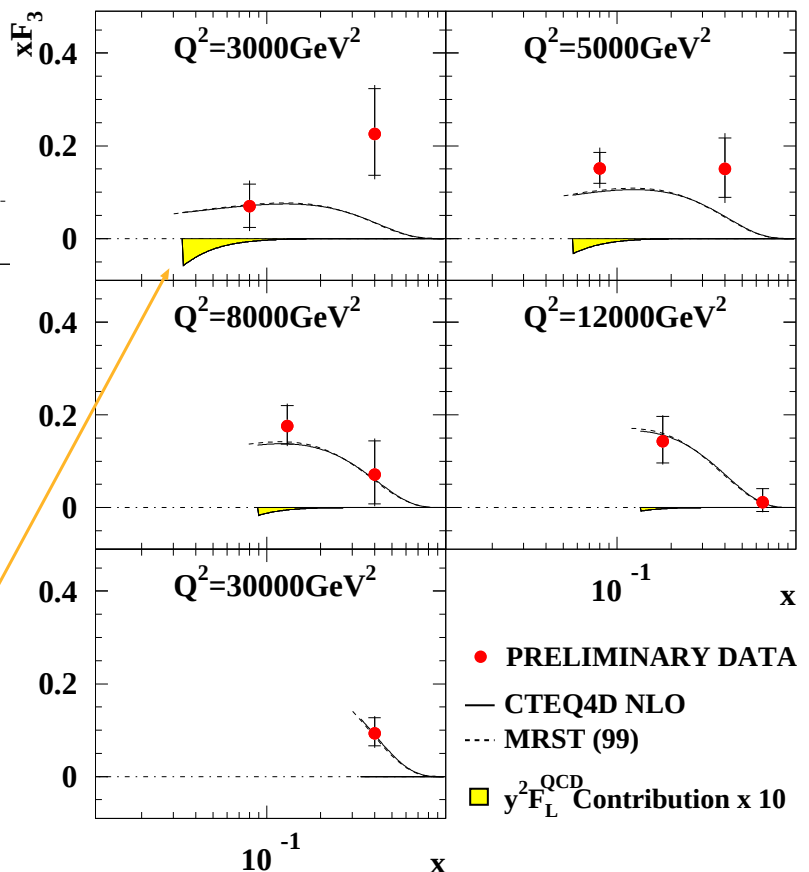
$$Y_{\pm}^{\sqrt{s} [\text{GeV}]} = 1 \pm (1 - y)^2 \text{ with } y = Q^2/xs$$

ZEUS NC 1996–99



Q^2 dependence:
dominated by
propagator term
 $\propto Q^2/(Q^2 + M_Z^2)$;
in addition
sensitive to
singlet QCD
evolution

ZEUS NC 1996–99

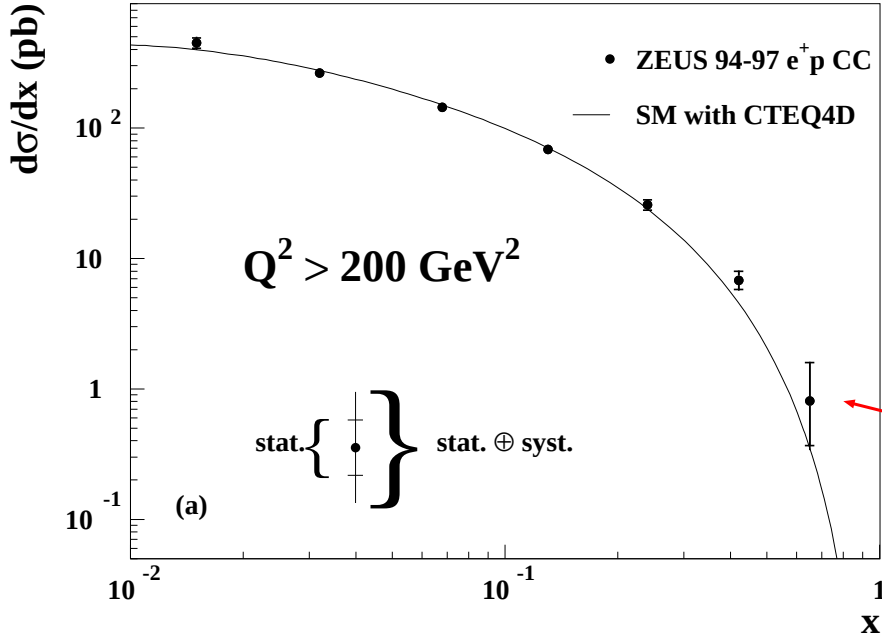


x dependence:
sensitive to
valence quark
distributions

F_L correction
currently negligible

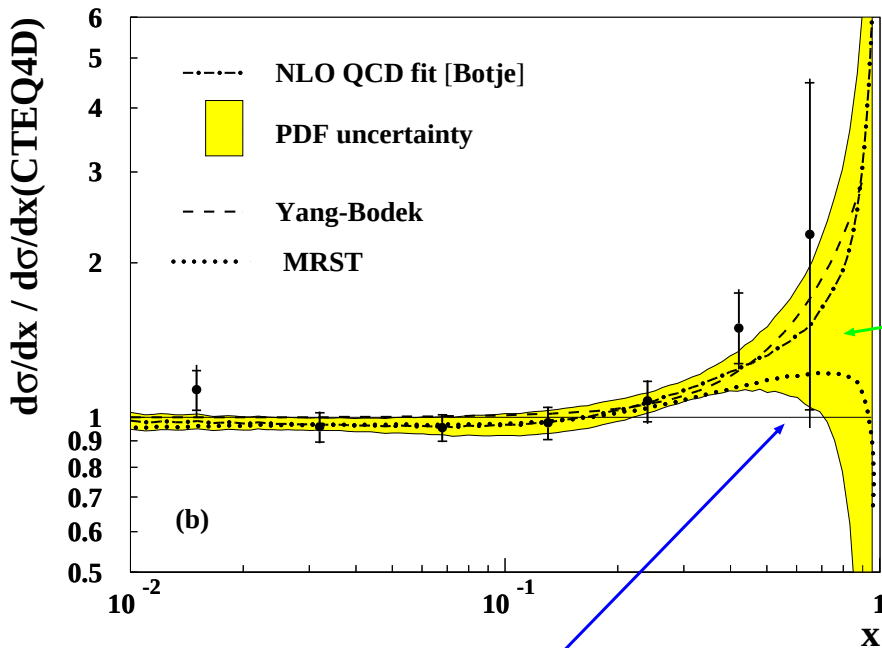
$d\sigma^{CC}/dx \ (e^+p)$

ZEUS CC 1994-97



e^+p :
 $d\sigma/dx$
at high x
dominated by
 $d_v(x, Q^2)$

“high- x
excess”:
moderately
significant
event
excess
at $x \gtrsim 0.3$



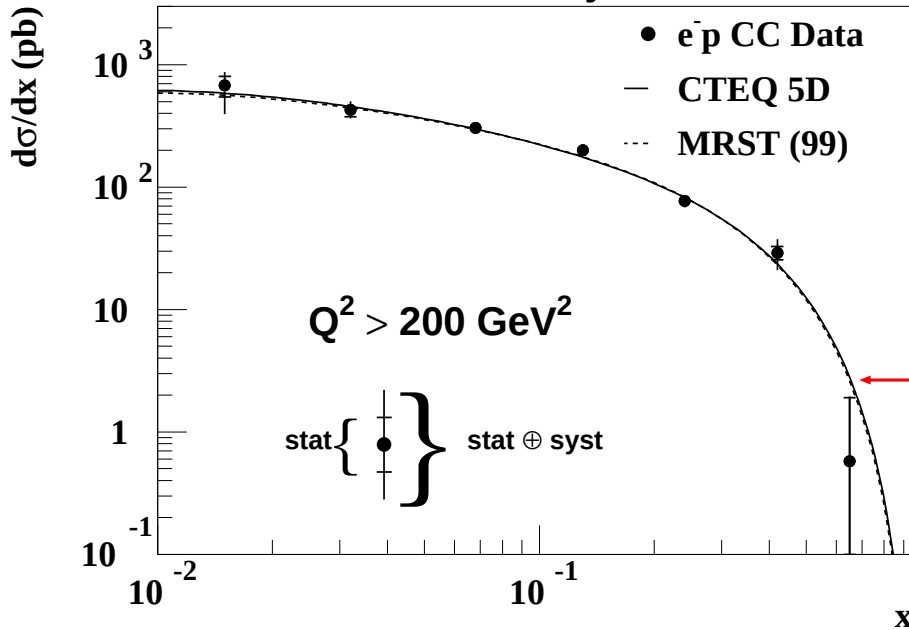
PDF
uncertainty
“diverges”
at high x

QCD fits yield different
behavior of d/u at $x \rightarrow 1$:

ZEUS	$d/u \propto (1-x)^{-c} \rightarrow \infty$
Bodek–Yang	$d/u \rightarrow 0.2$ (boundary condition)
MRST	$d/u \propto (1-x)^{+c'} \rightarrow 0$

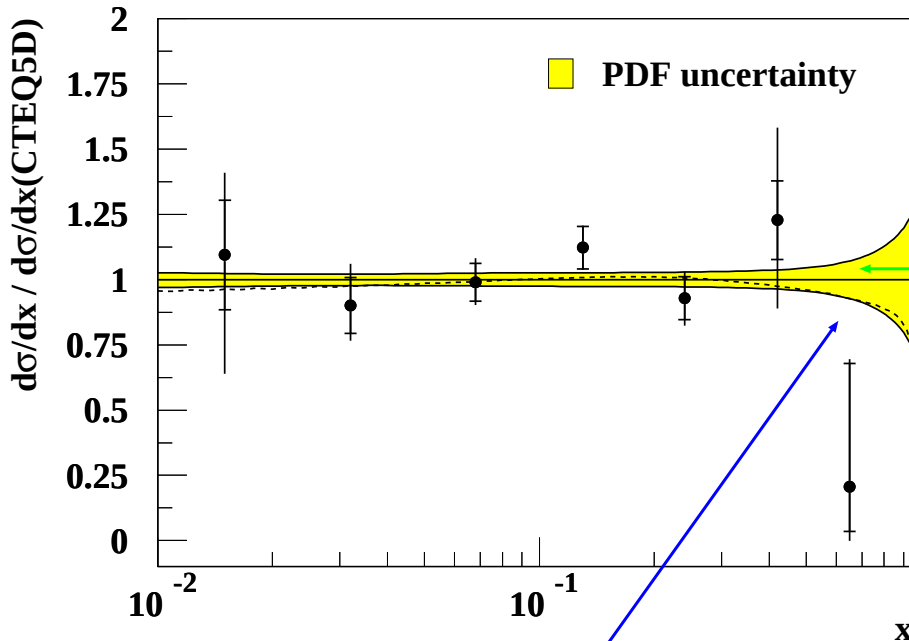
$d\sigma^{CC}/dx (e^-p)$

ZEUS Preliminary 1998-99



e^-p :
 $d\sigma/dx$
at high x
dominated
by $u_v(x, Q^2)$

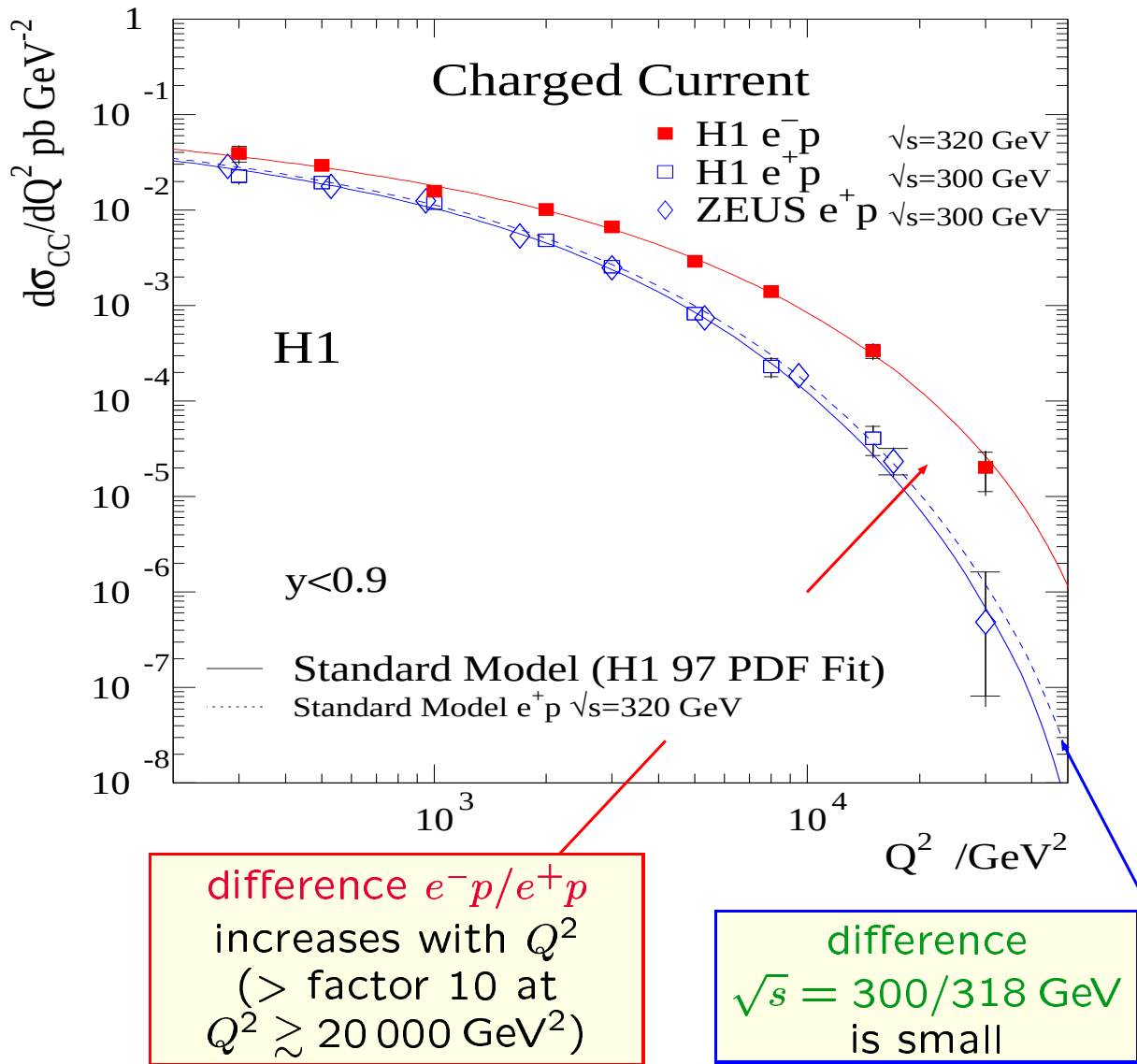
no “high- x
excess”:
possibly
small
negative
deviation
at $x \gtrsim 0.3$



PDF
uncertainty
is stable
at high x
[at small Q^2 ,
 $u(x, Q^2)$ is
known more
precisely than
 $d(x, Q^2)$]

moderate variations of
 u distribution in different
QCD fits

$d\sigma^{CC}/dQ^2$ (Comparison e^+p/e^-p)



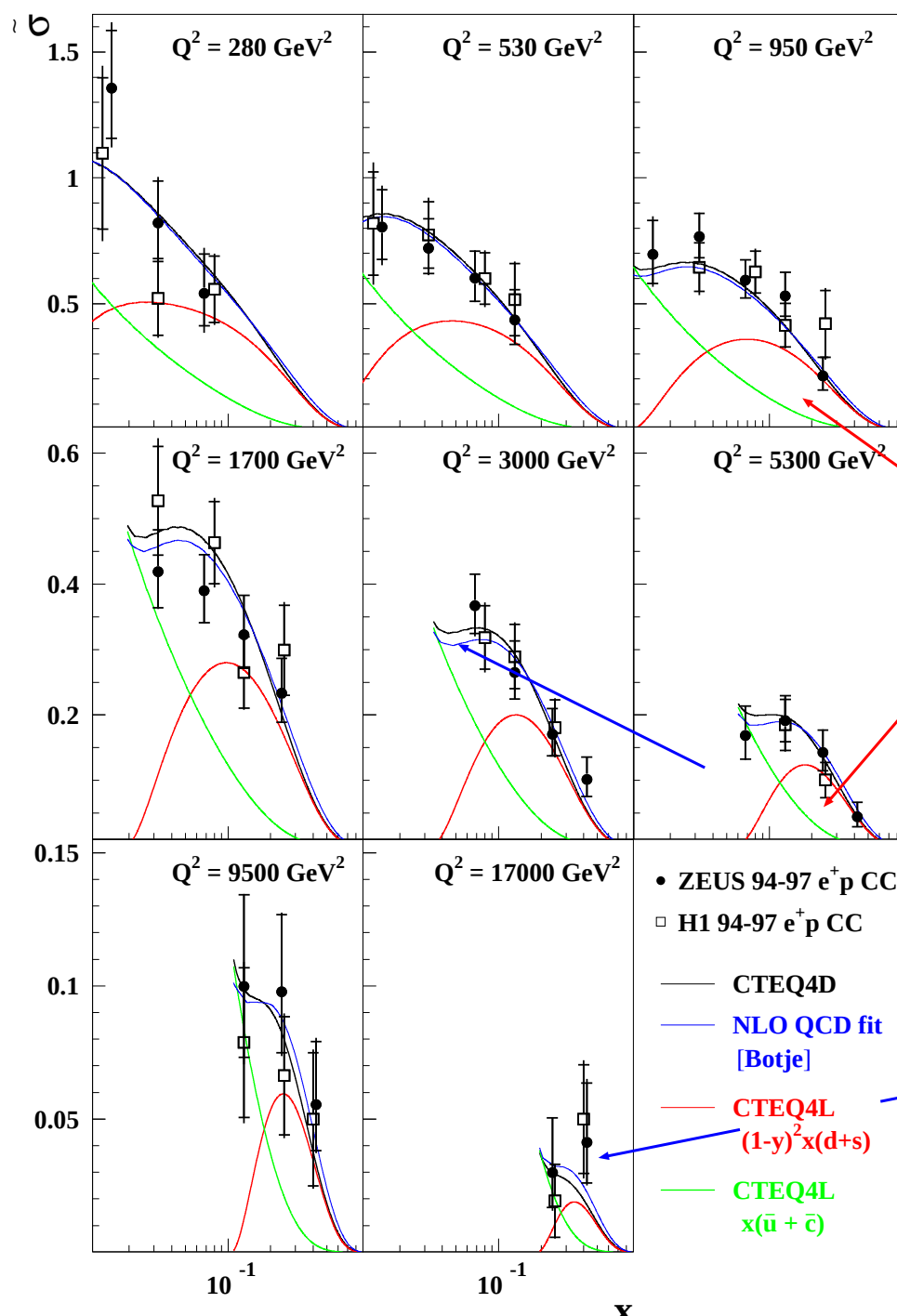
Q^2 dependence of $d\sigma/dQ^2$:

- PDF values in integration region
 $x \in [x_{\min} = Q^2/s; 1]$
(x dependence of PDFs is dominant,
but Q^2 dependence also contributes)
- “Helicity factor”: $\frac{1}{(1-y)^2}$ for $e^-q, e^+\bar{q}$
 $\frac{1}{(1-y)^2}$ for $e^-\bar{q}, e^+q$
 $y = Q^2/xs$, integration region: $y > Q^2/s$
- W propagator term $\propto [M_W^2/(Q^2 + M_W^2)]^2$

$\tilde{\sigma}^{CC} (e^+p)$

$$\tilde{\sigma}_{CC} = \frac{2\pi x}{G_F^2} \left(\frac{Q^2 + M_W^2}{M_W^2} \right)^2 \frac{d^2\sigma_{CC}}{dx dQ^2}$$

$$= \begin{cases} (1-y)^2(d+s) + (\bar{u} + \bar{c}) & \text{for } e^+p \\ (u+c) + (1-y)^2(\bar{d} + \bar{s}) & \text{for } e^-p \end{cases}$$



Good agreement
ZEUS/H1

for $x \gtrsim 0.2$:
 $(1-y)^2(d+s)$
dominates

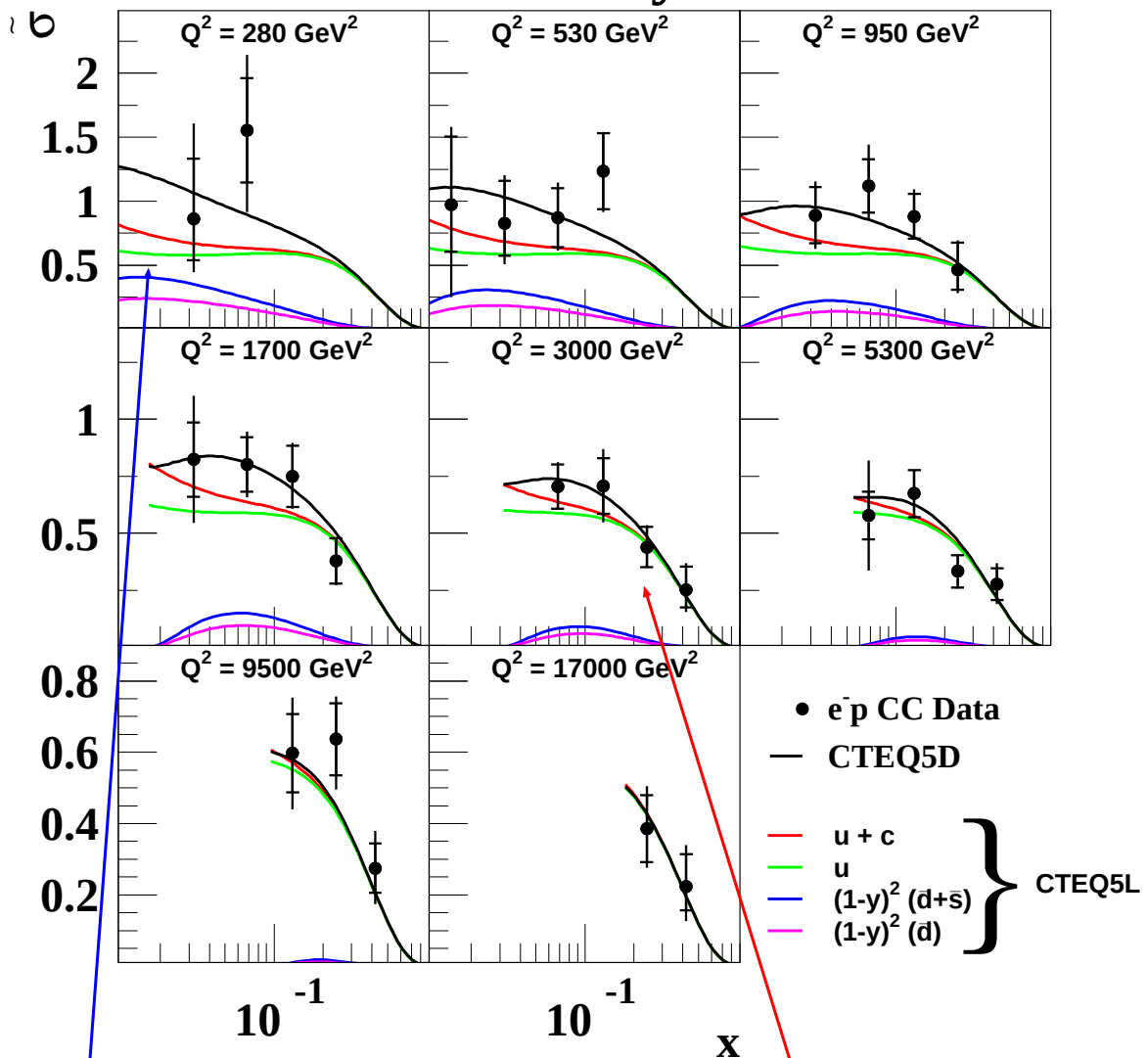
visible differences
ZEUS fit/
CTEQ4

$\tilde{\sigma}^{CC} (e^- p)$

$$\tilde{\sigma}_{CC} = \frac{2\pi x}{G_F^2} \left(\frac{Q^2 + M_W^2}{M_W^2} \right)^2 \frac{d^2\sigma_{CC}}{dx dQ^2}$$

$$= \begin{cases} (1-y)^2(d+s) + (\bar{u} + \bar{c}) & \text{for } e^+p \\ (u+c) + (1-y)^2(\bar{d} + \bar{s}) & \text{for } e^-p \end{cases}$$

ZEUS Preliminary 1998-99



for $x \lesssim 0.1$:
 considerable
 contributions
 from c and $\bar{s}$

for $x \gtrsim 0.2$:
 u dominates
 (no $(1-y)^2$ -
 suppression)

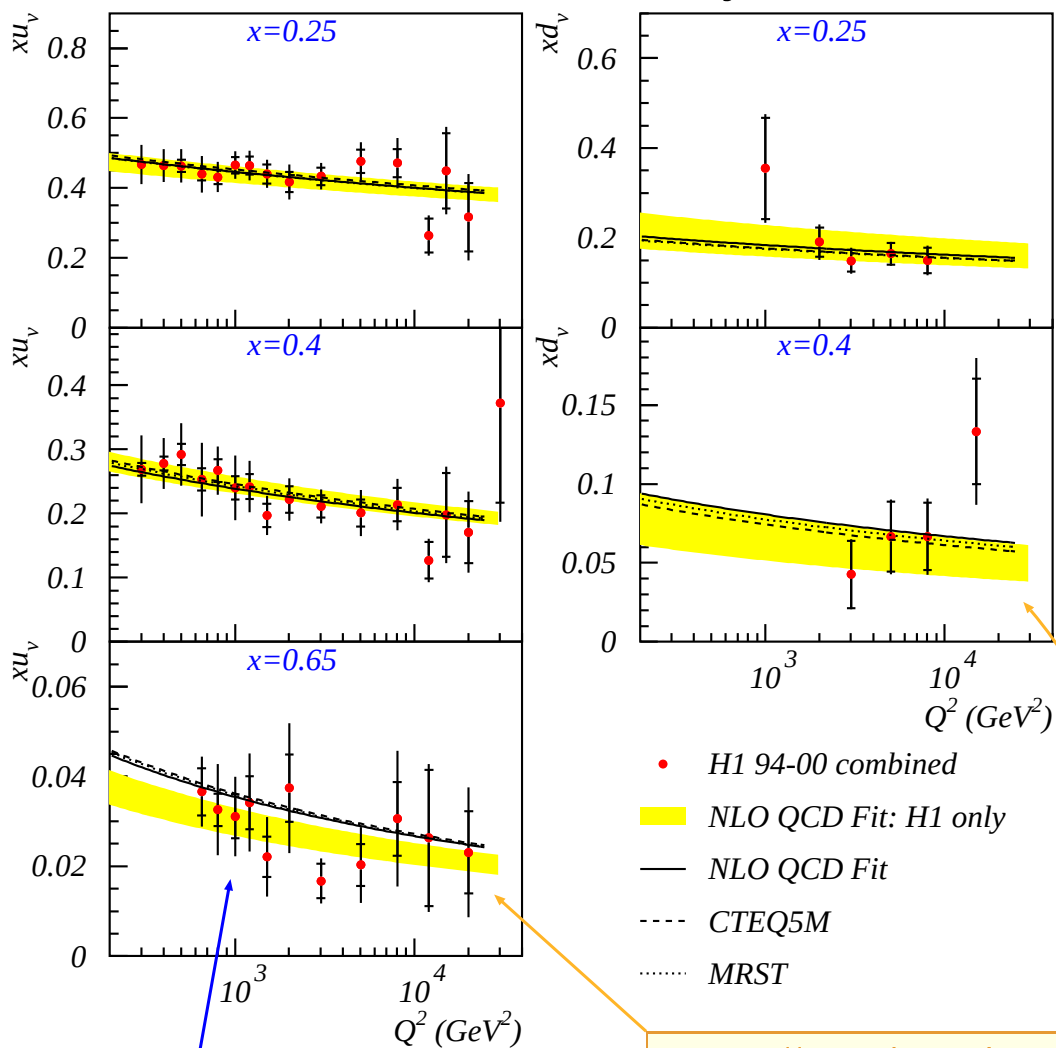
Valence Distributions

$$xu_v(x, Q^2) = \tilde{\sigma}(x, Q^2)|_{\text{exp}} \cdot \frac{xu_v(x, Q^2)}{\tilde{\sigma}(x, Q^2)} \Big|_{\text{SM}} \quad \begin{array}{l} \text{(NC: } e^\pm p, \\ \text{CC: } e^- p) \end{array}$$

$$xd_v(x, Q^2) = \tilde{\sigma}(x, Q^2)|_{\text{exp}} \cdot \frac{xd_v(x, Q^2)}{\tilde{\sigma}(x, Q^2)} \Big|_{\text{SM}} \quad \text{(CC: } e^+ p)$$

> 0.7

H1 Preliminary

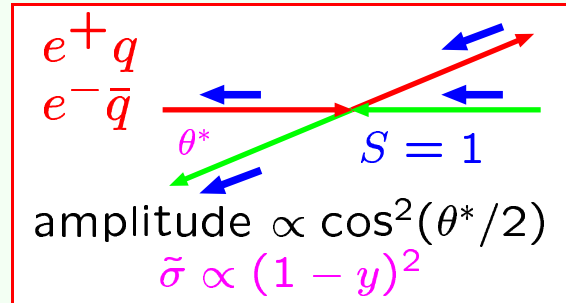
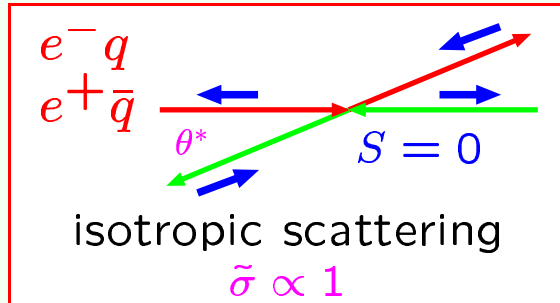


$u_v(x=0.65, Q^2)$:
in H1 fit $\sim 17\%$ smaller
than in standard PDFs;
significant? ZEUS?

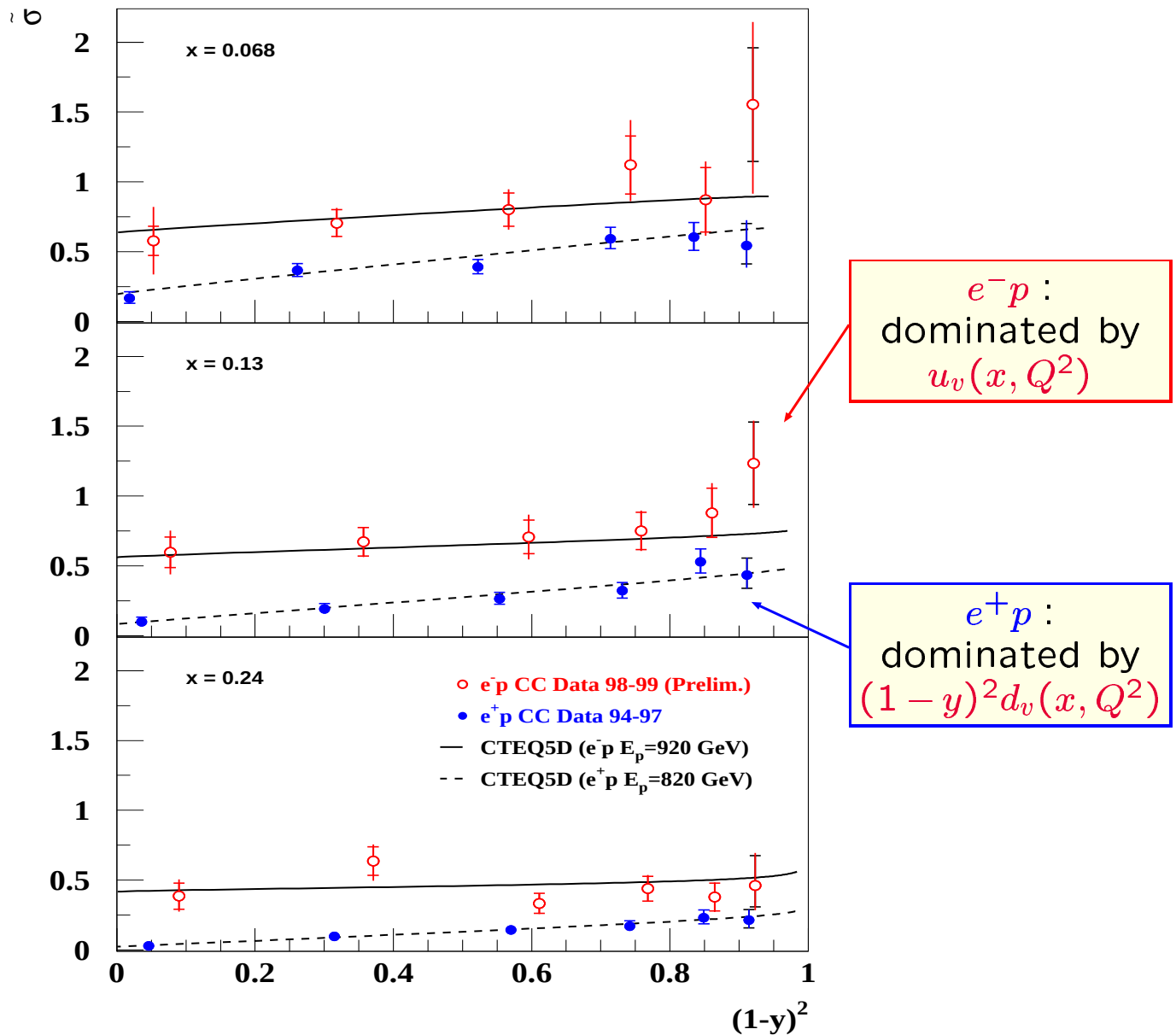
yellow band:
QCD fit to
H1 data
with $Q^2 > 20 \text{ GeV}^2$

$\tilde{\sigma}^{CC}$ as a Function of $(1-y)^2$

y dependence of $\tilde{\sigma}_{CC}$ determined by helicity structure of eq CC scattering:



ZEUS CC DATA



Determination of M_W and G_F

$$\frac{d^2\sigma_{CC}}{dx dQ^2} \propto G_F^2 \left(\frac{1}{1 + Q^2/M_W^2} \right)^2 \Rightarrow$$

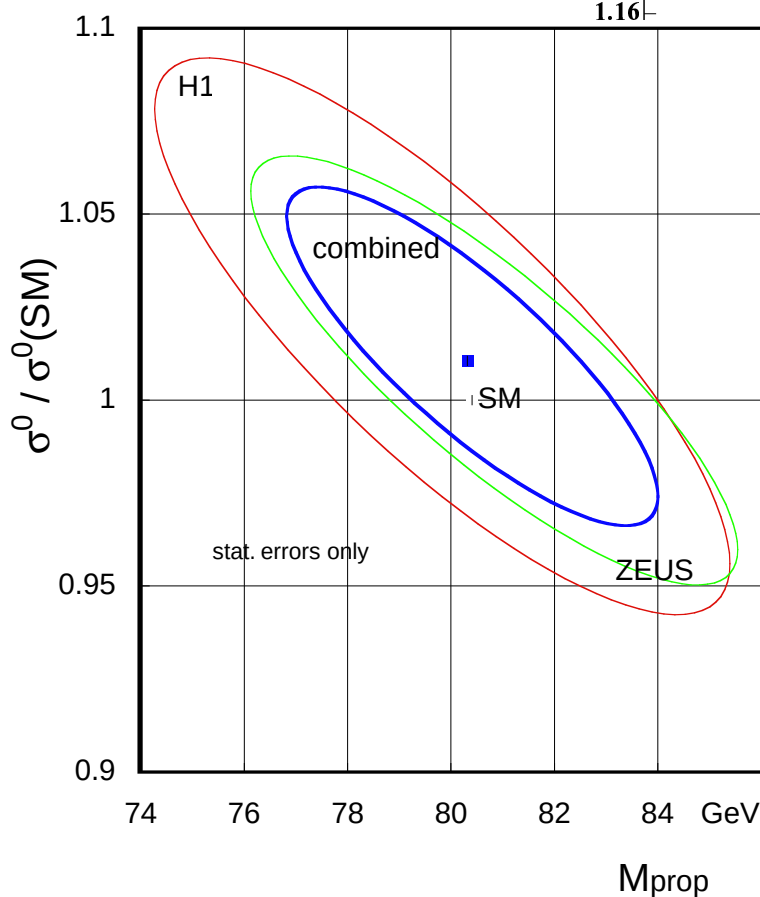
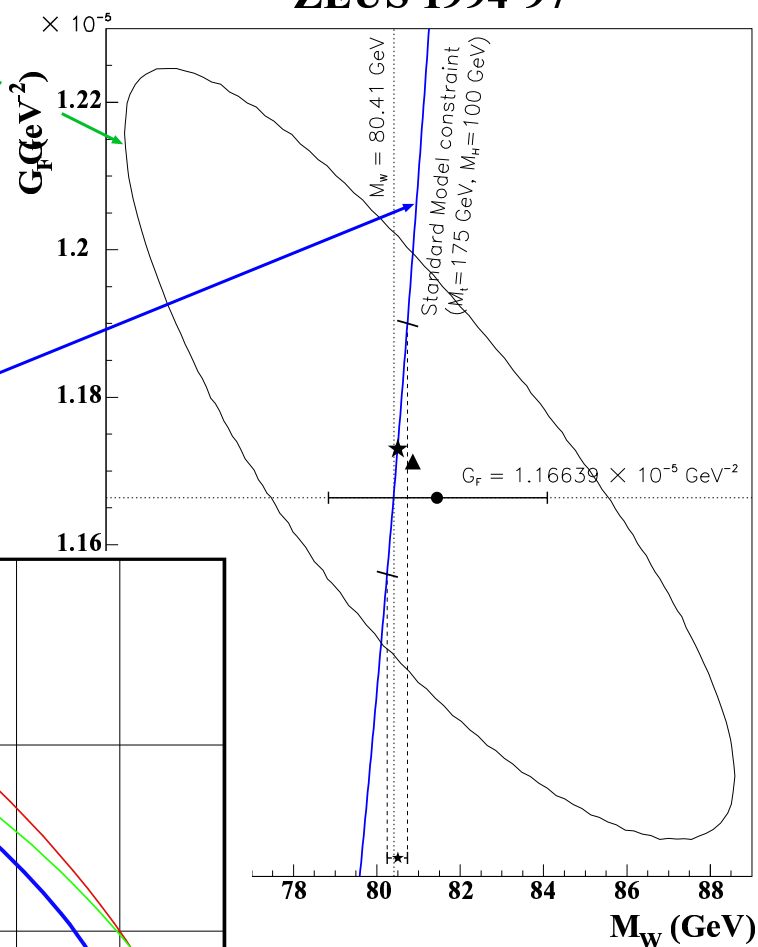
Fit to CC data
yields G_F and M_W
as independent
parameters

ZEUS result:
1 σ confidence
region includes
SM point.

Comparison with
SM relation:

$$G_F = \frac{\pi\alpha}{\sqrt{2}} \times \frac{M_Z^2}{M_W^2(M_Z^2 - M_W^2)} \frac{1}{1 - \Delta r}$$

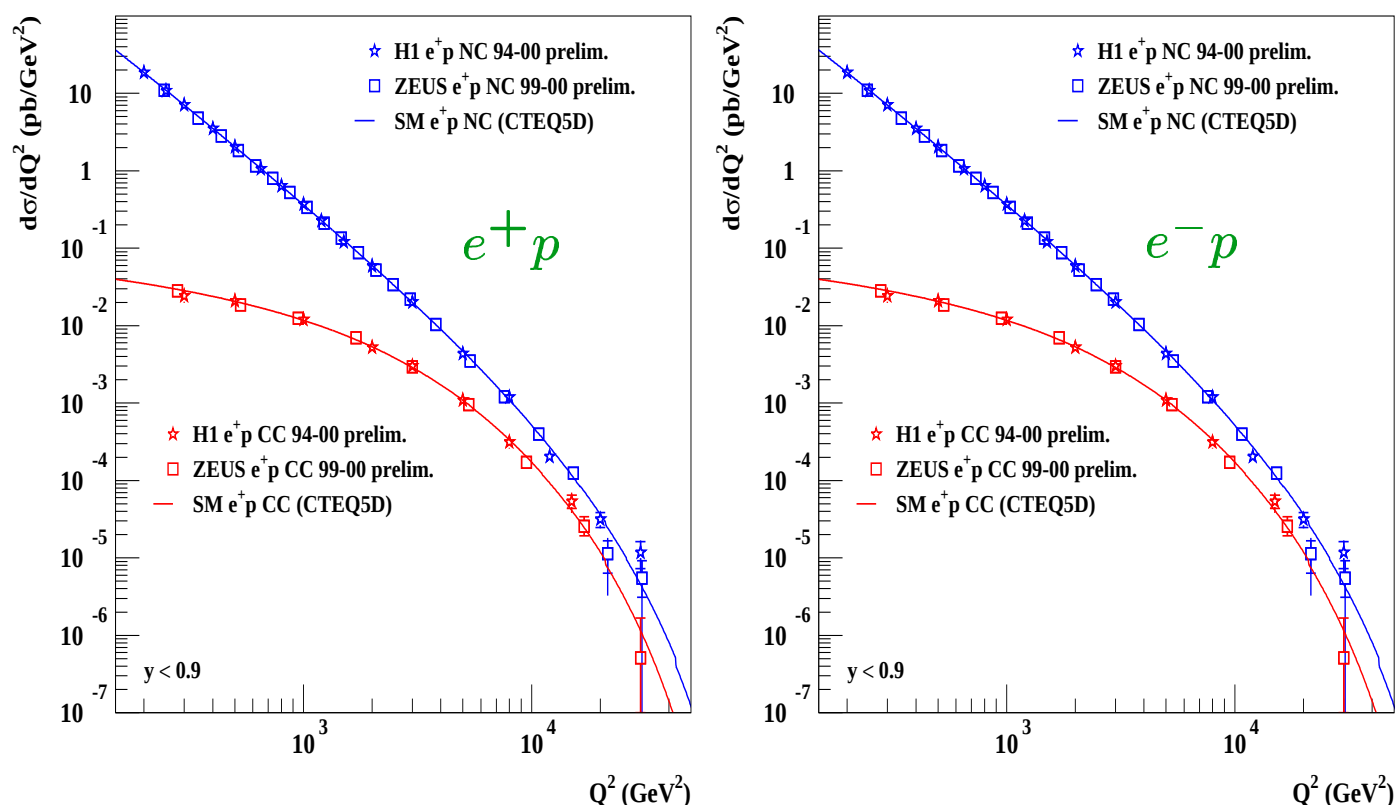
ZEUS 1994-97



comparison with H1:
 $\Delta\chi^2 = 1$
confidence regions

Summary

The differential cross sections
for e^-p and e^+p NC and CC scattering have
been measured at HERA in the region
 $Q^2 \lesssim 30\,000\text{ GeV}^2$



For $Q^2 \lesssim 20\,000\text{ GeV}^2$: excellent
agreement with predictions
(SM plus QCD fits to fixed target data)

Small deviations at high Q^2 and x ...
have caused a lot of excitement but are
probably due to statistical fluctuations
and high- x PDF effects. New physics?

Search for "New Physics"

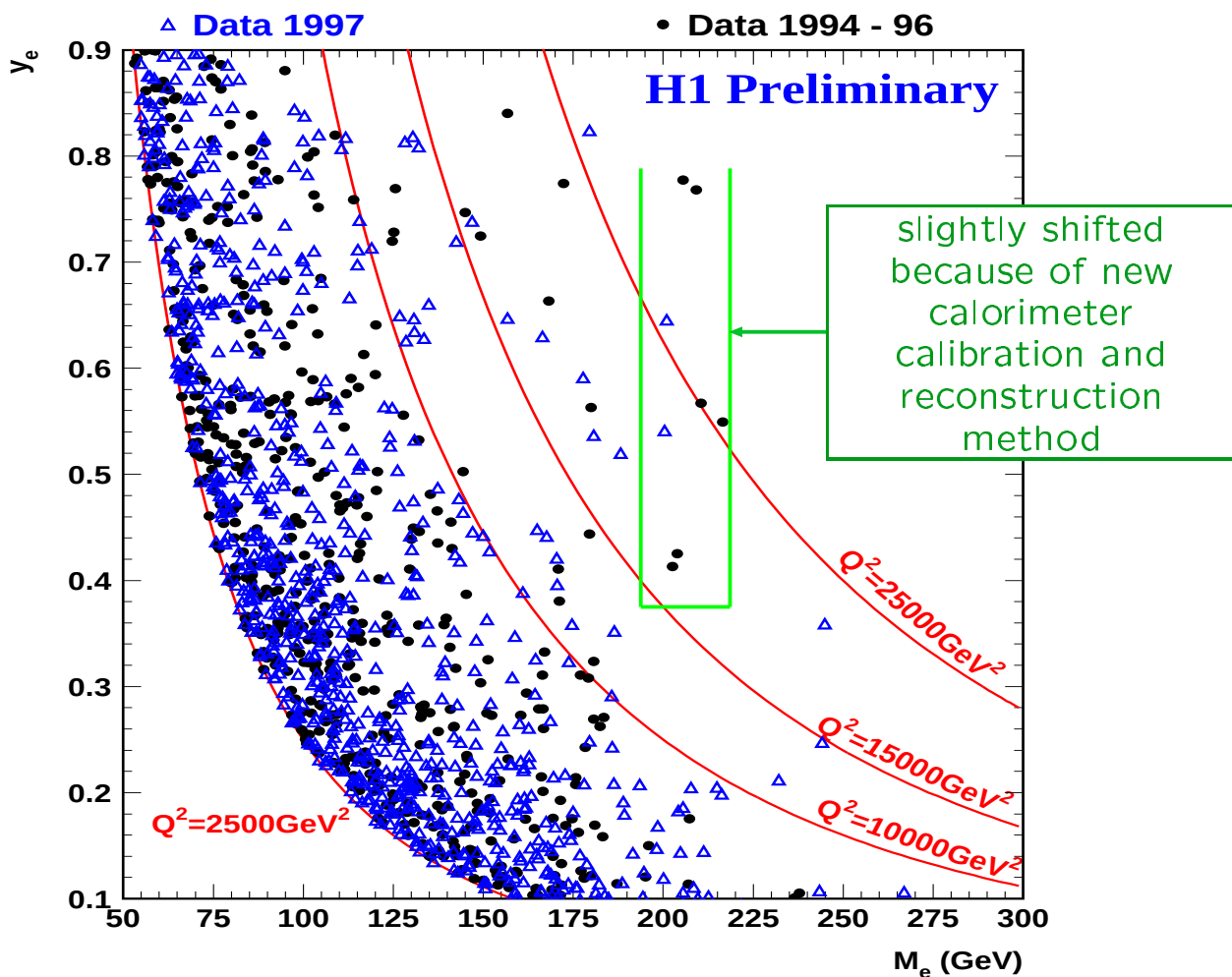
Overview:

- The "HERA high- Q^2 effect"
- eq resonances
 - Leptoquarks
 - R_P -violating squarks
- Lepton flavor violation
 - Direct production of lepton flavor violating states
 - Exclusion limits at high masses
- Excited fermions
- Contact interactions
- Extra dimensions
- Events with lepton and $\cancel{P}_t$
 - The H1-ZEUS puzzle
 - Anomalous top production

The H1 Events at High Q^2

First results of the DIS analyses 1996/97:

- First high-statistics data at $Q^2 \gtrsim 10\,000\text{ GeV}^2$
- Unexpected excess of events at high x and Q^2
- Triggered a lot of excitement and activities



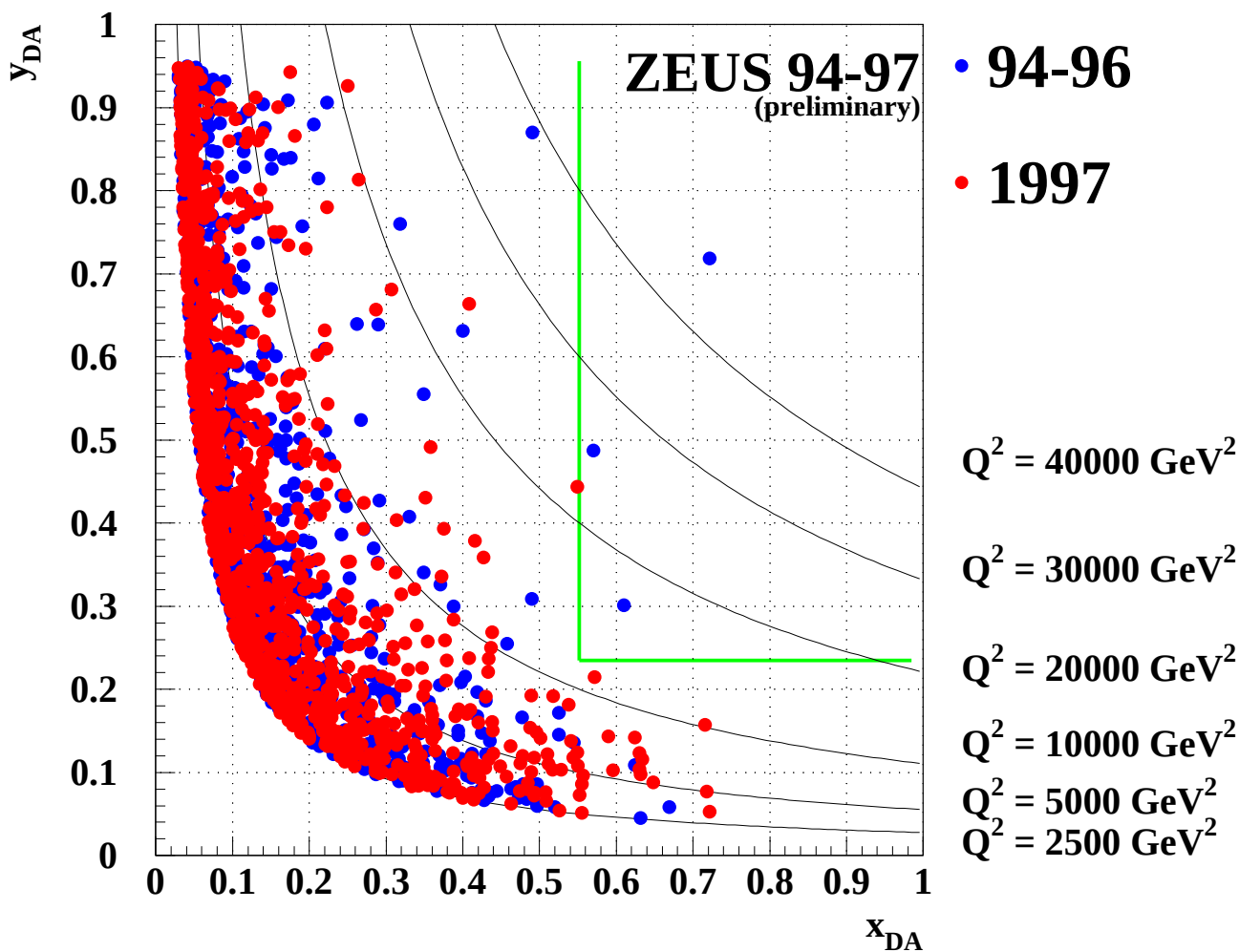
Event numbers:

kinematic region	1994-96		1994-97	
	obs.	MC	obs.	MC
$187.5 < M_e < 212.5\text{ GeV}$ and $y_e > 0.4$	7	0.95 ± 0.18	8	3.01 ± 0.54
$x_e > 0.55$ and $y_e > 0.25$	1	0.45 ± 0.18		
$Q_e^2 > 35\,000\text{ GeV}^2$	0	0.08 ± 0.04		

The ZEUS Events at High Q^2

... and in the ZEUS data?

- ZEUS also observes event excess, but not at exact same place
- Note: ZEUS and H1 use(d) different kinematic reconstruction methods



Event numbers:

kinematic region	1994-96		1994-97	
	obs.	MC	obs.	MC
$x_{DA} > 0.55$ and $y_{DA} > 0.25$	4	0.91 ± 0.18	4	1.90
$Q_{DA}^2 > 35\,000 \text{ GeV}^2$	2	0.15 ± 0.05	2	0.34
$187.5 < M_{DA} < 212.5 \text{ GeV}$ and $y_{DA} > 0.4$	2	1.75 ± 0.14		

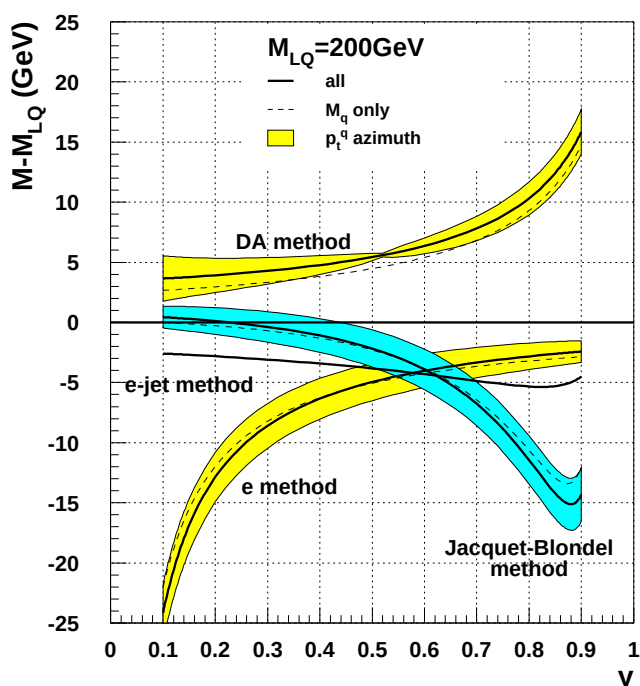
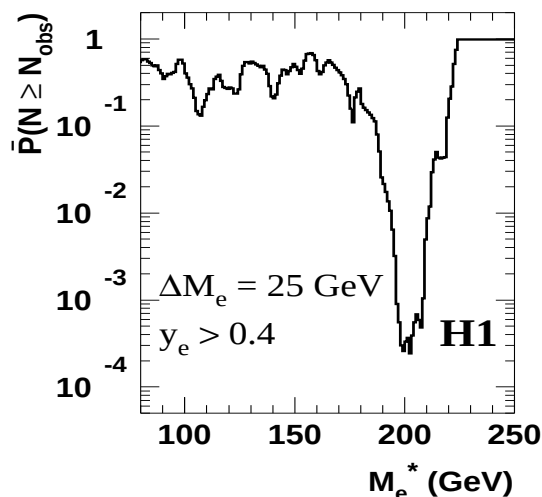
Speculations and Questions

What could it be?

- Statistical fluctuation?
- eq resonance ?
 - would such a signal be compatible with other experiments?
 - leptoquark or squark?
 - are mass values of H1 ($M_e \approx 200$ GeV) and of ZEUS ($M_{DA} = \sqrt{s x_{DA}} \approx 225$ GeV) compatible?
 - does the y distribution look like a LQ decay?
- Contact interaction?

Significance analyses:

- Poisson: $p = p(N_{\text{obs}}, N_{\text{MC}}; M_e)$
- What is the smallest p in the whole kinematic region ?
- MC: how frequently occurs a “smaller smallest p ” ?
H1: 0.9%, ZEUS: 6% .



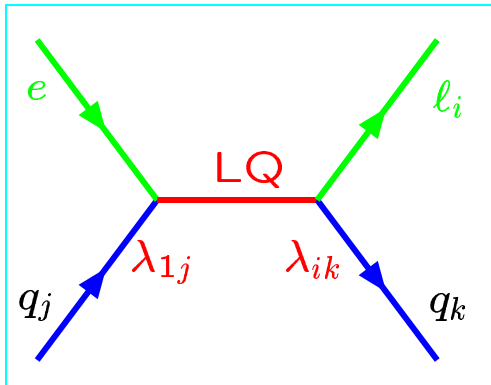
Mass of a eq resonance:

- $M = \sqrt{x s}$ for free, massless partons
- QCD radiation affects different reconstruction methods differently

Leptoquark Production at HERA

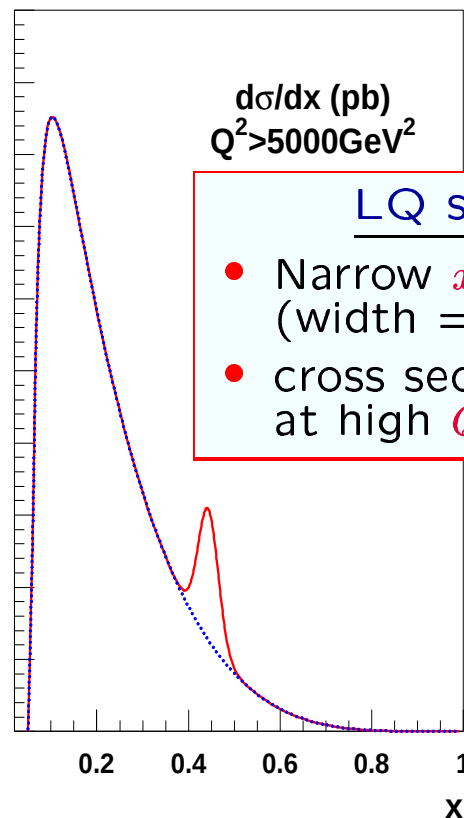
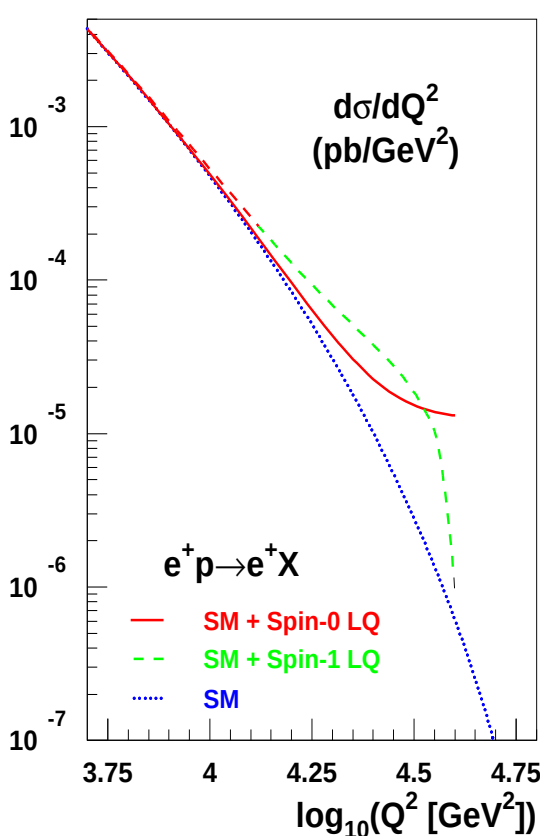
What are leptoquarks (LQs) ?

- States with Yukawa coupling λ to e and q
- $SU(3)_c \times SU(2)_L \times U(1)_Y \Rightarrow$
14 multiplets per flavor combination (BRW)
 - fermion number $F = 0, 2$ (coupling to e^+q, e^-q),
 - spin $S = 0, 1$ (scalar/vector),
 - weak isospin T ,
 - chirality of coupling (L, R)



$$\sigma(ep \rightarrow LQ + X \rightarrow eq + X)$$

$$\frac{d\sigma^{LQ}}{dy} = \frac{\pi \lambda^2}{4s} \text{BR}(LQ \rightarrow eq) q(x, \mu_{LQ}^2) \times \begin{cases} 1 & (S=0) \\ 6(1-y)^2 & (S=1) \end{cases}$$



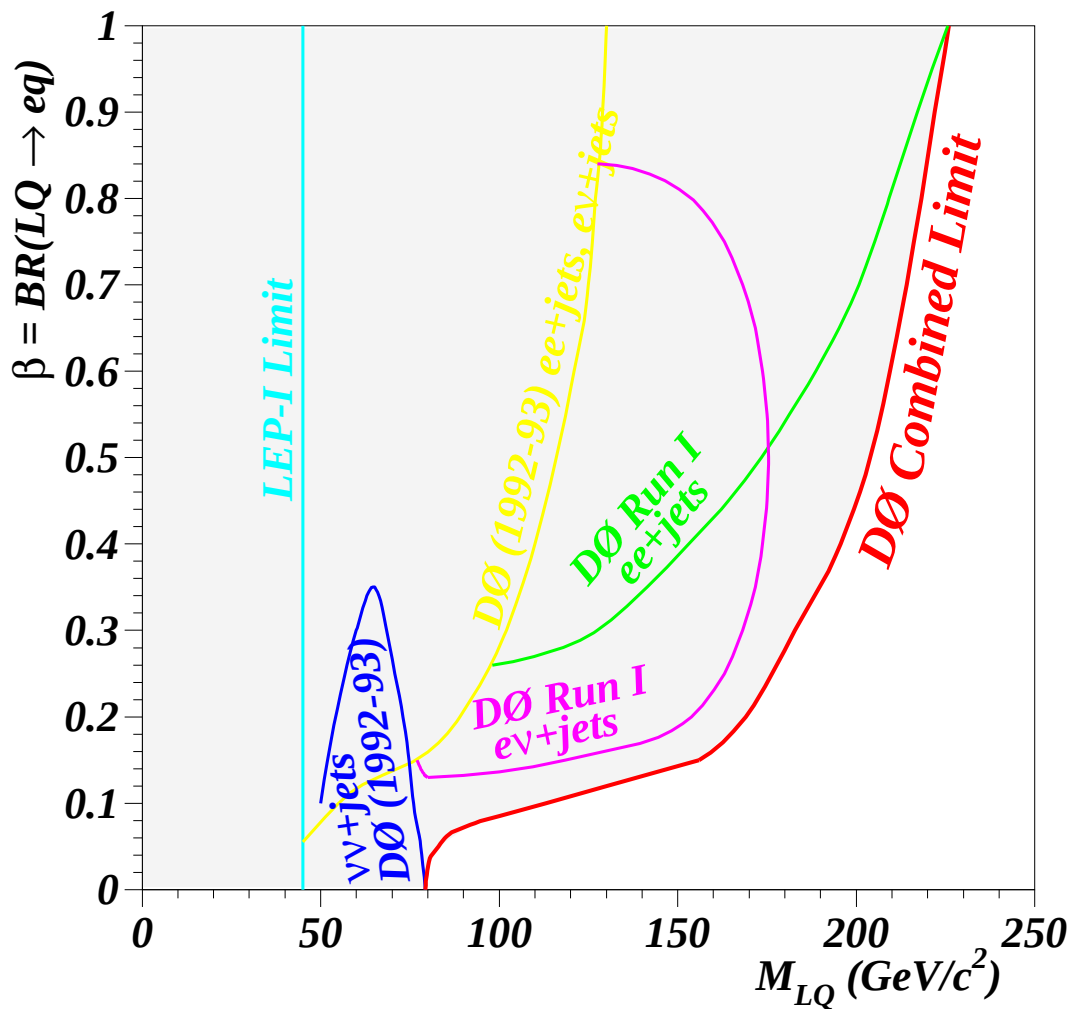
LQ signal

- Narrow x resonance (width = exp. resol.)
- cross section increase at high Q^2

Exclusion Limits from TeVatron

$$\sigma(p\bar{p} \rightarrow LQ \bar{LQ} X) \propto \left| \begin{array}{c} g \\ \text{diagram 1} \\ g \end{array} + \begin{array}{c} \bar{q} \\ \text{diagram 2} \\ q \end{array} + \dots \right|^2$$

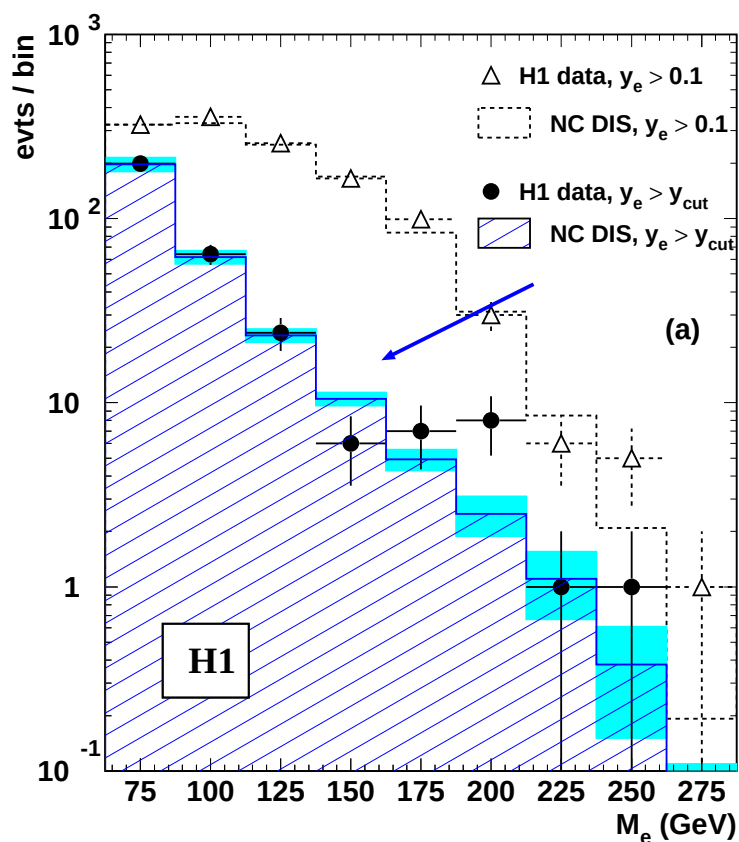
$\sigma(p\bar{p} \rightarrow LQ \bar{LQ} X \rightarrow eeqq X) \propto \text{BR}(LQ \rightarrow eq)^2$
 $\sigma(p\bar{p} \rightarrow LQ \bar{LQ} X)$ independent of λ_{1j}



TeVatron: lower limits on LQ mass (95% C.L.)

LQ Spin	$\beta = 1$		$\beta = 1/2$
	DØ	CDF+DØ	DØ
$S = 0$	225 GeV	242 GeV	204 GeV
$S = 1$	298 GeV	—	270 GeV

HERA (e+Jet) Mass Spectra



H1:

Distribution of $M_e = \sqrt{x_e s}$ for $y > y_{\text{cut}}$

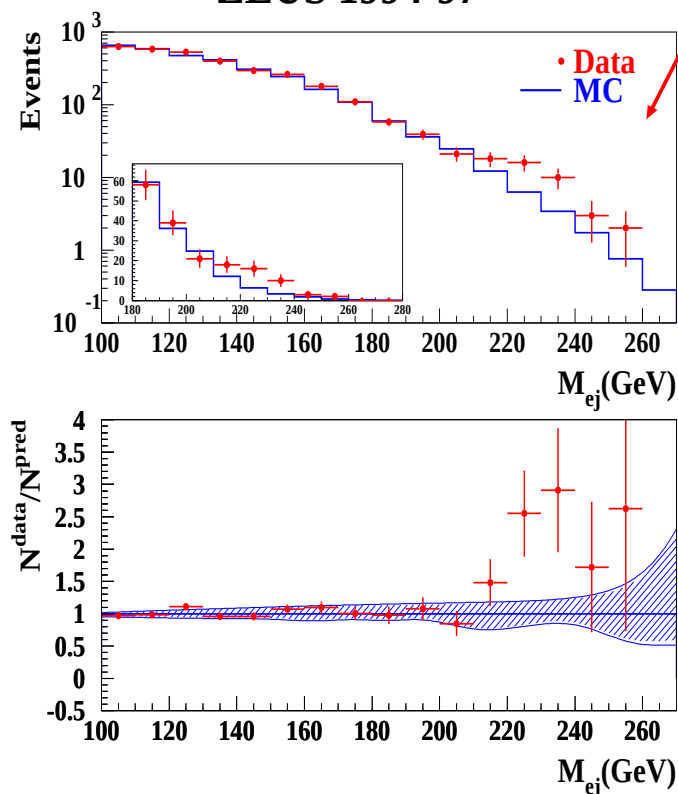
y_{cut} optimized for LQ search

ZEUS:

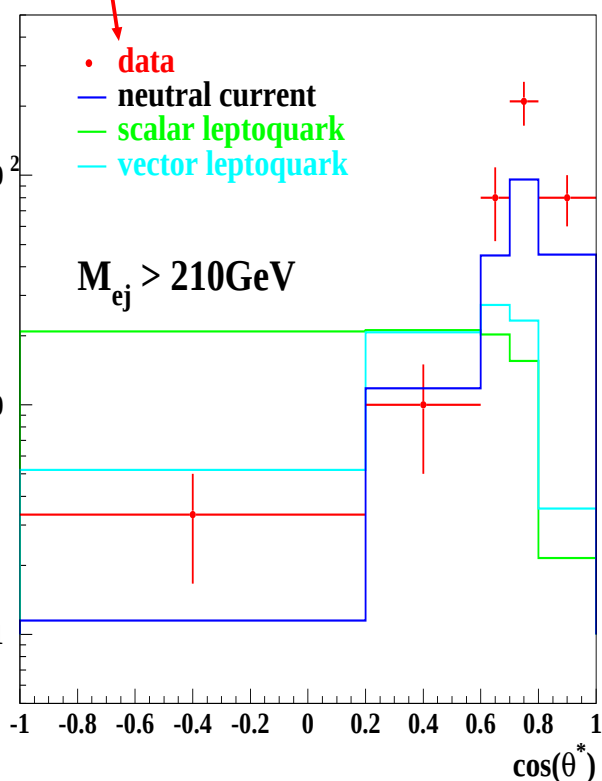
M_{ej} without y cut

$\cos \theta^*$ distribution for $M_{ej} > 200$ GeV

ZEUS 1994-97



ZEUS 1994-97



..... and in the Latest Data ?

New ZEUS event numbers:

$$Q^2 > 35\,000 \text{ GeV}^2$$

data set	$\mathcal{L}[\text{pb}^{-1}]$	obs.	MC
1994–97 e^+p	47.7	2	0.34
1998–99 e^-p	16.2	2	1.02
1999–00 e^+p	39.2	1	0.53

$$x_{\text{DA}} > 0.55, y_{\text{DA}} > 0.25$$

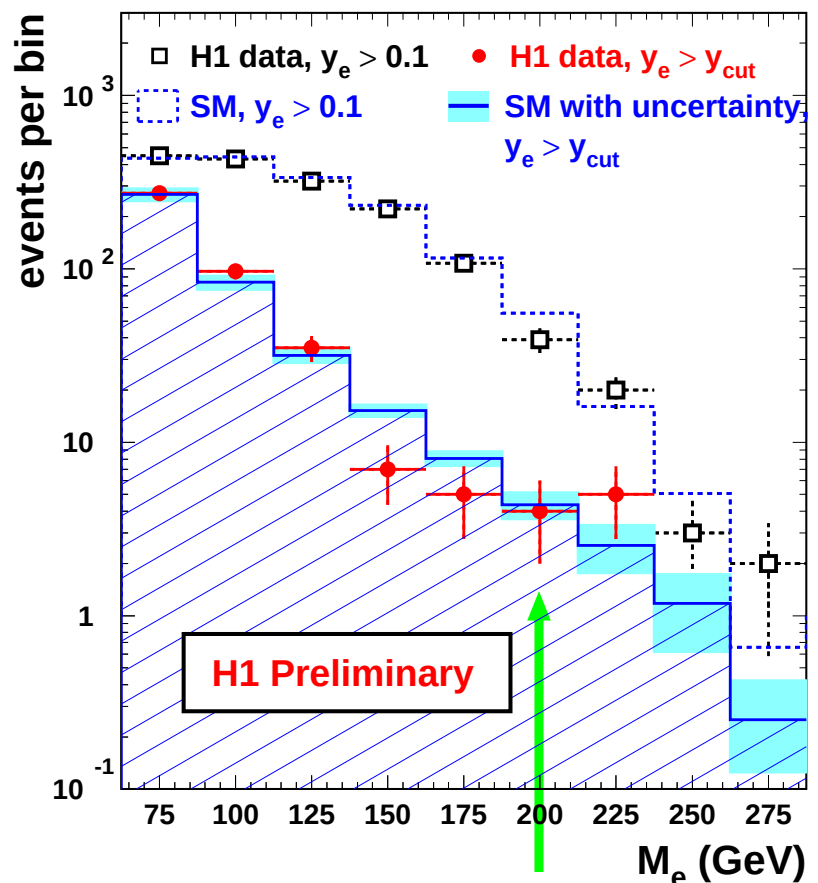
1994–97 e^+p	47.7	4	1.9
1998–99 e^-p	16.2	1	1.3
1999–00 e^+p	39.2	0	1.6

ZEUS:

no
anomalies
in the
“signal
regions”

H1:
no significant
event excess
in new e^+p data
(not shown:
neither in e^-p)

H1 e^+p 99-00 Data, 47.6 pb^{-1}



LQ Limits from HERA

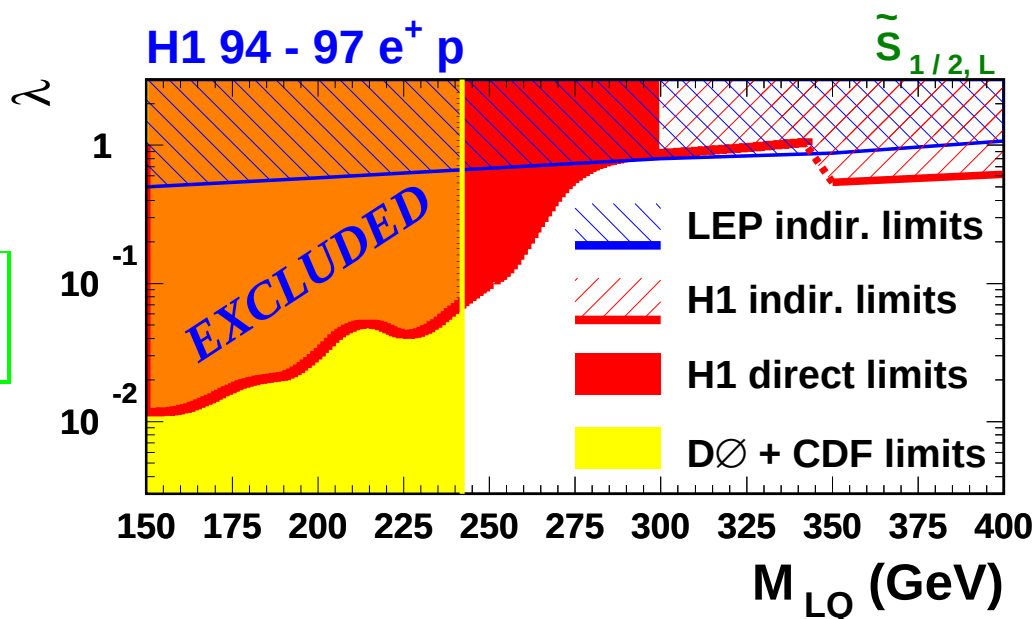
No clear signal for LQ production



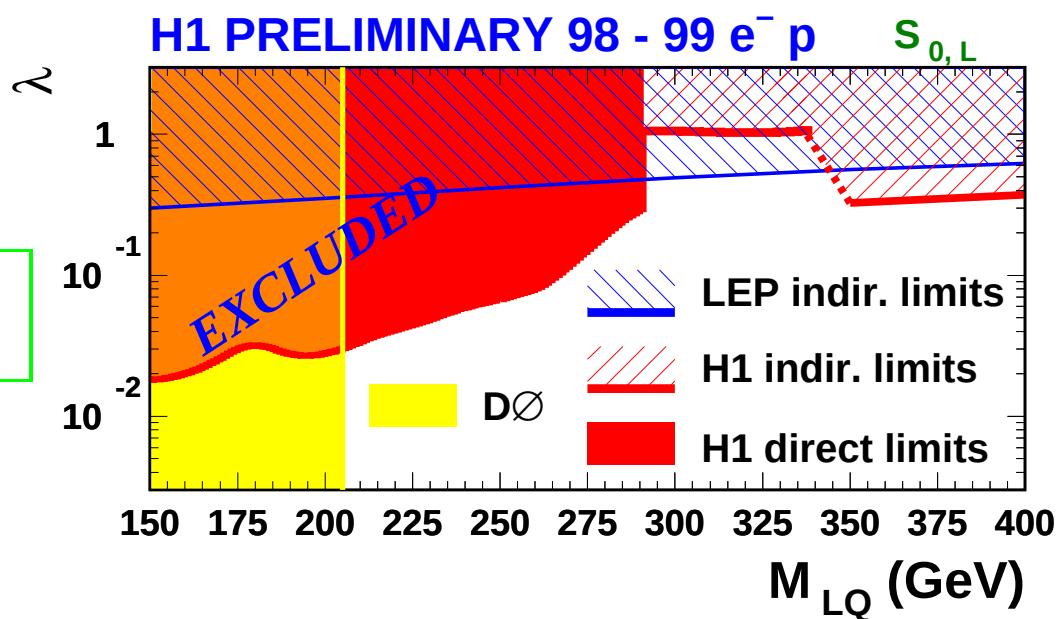
upper limits for coupling λ_{1j} as a function of M_{LQ}

assumption: LQs respect SM symmetries
and decay exclusively to eq or $\nu q'$

$$\begin{aligned} F &= 0 \\ S &= 0 \end{aligned}$$



$$\begin{aligned} F &= 2 \\ S &= 0 \end{aligned}$$



LEP: LQ exclusion limits from $eeqq$ contact interactions

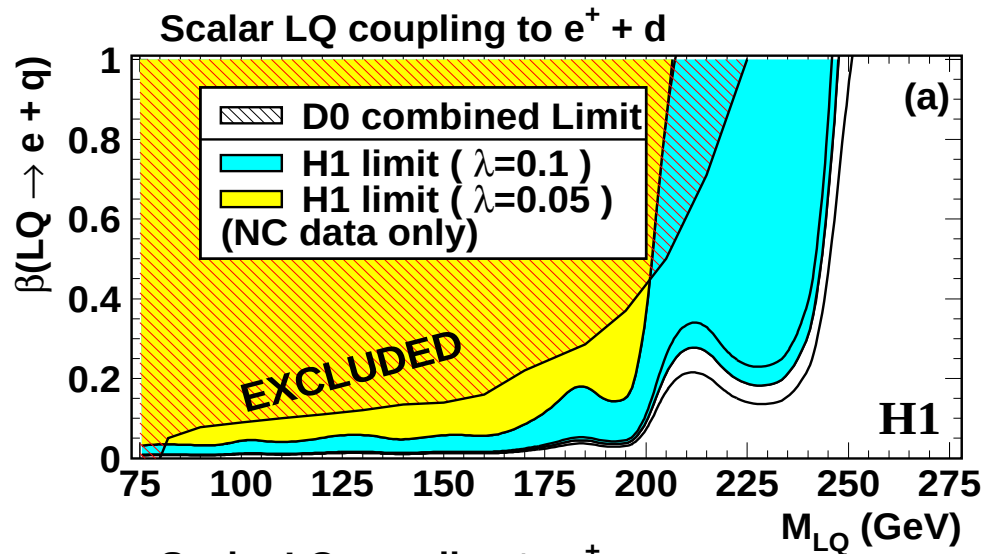
LQ's with Alternative Decay Channels

If LQs have decays other than to eq or $\nu q'$ we can have $\beta_e = \text{BR}(\text{LQ} \rightarrow eq) \neq 1 \text{ or } 0.5$

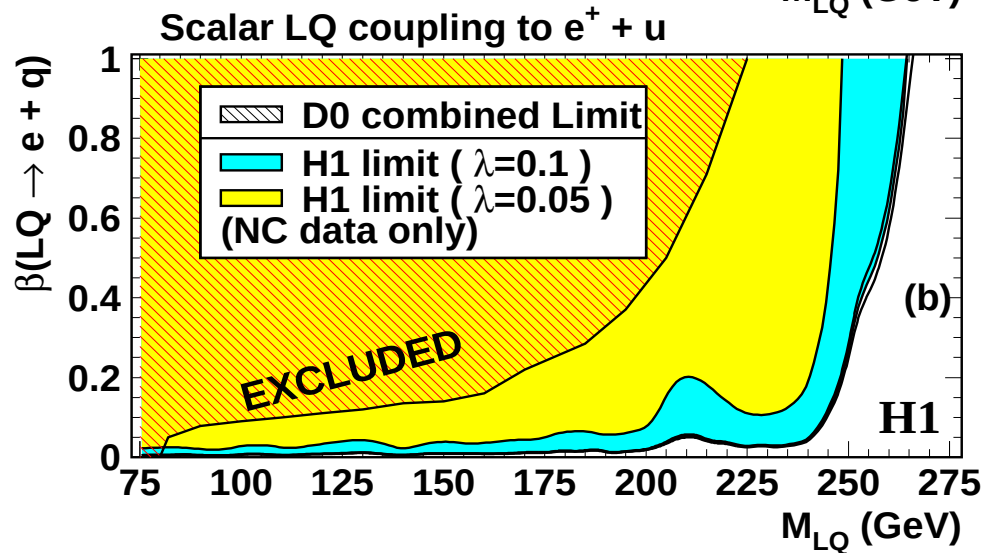
$\Rightarrow$

consider limits on β_e as functions of M_{LQ} for fixed λ_{11} (e^+p scattering)

$F=0$
coupling to d quark



$F=0$
coupling to u quark



- $\rightarrow$ HERA has discovery potential at high M_{LQ} and low β_e
- $\rightarrow$ Bump at $M_{\text{LQ}} \sim 210 \text{ GeV}$ is caused by H1 event excess

R_P -Violating Squarks

Supersymmetry (SUSY):

Each SM fermion has a bosonic partner and vice versa.

Examples:

SM	SUSY
quark q	squark $\tilde{q}$
lepton ℓ	slepton $\tilde{\ell}$
photon γ	photino $\tilde{\gamma}$
Higgs H	5 higgsinos ($h^0, H^0, A^0, H^\pm$)

neutral gauginos and higgsinos $\rightarrow$ neutralinos (χ^0)

charged gauginos and higgsinos $\rightarrow$ charginos ($\chi^\pm$)

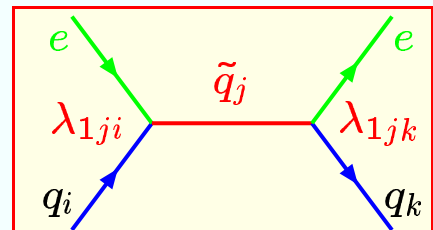
The R parity:

$$R_P = (-1)^{3B+L+2S} = \begin{cases} +1 & \text{for SM} \\ -1 & \text{for SUSY} \end{cases}$$

If R_P is not conserved...

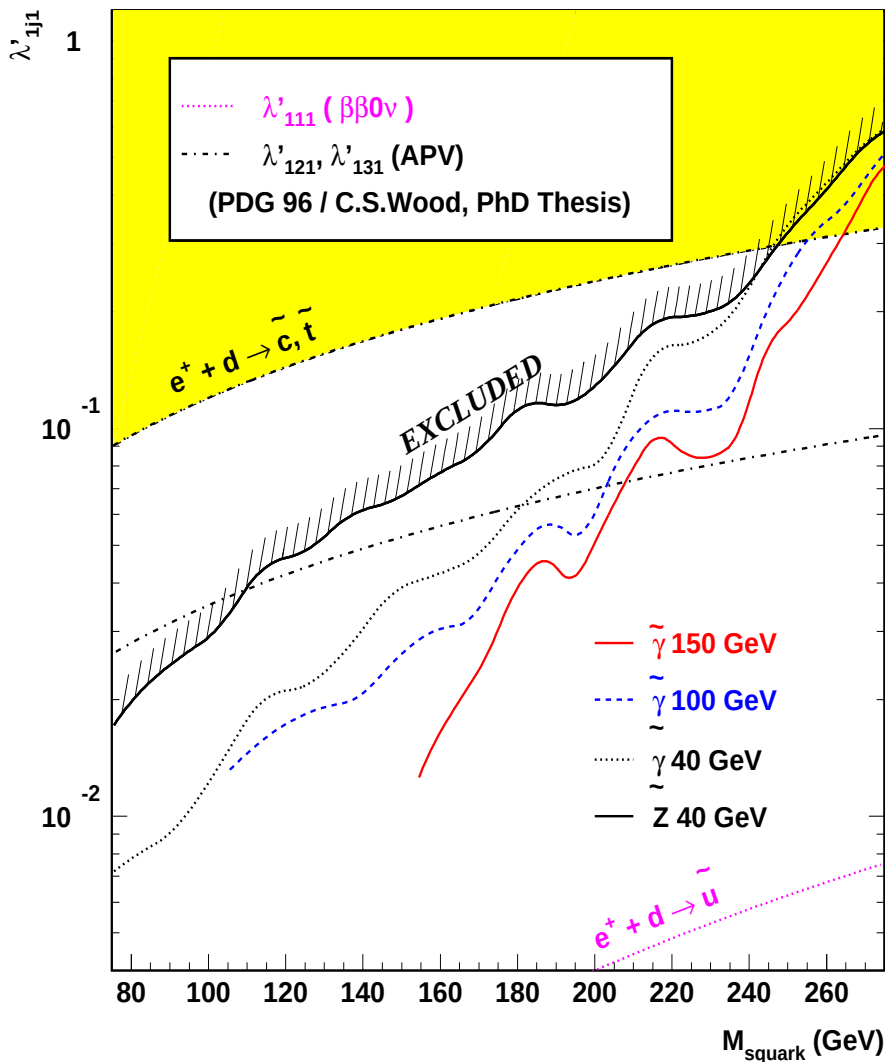
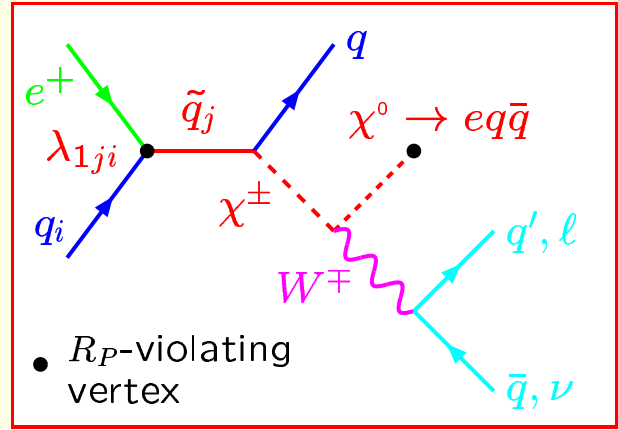
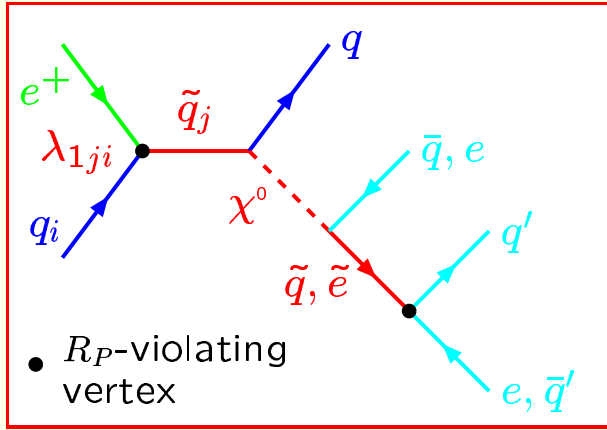
- SUSY particles can be singly produced

squarks ($\tilde{q}$) can behave like LQs with alternative decay channels
(i.e. $\text{BR}(\text{LQ} \rightarrow eq) < 1$)



Search for R_P -Violating Squarks at HERA

Alternative decays of R_P -violating $\tilde{q}$ (examples):



H1:

- Search for $e + n \text{ jets}$; e can be e^- .
- No event excess.
- Assumption: $\tilde{q}$ couples to $q_1 = u, d$
 $\Rightarrow$ upper limits on λ'_{1j1} .
- Discovery potential for $\tilde{q}_{2,3}$.

Similar results from ZEUS.

Violation of Lepton Flavor

Search for events with τ (μ) in final state;

interpretation as

$$e^+p \rightarrow LQ X \rightarrow \tau^+q X$$

strong interest: lepton flavor is violated in ν sector !

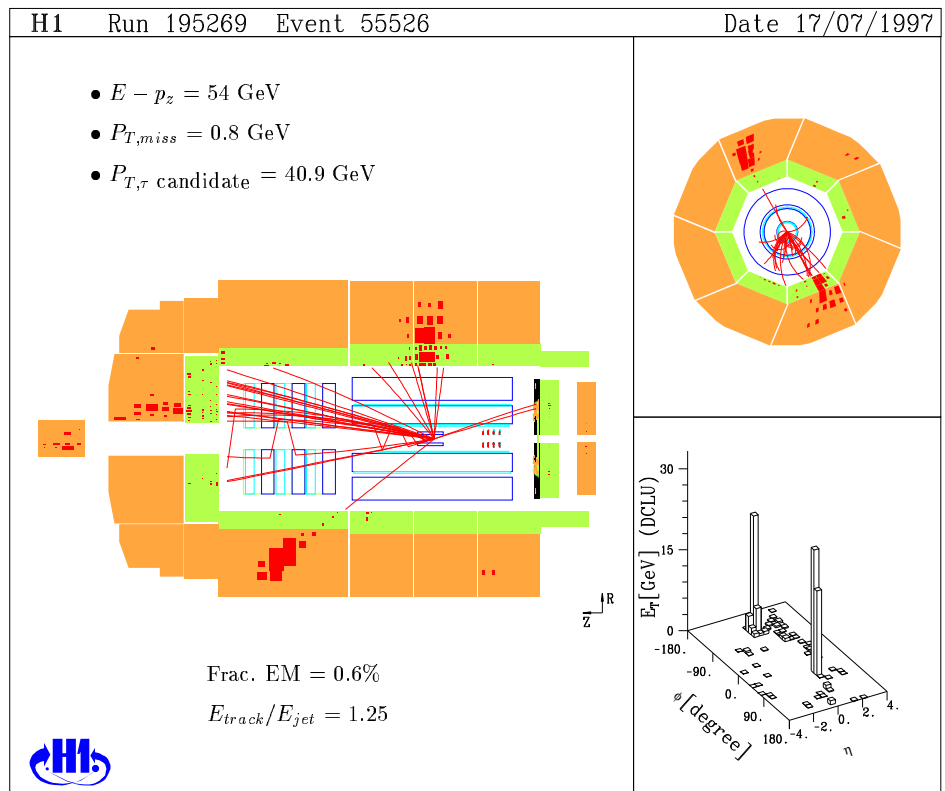
H1 candidate event for

$$e^+p \rightarrow \tau X;$$

after preselection:

$$N_{\text{obs}} = 28$$

$$N_{\text{exp}} = 23.7 \pm 5.7$$



Event selection (H1):

- isolated ($\tau \rightarrow$ hadron) candidate
- $P_t > 10$ GeV in τ direction

Efficiency:

- $\sim 10\% \dots 25\%$, increases with M_{LQ}

Result:

- no event selected

Similar analysis by ZEUS: no signal

Exclusion Limits for Lepton Flavor Violating LQs

Direct search for LQs with couplings
to $e q$ (λ_{1j}) and to τq (λ_{3j}) or μq (λ_{2j})

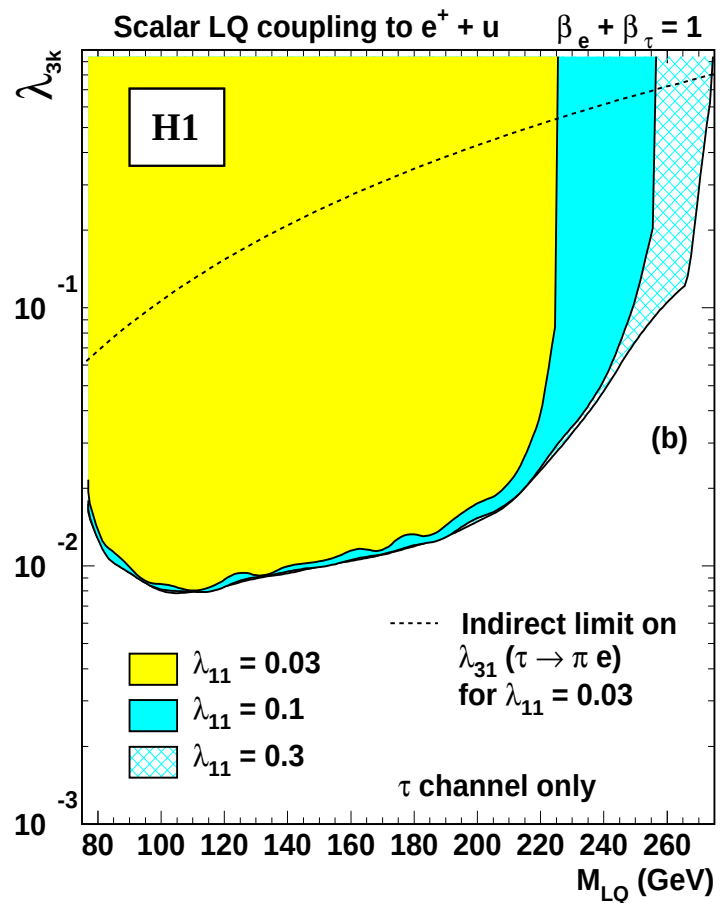
H1: $LQ \rightarrow \tau q$

Assumption: LQ couples
only to $e^+ u$ and to $\tau^+ q$

Search for events
with $e + \text{jet}$ or $\tau + \text{jet}$

Exclusion limits
for λ_{3k} (95% C.L.)
as a function of M_{LQ}
at fixed λ_{11}

High HERA sensitivity
in the channel $e \rightarrow \tau$



ZEUS: $LQ \rightarrow \mu q$ or $LQ \rightarrow \tau q$

Search: for events with $\mu + \text{jet}$ or $\tau + \text{jet}$

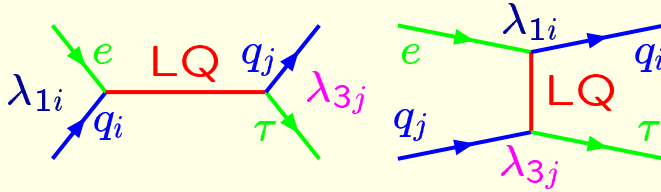
Limits: for $\lambda_{11} \sqrt{BR(LQ \rightarrow \mu q)}$ and $\lambda_{11} \sqrt{BR(LQ \rightarrow \tau q)}$
as functions of M_{LQ}

Masses: $M_{LQ} > 262 - 285$ GeV (95% C.L.)
 $M_{LQ} > 259 - 285$ GeV (95% C.L.)
for electromagnetic coupling strength
($\lambda^2 = 4\pi\alpha$)

Limits for LQs with $M_{LQ} > \sqrt{s_{\text{HERA}}}$

Indirect search for LQ's with couplings to $e q$ (λ_{1j}) and to τq (λ_{3j}) or μq (λ_{2j})

s and u channel exchange:



$$\sigma \propto \left(\frac{\lambda_{1i} \lambda_{3j}}{M_{LQ}^2} \right)^2$$

$q_i q_j$	$S_{1/2}^L$	$S_{1/2}^R$	$\tilde{S}_{1/2}^L$	V_0^L	V_0^R	$\tilde{V}_0^R$	V_1^L
1 1	$\tau \rightarrow \pi e$ 0.0032 0.030 0.046	$\tau \rightarrow \pi e$ 0.0016 0.025 0.037	$\tau \rightarrow \pi e$ 0.0032 0.046 0.062	G_F 0.002 0.033 0.049	$\tau \rightarrow \pi e$ 0.0016 0.033 0.049	$\tau \rightarrow \pi e$ 0.0016 0.024 0.041	G_F 0.002 0.012 0.019
1 2	0.030 0.047	0.025 0.038	0.046 0.063	$\tau \rightarrow K e$ 0.03 0.036 0.053	$\tau \rightarrow K e$ 0.03 0.036 0.053	0.026 0.045	$K \rightarrow \pi \nu \bar{\nu}$ $2.5 \cdot 10^{-6}$ 0.012 0.021
1 3	---	$B \rightarrow \tau e X$ 0.08 0.049 0.065	$B \rightarrow \tau e X$ 0.08 0.049 0.065	$B \rightarrow \nu X$ 0.02 0.044 0.062	$B \rightarrow \tau e X$ 0.04 0.044 0.062	---	$B \rightarrow \nu X$ 0.02 0.044 0.062
2 1	$\tau \rightarrow K e$ 0.05 0.153 0.15	$\tau \rightarrow K e$ 0.05 0.092 0.095	$\tau \rightarrow K e$ 0.05 0.105 0.12	$\tau \rightarrow K e$ 0.03 0.049 0.064	$\tau \rightarrow K e$ 0.03 0.049 0.064	0.061 0.073	$K \rightarrow \pi \nu \bar{\nu}$ $2.5 \cdot 10^{-6}$ 0.026 0.032
2 2	$\tau \rightarrow e \gamma$ 0.03 0.187 0.18	$\tau \rightarrow e \gamma$ 0.02 0.101 0.10	0.120 0.13	0.061 0.076	0.061 0.076	0.102 0.107	0.041 0.044
2 3	---	$B \rightarrow \tau e X$ 0.08 0.153 0.14	$B \rightarrow \tau e X$ 0.08 0.153 0.14	$B \rightarrow \nu X$ 0.02 0.102 0.112	$B \rightarrow \tau e X$ 0.04 0.102 0.112	---	$B \rightarrow \nu X$ 0.02 0.102 0.112
3 1	---	$B \rightarrow \tau e X$ 0.08 0.162 0.16	$B \rightarrow \tau e X$ 0.08 0.162 0.16	V_{ub} 0.002 0.052 0.068	$B \rightarrow \tau e X$ 0.04 0.052 0.068	---	V_{ub} 0.002 0.052 0.068
3 2	---	$B \rightarrow \tau e X$ 0.08 0.202 0.19	$B \rightarrow \tau e X$ 0.08 0.202 0.19	$B \rightarrow \nu X$ 0.02 0.073 0.083	$B \rightarrow \tau e X$ 0.04 0.073 0.083	---	$B \rightarrow \nu X$ 0.02 0.073 0.083
3 3	---	$\tau \rightarrow e \gamma$ 0.51 0.275 0.23	$\tau \rightarrow e \gamma$ 0.51 0.275 0.23	$\tau \rightarrow e \gamma$ 0.51 0.144 0.14	$\tau \rightarrow e \gamma$ 0.51 0.144 0.14	---	$\tau \rightarrow e \gamma$ 0.51 0.144 0.14

ZEUS/H1:

Upper limits on

$$\frac{\lambda_{1i} \lambda_{3j}}{M_{LQ}^2}$$

0.0032
limits from
rare decays etc.

0.025

best limit
from ZEUS

0.15

best limit
from H1

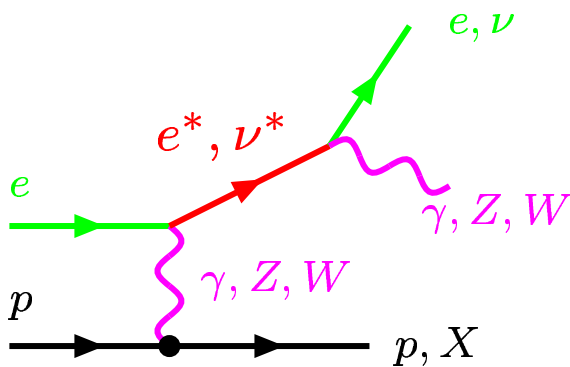
Excited Fermions

Fermion sub-structure

⇔ heavy excited fermion states (f^*),
couple to ground-state fermions and gauge bosons

e^*, ν^* production

at HERA:



Model ($S_{f^*} = 1/2$):

(Hagiwara, Komamiya, Zeppenfeld)

$$\mathcal{L}_{ff^*} = \frac{1}{\Lambda} \cdot f_R^* \left[f(gV)_{SU(2)_L} + f'(gV)_{U(1)_Y} + f_s(gV)_{SU(3)_c} \right] f_L$$

Assumption at HERA:

$$|f| = |f'| \text{ and } f_s = 0$$

f^* decay	exp. signatures
$e^* \rightarrow e + \gamma$	$e + \gamma$
$e^* \rightarrow e + Z$	$e + 2, 3e, e + \cancel{P}_t, \dots$
$e^* \rightarrow \nu + W$	$\cancel{P}_t + 2\text{jets}, e + \cancel{P}_t, \dots$
$\nu^* \rightarrow \nu + \gamma$	$\gamma + \cancel{P}_t$
$\nu^* \rightarrow e + W$	$e + 2\text{jets}, 2e + \cancel{P}_t, \dots$
$\nu^* \rightarrow \nu + Z$	$\cancel{P}_t + 2\text{jets}, 2e + \cancel{P}_t, \dots$
$q^* \rightarrow q + \gamma$	$\gamma + \text{jet}$
$q^* \rightarrow q' + W$	$e + \cancel{P}_t + \text{jet}, \dots$

$\cancel{P}_t =$
missing
transverse
momentum

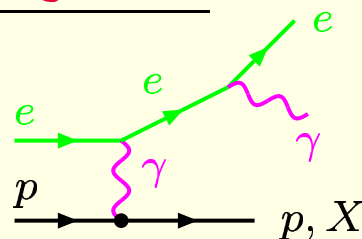
Example: Search for $e^* \rightarrow e\gamma$

Experimental signature:

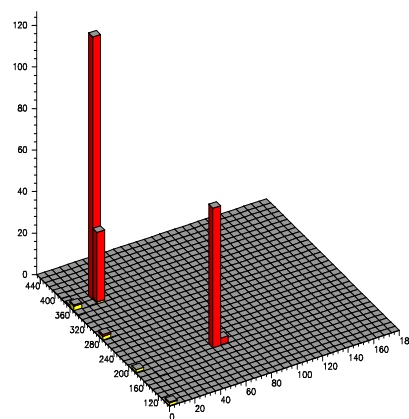
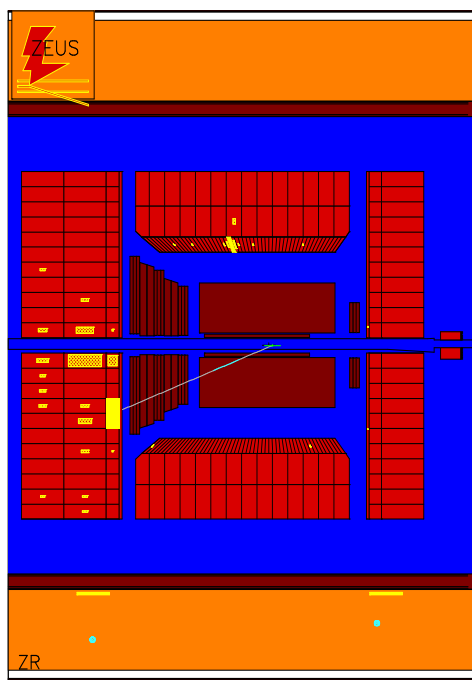
- 2 isolated elm. clusters
- small hadronic p_t
- no $\cancel{p}_t$

Background:

QED
Compton



ZEUS
candidate
with highest
 $e\gamma$ mass
($M_{e\gamma}=159$ GeV)



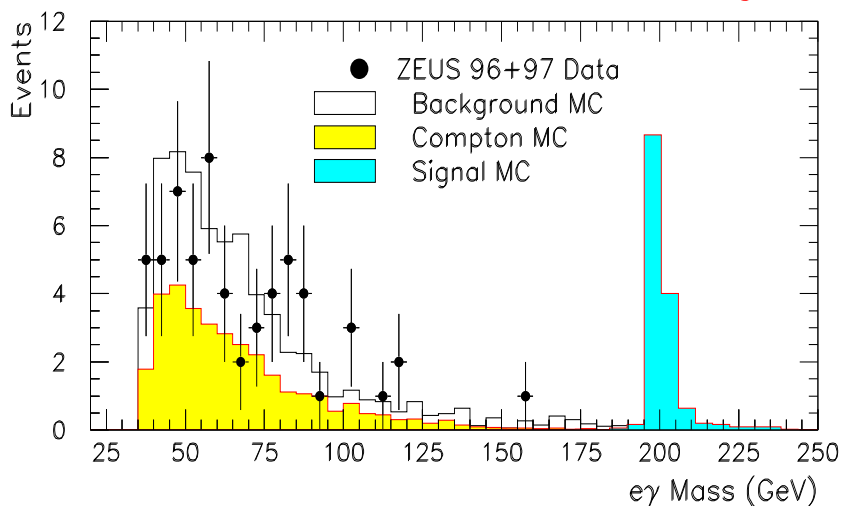
THETA PHI

UCAL energy

ZEUS 96+97 Preliminary

$M_{e\gamma}$ spectrum

- data
- SM background
- QED Compton
- e^* (simulation)

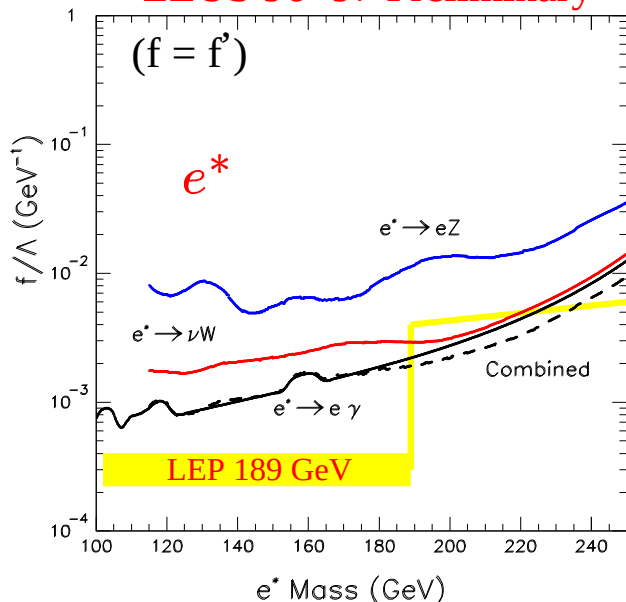


e^* , q^* and ν^* Exclusion Limits

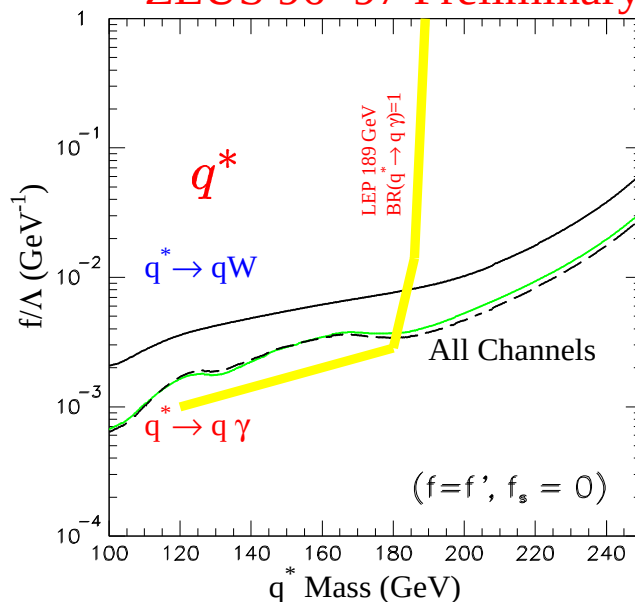
No evidence for f^* production at HERA

⇒ Upper limits on $|f|/\Lambda$

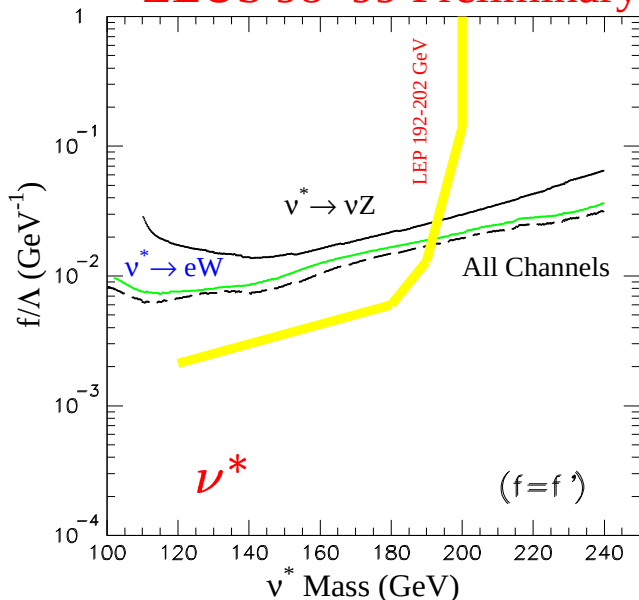
ZEUS 96+97 Preliminary



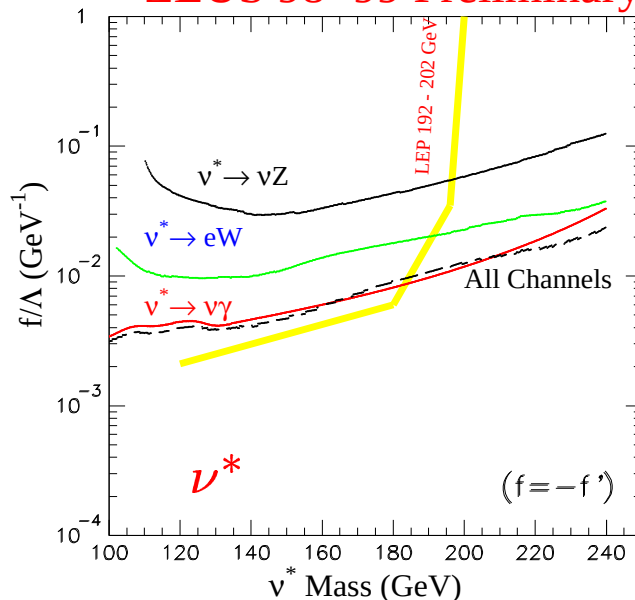
ZEUS 96+97 Preliminary



ZEUS 98+99 Preliminary



ZEUS 98+99 Preliminary

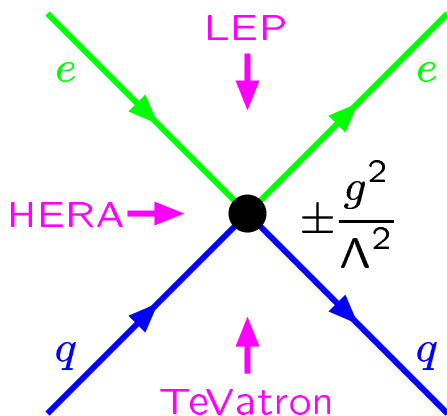


- For $M_{f^*} \lesssim \sqrt{s_{\text{LEP}}}$ HERA is less sensitive than LEP, for high masses HERA sensitivity is better (similar)
- HERA provides the only limits for $M_{\nu^*} \gtrsim 200 \text{ GeV}$ (best ν^* limits from e^-p data).
- Similar results from H1.

Contact Interactions (CI)

CI: effective phenomenological description of processes with **mass scales** $\gg \sqrt{s_{\text{HERA}}}$; historical equivalent: 4-fermion interaction

eeqq-CI:



Examples of CI:

- Exchange of heavy gauge bosons
- Leptoquarks or squarks (*s/u* channel)
- Exchange interaction of composite leptons and quarks
- ...

Effective Lagrangian:

$$\mathcal{L} = \epsilon \frac{g^2}{\Lambda^2} \sum_{\substack{a,b=L,R \\ q=u,d}} \eta_{ab}^q (\bar{e}_a \gamma^\mu e_a) (\bar{q}_b \gamma_\mu q_b)$$

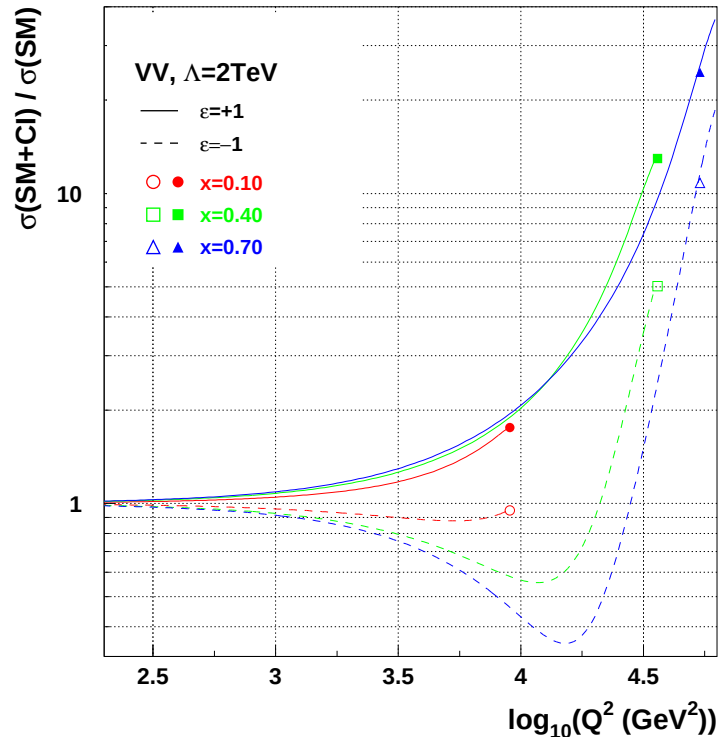
- Interference with SM (constructive or destructive).
- Linear combinations of the $\eta_{ab}^q \Rightarrow$ "CI scenarios".
- $\epsilon = \pm 1$; $\eta_{ab}^q = 1, 0$; g^2/Λ determines CI strength
- If there are *eeqq* CI, they modify several cross sections simultaneously:
 - $\rightarrow$ NC-DIS (HERA);
 - $\rightarrow e^+e^- \rightarrow q\bar{q}$ (LEP);
 - $\rightarrow p\bar{p} \rightarrow e^+e^- + X$ (TeVatron).

Search for CI Signatures

HERA: Search for deviations from SM expectation for $d\sigma/dQ^2$ (H1) or for the event distributions $d^2N_{\text{obs}}/dx dQ^2$ (ZEUS).

Modification of $d\sigma_{\text{NC}}/dQ^2$ by CI:

- CI-SM interference ($\propto \pm Q^2/\Lambda^2$)
- pure CI contribution ($\propto Q^4/\Lambda^4$)
- cross section increases at high Q^2
- different statistical analysis methods



Most important result from low-energy experiments:

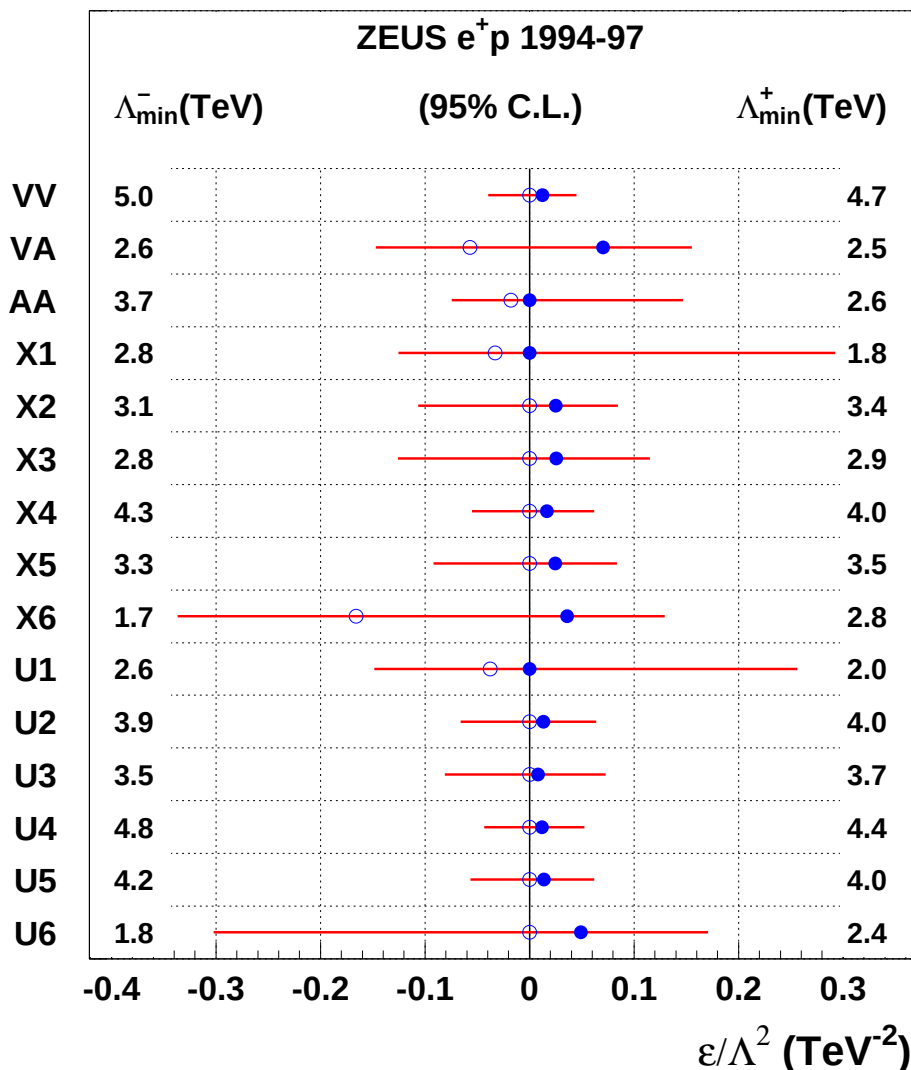
measurement of the “weak charge” (Q_W) of the Cs nucleus (atomic parity violation, APV)

$$\Delta^{\text{CI}} Q_W = \frac{2Z + N}{\sqrt{2}G_F} \frac{4\pi\epsilon}{\Lambda^2} (\eta_{\text{LL}}^u + \eta_{\text{LR}}^u - \eta_{\text{RL}}^u - \eta_{\text{RR}}^u) + \frac{Z + 2N}{\sqrt{2}G_F} \frac{4\pi\epsilon}{\Lambda^2} (\eta_{\text{LL}}^d + \eta_{\text{LR}}^d - \eta_{\text{RL}}^d - \eta_{\text{RR}}^d)$$

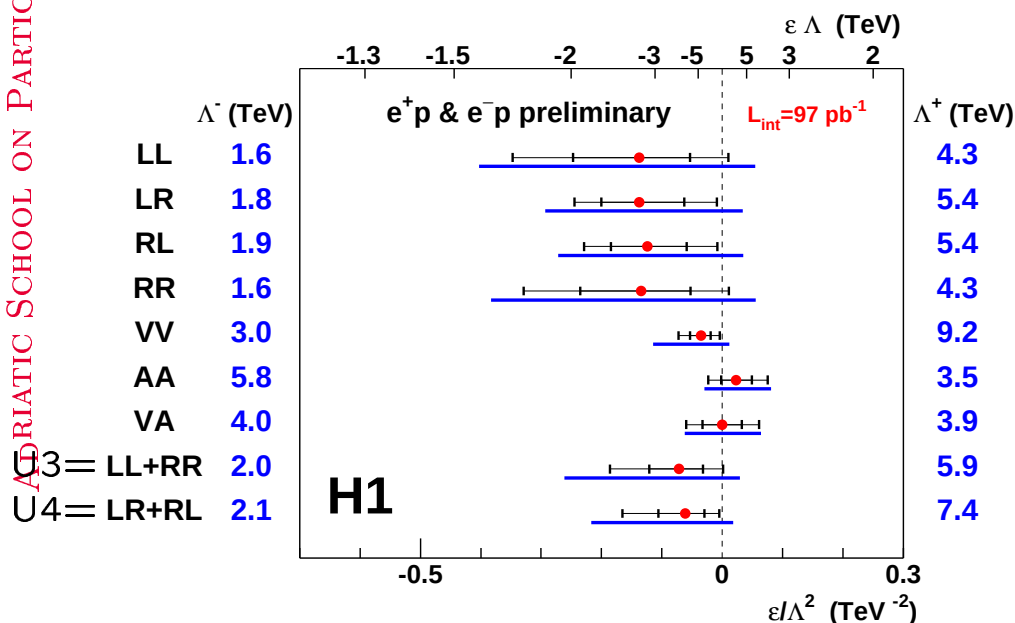
experimental result: $\Delta^{\text{exp}} Q_W = 1.28 \pm 0.46$

- APV: 2.8σ effect
- APV effect and limits are beyond HERA sensitivity
- at HERA: search for parity-conserving CI

Limits on CI Mass Scales



ZEUS:
separate
statistical
analysis
for
 $\epsilon = +1$
and
 $\epsilon = -1$.



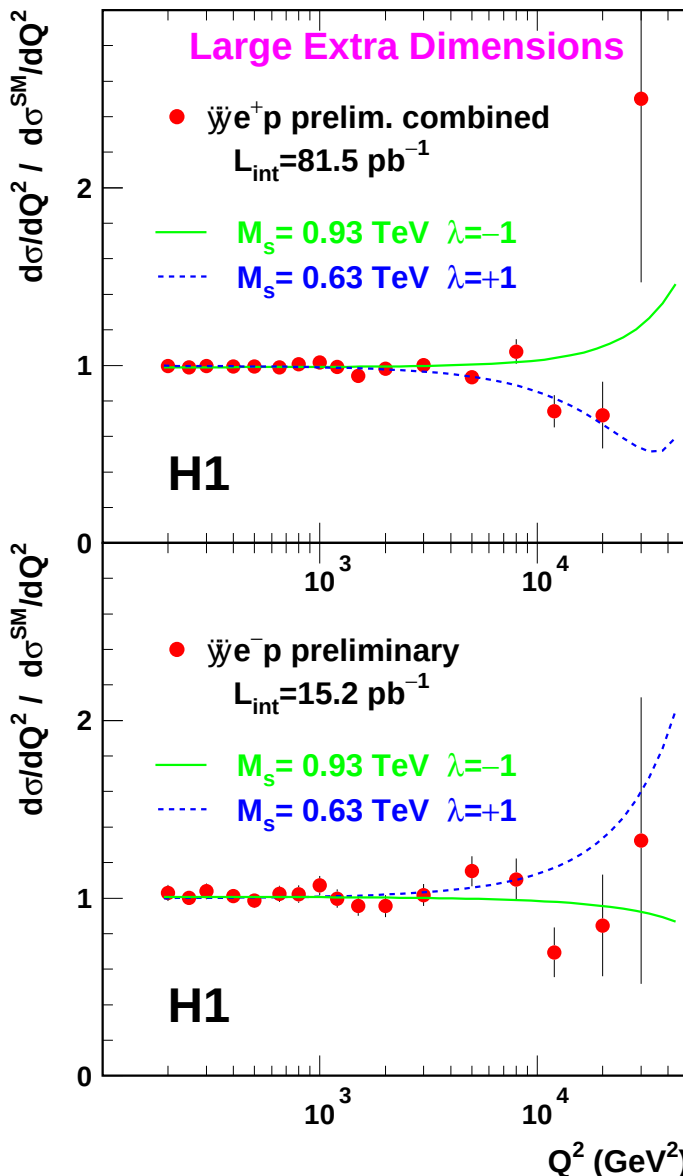
H1:
common
confidence
region
for
 $\epsilon = +1$
and
 $\epsilon = -1$.

Extra Dimensions

Theoretical Scenario:

- Gravitons live in $4 + n$ dimensions (size R_S), all other particles constrained to 4 dimensions
- $\Rightarrow$ Violation of Newton's law for $r \lesssim R_S$
- $\Rightarrow$ Characteristic gravitation mass scale M_S :

$$R_S^n M_S^{2+n} \sim 1/G_N = M_P^2$$
- $n = 2$ ($R_S = \mathcal{O}(100 \mu\text{m})$, $M_S = \mathcal{O}(\text{TeV})$) is possible
- "Projections" of the gravitons to 4 dimensions couple to massive particles with strength $\propto \lambda_s/M_S^4$
 $\Rightarrow$ CI-type modification of $\sigma(ep)$



H1 limits:

No evidence for extra dimensions.
 Exclusion limits at 95% C.L.:

$$M_S > 0.93 \text{ TeV} \quad (\lambda_S = +1)$$

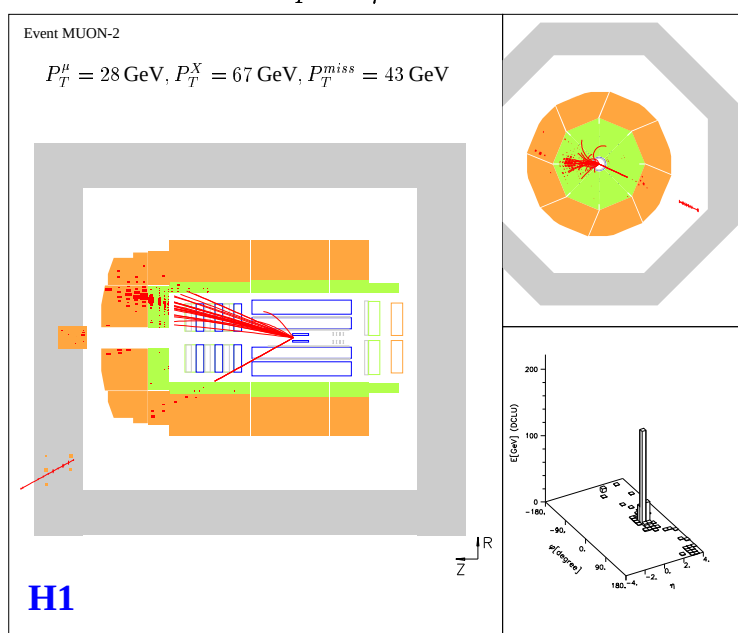
$$M_S > 0.63 \text{ TeV} \quad (\lambda_S = -1)$$

Events with Leptons and $\cancel{P}_t$

Event signatures:

- Isolated lepton (μ or e) with high p_t
- Large missing transverse momentum $\cancel{P}_t$, not in lepton direction
- Hadronic jet with moderate to large p_t

$$e^+p \rightarrow \mu^+ X$$

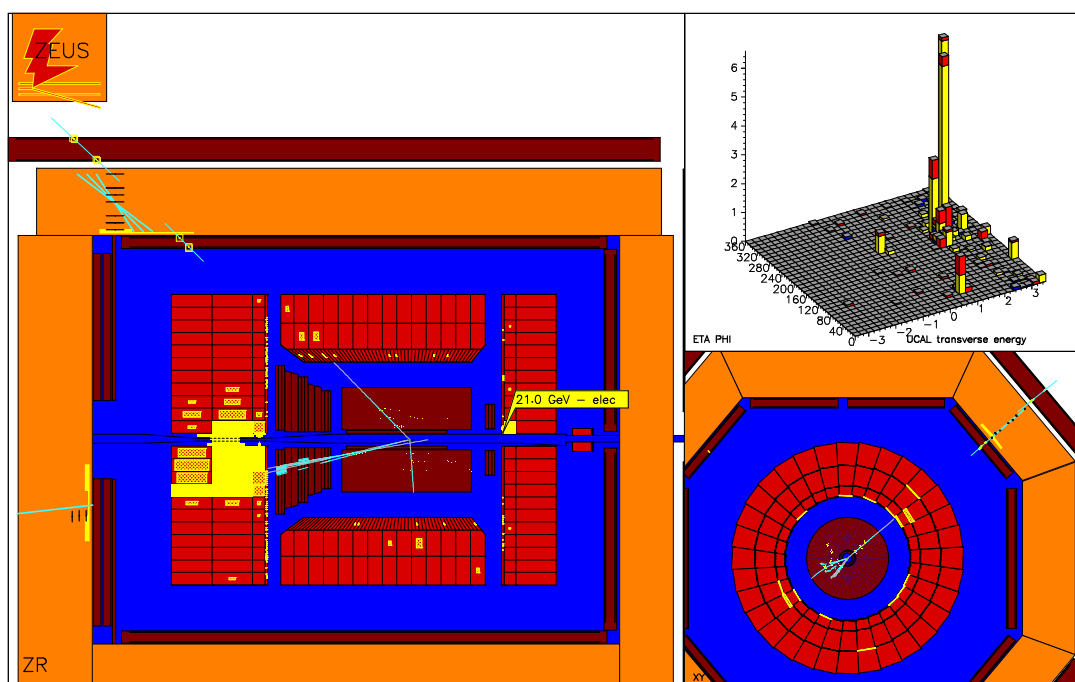


SM Reactions:

$$ep \rightarrow W + \text{jet} + X$$

$$\rightarrow \ell \nu_\ell + \text{jet} + X$$

$$ep \rightarrow \ell^+ \ell^- + X$$

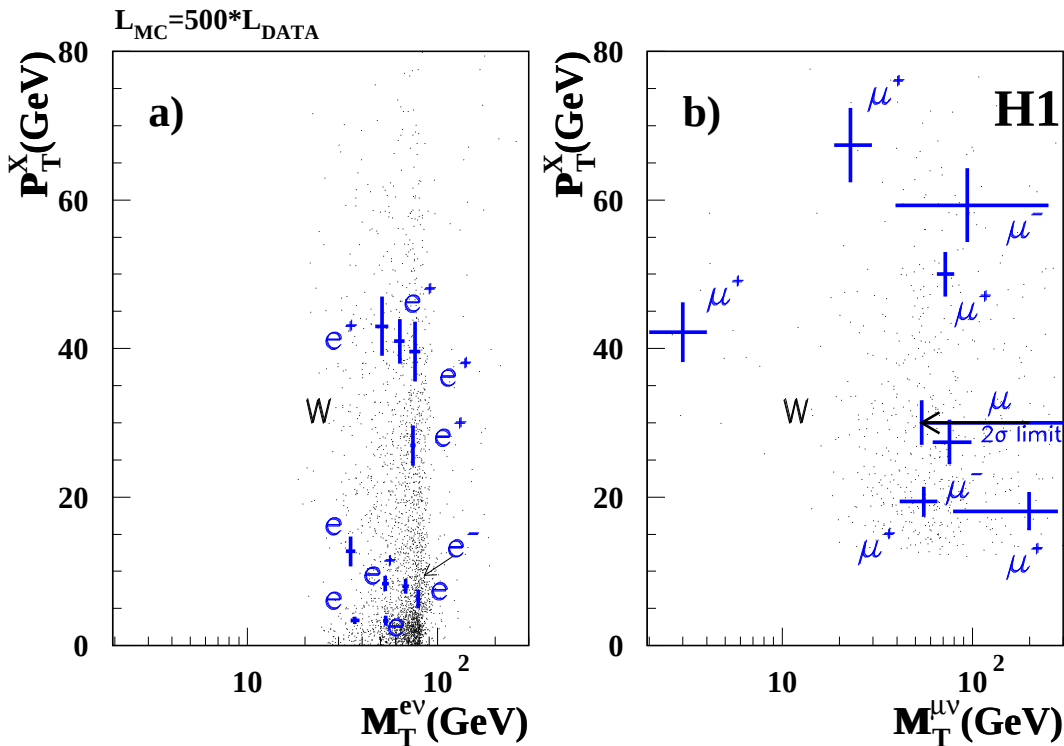


The ZEUS/H1 Puzzle

Inconsistent observations by H1 and ZEUS ?

- H1:**
- Significant excess of “ $\cancel{P}_t$ +lepton events”;
 - many of these with large hadronic transverse momentum P_t^X
 - only in e^+p data (doesn't mean much)
- ZEUS:**
- Number of $\cancel{P}_t$ +lepton events consistent with expectation
 - no event excess at high P_t^X

H1 PRELIMINARY 101.6pb⁻¹ e⁺p data 94-00



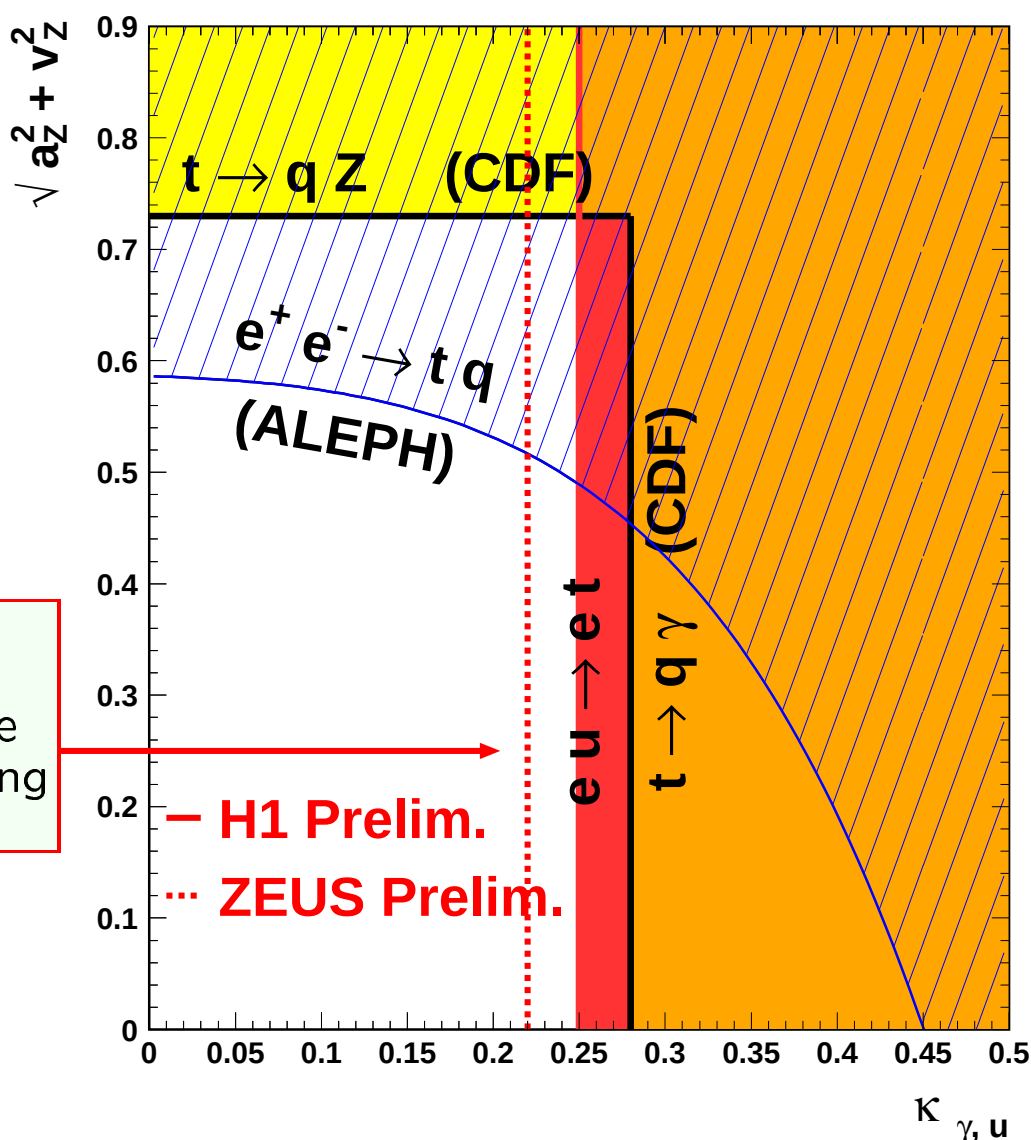
Event numbers:

		e		μ	
		obs.	exp.	obs.	exp.
$P_T^X > 25$ GeV	H1	4	1.29	6	1.54
	ZEUS	1	1.14	1	1.29
$P_T^X > 40$ GeV	H1	2	0.41	4	0.58
	ZEUS	0	0.46	0	0.50

Top Production

Top production at HERA?

- H1:** • 5 $\cancel{p}_t$ + lepton events are compatible with top production (1.5 expected)
- ZEUS:** • no evidence for top production
- Theory:** • top production cross section at HERA is tiny in SM.
• Possible: anomalous $tq\gamma$ coupling (e.g. in models of dynamic fermion mass generation, see e.g. hep-ph/9901411)

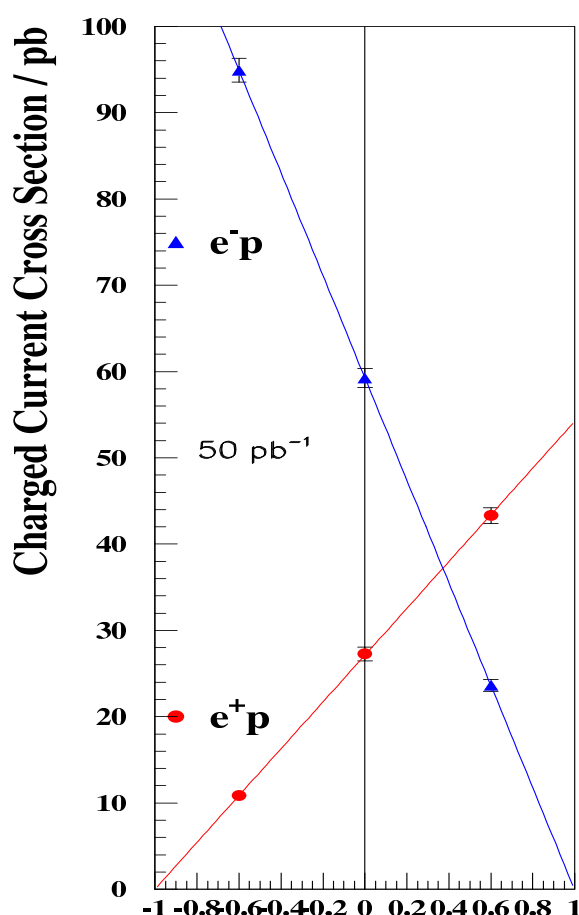


Outlook

The future of HERA

- We are at the end of an upgrade shutdown
 - new interaction zones → increased luminosity ($\mathcal{O}(100-150 \text{ pb}^{-1}/\text{year})$)
 - longitudinal e polarization
 - detector upgrades & improvements
- Data taking 2002–2005 (or longer?)
 - improved statistics, refined measurements of low-cross-section processes (e.g. DIS at high Q^2)
 - increased sensitivity to new physics

$$e p \rightarrow \nu X$$



Example Measurement

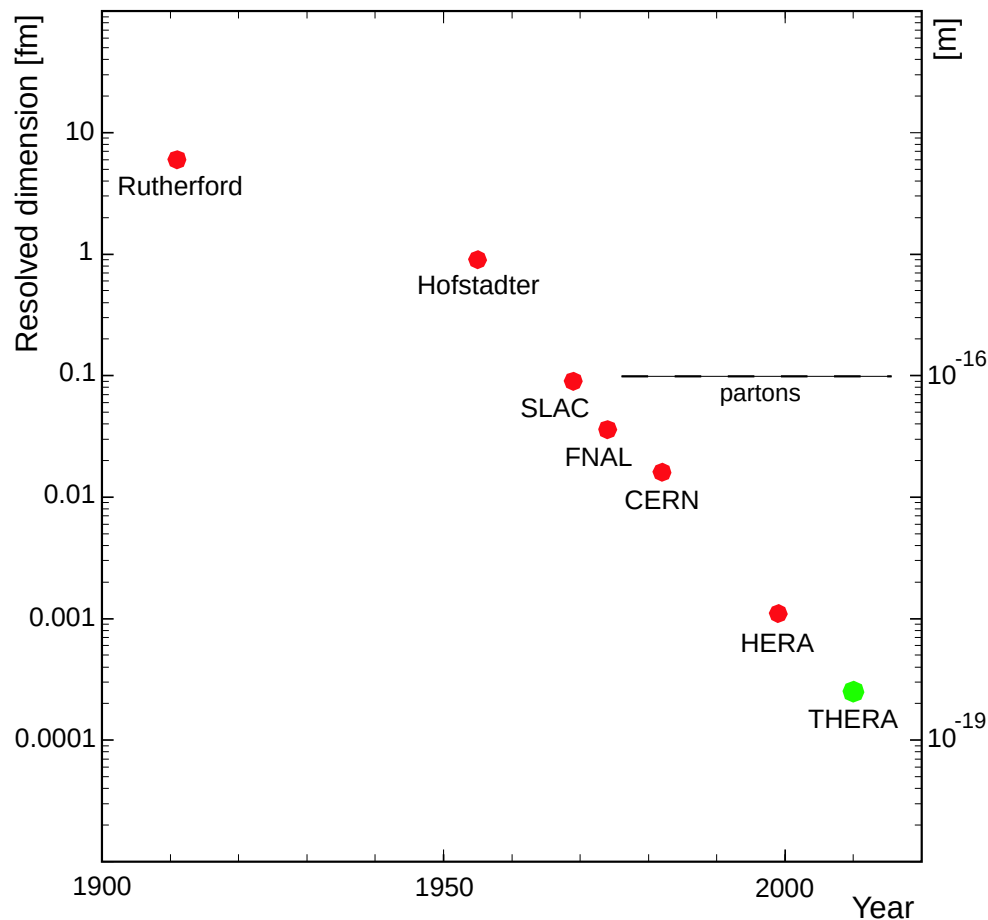
CC cross section as a function of e polarization P :

$$\sigma^{CC}(e^\pm p) \propto (1 \pm P)$$

- deviations would indicate right-handed charged currents
- sensitivity e.g. to heavy gauge boson W_R
- W_R mass limits of $\mathcal{O}(400 \text{ GeV})$ achievable

The Post-HERA Future

History shows that improvements in spatial resolution obtained in scattering experiments are crucial for the progress of particle physics:



Future ep colliders are under discussion, e.g. a TESLA×HERA option (THERA)

~ 1 TeV center-of-mass-energy,
up to $\mathcal{O}(100 \text{ pb}^{-1})$ luminosity per year . . .

